Breath Biomarkers and the Acute Respiratory Distress Syndrome

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Abstract

Because pharmacologic treatment of patients with the established Acute Respiratory Distress Syndrome (ARDS) has not been successful, the emphasis on discovering biomarkers that predict ARDS development in at-risk individuals is being rekindled so that ways of preventing ARDS can be advanced and tested. However, the low incidence of ARDS in at-risk individuals, the variable time between becoming at risk and developing ARDS, and the varying incidence of ARDS following different predisposing conditions makes finding clinically-useful ARDS predicting biomarkers challenging. Ideally, biomarkers reflecting ARDS development will be obtainable non-invasively and repeatedly and provide sensitive, specific, accurate and real-time results. Biomarkers will also likely need to mirror key events in the pathogenesis of ARDS and meaningfully reflect the effect of therapies on ARDS development. At the moment, analysis of potential biomarkers in breath samples offers an intriguing way of addressing these objectives. A number of ARDS implicated molecules (e.g., hydrogen peroxide, nitric oxide, lipid peroxidation byproducts, cytokeratins) are measurable in breath or breath condensates from ARDS patients. Moreover, powerful new approaches (e.g., proton transfer reaction mass spectrometry, carbon nanotubes analyses using aptamer based multiplexed proteomic technology and cavity-enhanced frequency comb spectroscopy) are emerging that may provide biomarkers that could generate insight regarding the responsible mechanisms for ARDS, monitor ARDS development, enable testing of new ARDS interventions, and guide treating and preventing ARDS.

Keywords: Inflammation; Lung; Antioxidant; Biomarkers; Breath; ARDS; ALI

Abbreviations: ARDS: Acute Respiratory Distress Syndrome; ALI: Acute Lung Injury; H₂O₂: Hydrogen Peroxide; NO: Nitric Oxide; PGE₂: Prostaglandin E₂; MDA: Malondialdehyde; MOF: Multiple Organ Failure; AIDS: Acquired Immune Deficiency Syndrome; NAC: N-acetylcysteine; NADPH oxidase: Nicotinamide Adenine Dinucleotide Phosphatase oxidase; IL-1: Interleukin 1; IL-8: Interleukin 8; TNFa: Tumor necrosis factorα; PUFA: Polyunsaturated Free radicals; F₈̈-iso-PGF₉α; GC: Gas Chromatography; MS: Mass Spectrometry; IMS: Ion-mobility Spectrometry; TD: Thermal Desorption; PTR: Proton Transfer Reaction; P/F: PaO₂/fraction of inspired oxygenation; DNA: deoxyribonucleic Acid

Introduction

Interest in finding biomarkers that predict the development and potentially provide the opportunity of preventing acute respiratory distress syndrome (ARDS – used herein to include ALI, ARDS and early forms of acute inflammatory lung injury) is being rekindled [1]. One attractive possibility is the analysis of breath and breath condensate products. Evaluating breath biomarkers that reflect ARDS development may provide a useful method alone and/or in conjunction with other approaches in accomplishing the objectives of learning more about the pathogenesis, treatment and prevention of ARDS [2-4]. Some pioneering work has already been done in the field of breath analysis. For example, Phillips identified three thousand different compounds in breath condensate findings to bronchoalveolar lavage findings [17,18], “head-to-head” comparisons of breath and breath condensate findings to bronchoalveolar lavage findings [17,18], ensuring samples are only from the lung [14,15], validation [19,20], instability [21], reproducibility [22-24] and analysis [25,26].

ARDS

ARDS, the most severe form of Acute Lung Injury (ALI), remains a formidable medical problem [27]. ARDS has a high mortality approaching 40% in many studies [28,29]. ARDS kills approximately 75,000 Americans yearly; by some estimates, more than breast cancer and AIDS combined [28,29]. ARDS is characterized by the rapid onset of a diffuse non-cardiogenic edematous lung injury that produces severe hypoxemia. For largely unknown reasons, ARDS follows a wide variety of predisposing conditions including trauma, infection, pancreatitis, blood transfusions, and aspiration [2,3]. ARDS often predisposes to multiple organ failure (MOF) and this devastating complication along with ARDS creates considerable mortality and expense [30]. Even survivors of ARDS have appreciable residual disabilities including lung, musculoskeletal, and mental abnormalities [31,32]. Despite laudable advances in supportive care and judicious use of mechanical ventilation, the syndrome remains lethal and there is no pharmacologic treatment [33].

