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# Clinical and Prognostic Significance of Promoter Polymorphism (-31GC) of Anti Apoptotic Gene Survivin (BIRC5) in North India Patients with Non Small Cell Lung Cancer

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## Abstract

**Background:** Apoptotic inhibitor gene survivin regulates apoptosis and cell cycle progression. Functional polymorphism in the promoter region of survivin influences its expression may lead to the development of several cancers including lung cancer. Our study aimed to investigate the association of survivin-31G>C polymorphism with the risk of NSCLC in Indian population.

**Methods:** A hospital-based case control study of 136 cases and 136 age-gender matched healthy controls was performed by PCR-RFLP.

**Results:** Our findings reveal that a statistically increased risk and poor survival was associated with the BIRC5 -31CC genotype (OR 3.13, 95% CI 1.57- 6.25) compared to the genotype containing G allele GC (OR 1.22, 95% CI 0.69-2.14). In addition significant association was found with stage and distant metastasis status of NSCLC patients.

**Conclusions:** Our results conclude that the function polymorphism (-31G>C) in the promoter of survivin gene is associated with risk and susceptibility to NSCLC.

**Abbreviations:** NSCLC: Non Small Cell Lung Cancer; SCC: Squamous Cell Carcinoma; ADC: Adenocarcinoma; CDE/CHR: Cell Cycle Dependent Elements / Cell Cycle Homology Regions

## Introduction

Lung cancer, which involves malignant proliferation of the epithelial lining of the lower respiratory tract, is one of the most common forms of malignancy leading to the major cause of cancer related deaths around the world [1] including India. Lung cancer constituted 14.4% of all cancers in a review of 9210 consecutive autopsies by Banker [2]. Cigarette smoking constitutes 80% of the attributable risk of lung cancer, but only a small proportion of smokers will develop lung cancer, suggesting that there is an inter individual variation in genetic susceptibility to lung cancer in the general population [1]. Apoptosis plays an important role in the development and in tissue homeostasis [3,4]. Defects in the regulation of apoptosis may cause accumulation of virtually immortal cells and can lead to many human disorders including cancer [5]. Survivin gene, member of IAP family, located at chromosome 17q25 encoding 16.5KDa protein involved in cell cycle regulation. Survivin is ubiquitous in embryonic or fetal or cancerous tissues, while undetected in most terminally differentiated normal adult tissues [6]. Survivin plays a critical role in carcinogenesis, with important biological, prognostic and therapeutic implications.

In order to understand the biology of cancer development and prognosis, the information from SNPs in various genes at molecular level is believed to help new effective treatment modalities and predict the prognosis. Polymorphism located in CDE/CHR region of survivin (-31G>C) is associated with the alteration of the survivin gene expression [5,7]. Several population based studies indicated survivin

polymorphism were associated with human cancers [8-10]. However till date there are only few studies on relationship between survivin gene polymorphism and risk of NSCLC. No similar study has been conducted yet in Indian population based on the key role of survivin in carcinogenesis and association of survivin gene polymorphism (-31G/C) with its expression and other cancers. We hypothesized that polymorphism in BIRC5 gene might modulate risk and susceptibility to NSCLC.

## Materials and Methods

### Study population and sample collection

All subjects were biologically unrelated ethnic Indians. Patients were selected from an ongoing molecular study of NSCLC conducted in the Department of Biochemistry Maulana Azad Medical College New Delhi. The study includes 136 NSCLC patients and 136 healthy

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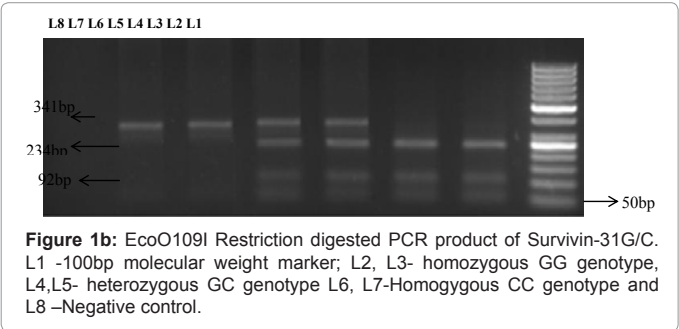
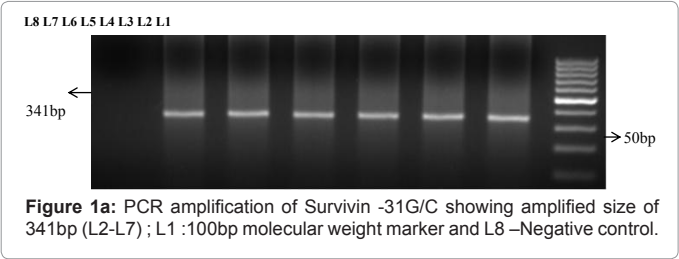
controls frequency matched to the cases in age ( $\pm 5$  years), sex and ethnicity. Patients with a history of previous cancer or metastasized cancer from other organs except lung were excluded. All controls, like the cases, were the residents of North India. The study was approved by the institutional ethics committee, Maulana Azad Medical College New Delhi.