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Lack of Pharmacologic Success in Treating Established ARDS

Numerous attempts with pharmacologic agents have been universally unsuccessful in reducing the high mortality of established ARDS [33]. The list of ineffective therapeutics encompasses a variety of agents chosen for their potential in limiting different pathologic features that appear to contribute to ARDS. The unsuccessful candidates include methylprednisolone, lysoyffline, prostaglandin E₁, N-acetylcysteine, ketokonazol, nitric oxide, and surfactant [34]. Although not effective in increasing survival, some of these interventions improved physiologic and/or biochemical abnormalities associated with ARDS and may hold potential if given earlier to at-risk patients who are in the process of developing ARDS [34]. For example, nitric oxide, surfactant, methylprednisolone and prostaglandin E₁ improved oxygenation in patients with established ARDS. N-acetylcysteine (NAC) prevented glutathione depletion [35] and surfactant reduced lung lavage IL-6 levels - again without improving mortality in patients with established ARDS [34].

Emphasis on Preventing ARDS

Disappointment in treating established ARDS has fueled a lack of enthusiasm on many fronts for attempting to treat established ARDS. The disillusionment is substantial since investigators have repeatedly found that agents that work effectively in animal models of ARDS do not produce the same results in full-scale clinical trials in patients with established ARDS [36,37]. However, many times, these interventions were given just before the ARDS inciting insult was administered to the animal [36,37]. The discouraging track record in treating patients with established ARDS has also engendered skepticism in pharmaceutical companies, Wall Street and Venture Capital Investors. These pivotal entities must now more carefully weigh the potential risks and substantial expense involved in developing interventions for treating established ARDS - not the least of which is the multi-million dollar definitive phase III trial that will likely need 1000 patients or more to establish efficacy [1,38]. Indeed, by the time the diagnosis of ARDS is fully established and patients meet definitive entry criteria for most clinical trials, nearly all ARDS patients are extremely ill and many detrimental processes are in full swing. The multiple redundant, likely synergizing, inflammatory, oxidative stress and other responses that contribute to ARDS do not obviously lend themselves to a simple rescue intervention. While all efforts at discovering ways to treat established ARDS have not been abandoned, emphasis on preventing ARDS development rather than treating established ARDS is an attractive emerging perspective [1]. This rationale has significant merit [27].

While theoretically appealing, the proposition of preventing ARDS development must nonetheless overcome many obstacles. A primary concern is that many at-risk individuals have conditions like trauma or infection that predispose to ARDS, but only a relatively small number of presently indefinable at-risk individuals develop the syndrome [39]. Using a sophisticated cleverly constructed clinical scoring system, Gajic and his colleagues were only able to predict ARDS development in at-risk individuals at a rate of approximately 18% [39]. Clearly, if we treat everyone at-risk, we will treat many individuals who are not destined to develop ARDS. This strategy means that we will unnecessarily expose many at-risk individuals - many of whom like many victims of trauma are in completely good health just beforehand - to the obvious expense and potential risk of the therapy. The latter approach is also complicated because many diverse disorders predispose to ARDS and because ARDS does not develop in any fixed time period in at-risk individuals. In addition, many patients would need to be studied in clinical trials to show the efficacy of an intervention when the primary endpoint is ARDS prevention and no subject enriching biomarkers or other limiting directives are employed [1].

Need for Biomarkers for Predicting ARDS

The strategy of preventing ARDS with efficiency is being addressed by a search for biomarkers that can predict ARDS development in at-risk individuals. Appropriate ARDS predictive biomarkers will not only facilitate earlier treatment, but also increase the odds of preventing ARDS in someone who has a greater chance of developing it. The complexity of ARDS and its variable development in different individuals makes this quite difficult and indicates that a panel of biomarkers, rather than a single biomarker, will be needed to predict ARDS [1]. Since we do not know exactly when ARDS will develop in any individual, the ideal biomarker will need to be obtainable repeatedly, non-invasively and provide real-time information. Most likely, the approach will require frequent measurements of significant biomarker changes that occur in the same individual over time. This concern effectively makes analysis of lung lavage and lung tissue problematic since they are difficult to perform and repeat in critically-ill individuals [40]. This requirement also raises a similar, albeit to a lesser degree, concern for using blood and urine analyses. For this reason alone, the potential for evaluating exhaled breath samples has appeal. Breath samples coming directly from the lung are theoretically relatively easy to collect non-invasively and repeatedly, especially in intubated patients. If nothing else, the search for pragmatic biomarkers will provide additional insights regarding the mechanisms contributing to ARDS and lead to new therapeutic strategies.

Known Breath Biomarkers in ARDS

The initial pathogenesis of ARDS remains largely centered on inflammatory cytokine elaboration, neutrophil recruitment and activation and oxidative stress in the lung. This myriad of related inflammatory and oxidative stress reactions occur in the lung could generate molecules that could be detectable by analysis of breath or breath condensates. Not surprisingly, inflammatory, oxidative stress and nitrosative stress related molecules are the most frequently measured targets explored in the breath and breath condensate molecules of ARDS patients.

Cytokines

Despite the importance of cytokines in the early development of ARDS, only one report has shown increased interleukin and tumor necrosis factor levels in the exhaled breath of patients with acute lung injury compared to healthy volunteer control subjects [41].

Hydrogen peroxide

One of the most fascinating molecules measured in exhaled breath condensates is hydrogen peroxide [42], a reactive oxygen species likely formed by the dismutation of superoxide anion made by NADPH oxidase, xanthine oxidoreductase, mitochondrial or other processes in phagocytic and other cells [1]. H₂O₂ is important since its reaction with superoxide anion in the presence of ferrous iron produces the highly toxic hydroxyl radical which is one of the most potent oxidants known [43]. In addition, reaction of H₂O₂ and the neutrophil constituent myeloperoxidase with a halide generates hypochlorous acid that can cause appreciable cell damage [44]. Baldwin and his colleagues found increased breath condensate H₂O₂ levels (1.68 +/- 0.35 µmol/l) measured on the day of diagnosis in ARDS patients compared to breath H₂O₂ condensate levels (0.34 +/- 0.08 µmol/l) in comparable
patients who did not develop ARDS [42]. Increased exhalation of H₂O₂ was associated with an increased turnover of neutrophil lysozyme implicating the possible role of neutrophils in the production of H₂O₂, but the exact source of exhaled H₂O₂ remains unproven. Interestingly, the breath H₂O₂ levels of patients with pneumonia were lower than in ARDS patients and breath H₂O₂ levels did not correlate with inspired oxygen concentrations [42]. Significantly, serial breath condensate H₂O₂ levels fluctuated in accordance with the clinical status of the patients. The central importance of oxidative stress and H₂O₂ was underscored by another investigation in which patients with acute respiratory failure exhibited higher breath H₂O₂ condensate concentrations [45]. Sznaider and colleagues found that patients with respiratory failure and lung infiltrates but not ARDS per se, exhaled high concentrations of H₂O₂ but ARDS patients still had the highest median breath H₂O₂ concentrations [46]. Their observation emphasizes the inherent challenges in distinguishing at-risk individuals who will or will not subsequently develop ARDS [1]. Similarly, patients with acute respiratory failure exhaled higher H₂O₂ concentrations than control patients (median 95 nmol/l, range 76-144 nmol/l) with the highest median value in ARDS patients (552 nmol/l, range 154-893 nmol/l) [47]. Notwithstanding, spectrophotometric analysis of H₂O₂ levels in breath condensate may lack specificity due to variable levels of contaminants in the samples that potentially lead to false positives [48] – an issue that can likely be addressed using other measurement approaches [49-51] and, when possible, by adding catalase to provide a catalase-inhibitable specific assessment of H₂O₂.

Nitric oxide

Although treatment with nitric oxide did not decrease the mortality of ARDS patients [34], there is still interest in using exhaled nitric oxide as a biomarker [52-54]. Exhaled breath condensate nitrite levels increased and correlated with reduced tidal volume and lung injury, but not inflammation reflected by IL-8 and IL-6 levels in serum samples, in ARDS patients receiving mechanical ventilation [55]. Nitric oxide likely reflects the production of nitric oxide by phagocytes or other lung cells and, accordingly, it is another potential biomarker that could reflect lung inflammation and oxidative stress. Moreover, exhaled nitrite levels correlated with lung distension and related lung injury. The importance of nitrate or nitric oxide exhalation resides in its ability to reflect nitrosative reactions, such as the production of peroxynitrite, following reaction of nitric oxide with superoxide anion--a process that might account for nitrotyrosine increases that occur and reflect lung inflammation and injury in ARDS patients [56]. Nitric oxide as measured in mixed expired air samples has also been proposed as a possible biomarker for detecting early acute lung injury in patients requiring coronary artery bypass grafting [57]. However, another study reported that exhaled nitric oxide levels of ARDS patients (1.13 ± 0.36 parts/billion) were lower than in a control group of ventilated subjects (5.5 ± 0.8 parts/billion) [58].

Acidification

Acidification of the exhaled breath condensate occurs in patients with acute lung injury and correlates with pro-inflammatory cytokine concentrations locally but not systemically [59,60]. Exhaled breath condensate pH was decreased in ventilated patients (5.85 ± 0.32) compared to volunteers (7.46 ± 0.48). Exhaled breath condensate pH correlated with exhaled breath condensate IL-6, IL-8, ammonia and lactate levels but not serum IL-6 and IL-8 levels. Measuring acidification does not require extensive instrumentation and can be repeated easily. Exhaled breath condensate pH decreases also develop following lung resection and one lung ventilation [61] and in mechanically-ventilated, brain-injured patients without acute lung injury or sepsis compared to healthy and brain injured control subjects [62]. Although the exact physiologic significance of this abnormality is unknown, breath pH still may prove useful as a biomarker especially if its cause can be discerned since neurogenic inflammation is triggered by airway or esophageal acidification, innate immune cells are affected by acidity, and there are pathways by which the acquired immune system also can be activated by the chemistry of an acidic airway [63].

Lipid peroxidation byproducts

Lipid peroxidation is the result of the oxidative degradation of lipids. It occurs when free radicals attach themselves to electrons in cell membranes and cell damage results. This process then propagates via a free radical chain reaction mechanism. Polyunsaturated fatty acids (PUFA’s) are most commonly affected because of the methylene groups that separate the multiple double bonds. The well established products of PUFA include aldehydes, ethane, pentane, malondialdehyde, acrolein, hydroxynonenal, crotonaldehyde and neuroprostanes. Methylene groups contain reactive hydrogens. The radical reaction consists of three major steps: initiation, propagation, and termination. Carpenter and his colleagues found that the mean level of 8-iso-PGF₂ in the exhaled breath condensate in patients with ALI/ARDS (87 ± 28 pg/ml) was higher than the mean of the normal group (7 ± 4 pg/ml) [64]. Breath pentane concentrations were increased in ARDS patients compared to subjects with head injury and subjects at-risk for ARDS. Pentane exhalation increased with increasing inflammatory status and generally were associated with malondialdehyde and thiobarbituric acid increases in plasma [65]. In the same study, breath isoprene levels were lower in ARDS patients [65]. The latter change was also found in two additional evaluations of ARDS patients [15,66].

Volatile organic compounds

Volatile organic compounds (VOCs) are derived from saturated hydrocarbons (including PUFA’s), unsaturated hydrocarbons, oxygen-containing compounds, sulphur-containing compounds, and nitrogen-containing compounds. Hydrocarbons such as ethane, pentane and isoprene; oxygen-containing compounds like acetone, acetaldehyde, methanol, ethanol, and 2-propanol; sulphur-containing compounds such as dimethylsulphide, methyl, and ethyl mercaptanes; and carbon disulphide and nitrogene-containing substances such as ammonia and dimethyl/trimethylamine are also considered in some reports as VOC’s. VOC’s can be generated anywhere systemically and then via the blood be exhaled through the lung [67]. VOCs measured by integrative analysis of 60 second samples of exhaled air from the ventilatory circuit closed to the endotracheal tube with an electronic nose (eNose) revealed that the breath prints of 17 patients with ALL/ARDS were significantly (p<0.001) different from control patients with head injury and subjects at-risk for ARDS. Pentane exhalation increased with increasing inflammatory status and generally were associated with malondialdehyde and thiobarbituric acid increases in plasma [65]. In the same study, breath isoprene levels were lower in ARDS patients [65]. The latter change was also found in two additional evaluations of ARDS patients [15,66].

Cytokeratins

Cytokeratins - indicators of lung epithelial damage- were increased in the breath condensate of individuals with ARDS compared to samples from healthy control subjects [68].

Response of Breath Biomarkers to Pharmacologic Intervention

While the capacity of breath biomarkers to reflect and perhaps predict ARDS development is of paramount importance, an additional benefit would be achieved if biomarkers change informatively in response to a therapy that has a beneficial effect clinically [69]. Ideally, a
Electronic noses: Proton-transfer-reaction mass spectrometry: Gas chromatography/mass spectrometry:

**Characteristics of an Ideal ARDS Breath Biomarker**

- Reflects meaningful clinical changes in real-time that occur during ARDS development irrespective of the predisposing condition
- Discriminates ARDS from other inflammatory lung conditions
- Provides independent high-probability information about ARDS risk and/or prognosis
- Obtainable non-invasively, repeatedly, easily and inexpensively
- Responds appropriately to therapies that reduce ARDS
- Generates trend analysis so each individual can be used as his own control
- Synergizes with other ARDS biomarkers and ARDS defining criteria
- Suitable for automated bedside analysis

**Table 1:** Characteristics of an Ideal ARDS Breath Biomarker.
nanotubes have already been used to develop a “Nano NOSE” which has great promise in distinguishing various organic diseases [81].

**Proteomics:** Analysis of proteins in breath condensates is possible and may be efficient using SomaLogic aptamer-based multiplexed proteomic approaches that can measure many proteins in a small sample [82,83]. This new approach can simultaneously measure 800 or more proteins with an average detection limit of 1pM and a 5% average coefficient of variation [82,83]. Depending on the concentration of proteins in breath condensates, this comprehensive approach may be a versatile tool which complements measurements made using metabolomics and other approaches [82,83].

**Cavity-enhanced frequency comb mid-infrared spectroscopy:** The main benefit of mid-infrared spectroscopy is its ability to detect volatile compounds in a massively parallel fashion in seconds (Figure 1). Potentially, this approach could provide instant feedback and simultaneous recognition of multiple breath metabolites in intensive care units. Cavity enhancement techniques also permit highly sensitive detection at the level of parts per billion or more. The unique absorption spectrum also allows accurate identification and concentration measurements of a single molecule in the presence of many other molecules [84]. Frequency comb spectroscopy combines many attributes into one platform: broad spectral bandwidth, high spectral resolution, precise frequency calibration, and ultra high detection sensitivity. This platform consists of an optical frequency comb interacting with a high-finesse optical cavity. The optical frequency comb is under a very intricate level of scrutiny and precision that allows coupling of the individual comb components with their resonant modes of the cavity. Because the cavity allows the molecules to remain in the detector for a long time, the interaction between the light field and intracavity matter are increased. This increases the sensitivity of the measurement of optical losses by a factor that is directly relatable to the finesse of the cavity. The entire spectral bandwidth of the frequency comb which is approximately 10% of the actual optical frequency is examined because of the use of low dispersion mirrors. Spectral resolution of the light from the cavity creates multiple detection channels with a range of hundreds of kilohertz to several gigahertz. The femtosecond enhancement of the cavity provides for a wide bandwidth and real-time detection.

**Overview and Future Directions**

New strategies are needed for treating and preventing ARDS. Advances in this area will likely depend on the use of biomarkers to facilitate and guide early and more specific treatment. A number of approaches for assessing biomarkers in breath and breath condensates have been proposed but none used so far. Numerous compounds that have been measured in exhaled breath or breath condensate samples of human subjects but have not been rigorously measured to determine if they are abnormal in patients with ARDS. A partial list includes ethane [85], pentane [85], acetone [86], butane dimethylsulfide [86], isoprene [86], hexane [86], isoflurane [87], malondialdehyde [88], pro-oxidant iron [89], urea [90], surfactant protein A [91], antioxidant capacity [92], IL-1α and TNFα [93], lactate [94,95], carbon monoxide [96-99], glutathione [100], ammonia [101], cysteinyl-
leukotrienes [102], adenosine [102], 4-hydroxy-2-nonenal [100] and sodium and chloride [103]. There are also reports that bacteria [104], viruses [105] and particles [106] are measurable in exhaled breath and breath condensates. Many of these substances might be relevant to the development of ARDS. For example, cytokines are increased in the lung [107,108], glutathione levels are decreased in the blood [35], uncomplexed ferrous iron could facilitate the formation of the highly-toxic hydroxyl radical [43,109], lactate might reflect the altered metabolic state or an increased exercise of breathing [43,109] of ARDS patients. Nonetheless, the potential for using analysis of breath biomarkers is substantial since they might provide a non-invasive way of directly and repeatedly analyzing inflammatory, oxidative stress, and other processes that contribute to ARDS development.

Breath biomarkers might also help assess the effectiveness of interventions. For example, largely based on the lack of effect of omega-3 fatty acids on biomarkers of pulmonary and systemic inflammation in a phase II study of acute lung injury, the authors concluded that the results did not support conducting a larger clinical trial [110]. One might foresee that the treatment of ARDS could be individualized using breath and other biomarkers as a way to adjust the dose as well as guiding the starting and stopping therapy [69,111]. Consequently, ARDS treatment might differ in different individuals and at different times in the same patient during the development and course of ARDS. Considerable benefit could accrue in matching a therapy to a surrogate biomarker and a related clinical response. Moreover, the development of effective ARDS therapies is more likely to prosper as they become more customized and linked with key aspects of the pathophysiology of the syndrome. Perhaps stopping therapy sooner will facilitate important repair mechanisms that can help patients survive ARDS and have less sequelae thereafter.

The challenge will be finding the best biomarker or battery of biomarkers that correlate well with the fundamental disease mechanisms. The validity of the battery approach has already been demonstrated by Fremont and his associates who found that a panel of 7 plasma biomarkers provided the best insight into the importance of alveolar epithelial injury in early acute lung injury [112]. Additional advances will include reducing the necessary sample volume and potentially separating molecules of interest from other molecules including water [113]. Some breath measurements may require simultaneous analysis of blood levels of the same substance to achieve meaningful significance [87].

Biomarkers must be measurable in real time to optimally reflect the dynamic state of these critically ill patients. None of these approaches discussed herein will likely be the final instrumentation that provides a practical way to assess biomarkers in seriously ill individuals who undergo rapid changes in their condition. However, as more and more individuals are evaluated using these and other techniques, biomarkers will hopefully be identified that have general value and that are suitable for automated sampling and analyses [113]. Subsequently, new simplified bedside instruments will be developed that provide the careful monitoring needed by these critically-ill individuals, the ability to distinguish patients with different forms of lung inflammation [114,115], and a way to better guide treatment and prevention. Developing breath biomarker analysis offers a way to help save the lives and reduce the disability of individuals afflicted with ARDS.

**Conclusion**

The possibility of measuring breath biomarkers holds potential to improve the understanding, diagnosis, treatment and prevention of ALI/ARDS. Once a suitable biomarker or panel of biomarkers that can be measured repeatedly, accurately and easily is identified, sequential evaluations of ALI/ARDS patients can be done and the findings carefully correlated with important clinical findings including outcome and response to treatment.

**References**