**Genotype analysis**

Genomic DNA was extracted from blood samples in EDTA using DNA sure blood mini kit (Nucleo-pore Genetix brand) according to the manufacturer’s instructions. Survivin -31G/C genotype (rs 9904341) was analysed using PCR RFLP [11]. Genotyping was performed without the knowledge of the case/control status of the study subjects. The primers used for PCR –RFLP were Forward 5’-CGTTCTTTGAAAGCAGTCGAG-3’ and Reverse 5’-TGTAGAGATGCGGTGGTCT-3’. resulting in a PCR product of 329bp (Figure 1a). Restriction digestion with EcoO109I (Fermantas) resulted in CC-329bp, GG- 234bp+92bp and CG - 329bp+234bp+92bp product, visualized on 2% agarose gel containing ethidium bromide. (Figure 1b) The amplification was accomplished with a 25  $\mu$ l reaction mixture containing 5  $\mu$ l of 20 ng template DNA, 0.25  $\mu$ l 25 pmol each primers, 2.5  $\mu$ l 10 mM dNTPs, 1.5  $\mu$ l of 20 mM MgCl<sub>2</sub>, 0.3  $\mu$ l of 5U/ $\mu$ l Taq polymerase with 2.5  $\mu$ l of 10X Taq Buffer (Fermantas). The amplification conditions were 10 min of initial denaturation at 95C; 40 cycles at 95C for 45s, 66.7C for 45s and 72C for 45s with a final 10 min extension step at 72C.

**Statistical analysis**

Differences in selected demographic variables and survivin -31G/C genotype frequencies between the cases and controls were evaluated by using the Chi-square test. The associations between -31G/C variant genotypes and risk of NSCLC cancer were estimated by computing the odds ratios (ORs) and their 95% confidence intervals (CIs) from both univariate and multivariate logistic regression analysis. Fisher Exact Test was performed for the values below 5. Survival analysis was performed by using Kaplan-Meier survival curve. Statistical difference was considered significant for P values <0.05.



Variable	NSCLC patients(%)	Healthy Controls(%)
Total no.	136	136
<b>Sex</b>		
Males	110(80.9)	110(80.9)
Females	26(19.1)	26(19.1)
<b>Age(Years)</b>		
≤45	24(17.6)	24(17.6)
>45	112(82.3)	112(82.3)
<b>Smoking status</b>		
Non Smoker	33(24.3)	33(24.3)
Smokers	103(75.7)	103(75.7)
<b>Smoking level(pack year)</b>		
Mild(≤ 10)	9(8.9)	
Moderate(≤ 40)	49(48.5)	
Heavy(> 40)	43(42.6)	
<b>Histological type</b>		
SCC	79(58.1)	
ADC	57(41.9)	
<b>TNM stage</b>		
Early(I & II)	51(37.5)	
Advanced(III & IV)	85(62.5)	
<b>Distant metastasis</b>		
Positive	35(25.7)	
Negative	101(74.3)	
<b>Family history of any cancer</b>		
Significant	18(13.2)	
Non significant	118(86.8)	
<b>Cytological type</b>		
<b>Squamous cell carcinoma</b>		
Well differentiated	43(54.4)	
Moderately differentiated	22(27.8)	
Poorly differentiated	14(17.7)	
<b>Adenocarcinoma</b>		
Well differentiated	11(19.3)	
Moderately differentiated	16(28.1)	
Poorly differentiated	30(52.6)	
<b>Malignant pleural effusion</b>		
Yes	54(39.7)	
No	82(60.3)	

**Table 1:** Demographic characteristics of NSCLC patients and cancer free healthy controls.

**Results**

**General characteristics of study population**

The demographic variables among cases and healthy controls are summarized in Table 1. Briefly among NSCLC cases 110 were male and only 26 were females. Smokers constitute more than 75 percent with 27.2% as current and 72.8 as ex-smokers, which had

left smoking from more than 6 months. Only few patients were with some significant family history of lung cancer or any other cancer. Histological studies revealed 79 cases with Squamous cell carcinoma, 57 with adenocarcinoma. Two age groups were made, patients with age  $\leq 45$  which include 24 cases and  $\geq 45$  which include 112 cases. 51 and 57 cases were in early stage and advanced stages respectively.

### Allele and genotype distribution

The genotyping results are shown in Table 2a and 2b. The frequencies of survivin (-31G/C) GG, CG and CC genotypes among patients were significantly different compared to controls (Chi square = 7.9, df = 2 & p = 0.0193), with the CC homozygotes being significantly overrepresented among patients compared to controls (32.3% vs.17.6%) The frequency of the genotypes GG, CG and CC among healthy controls was 34.6%, 50.7% and 14.7% and of the NSCLC patients were 24.2%, 43.4% and 32.3% respectively. The frequencies of BIRC5 -31G/C genotypes with respect to NSCLC stage and distant metastasis status among patients were statistically significant p = <0.0001 and p = <0.0001 whereas there was not any significant difference with respect to other parameter's like gender, smoking status, significant family history of any cancer and smoking level.

### Association of NSCLC risk and survivin -31G/C polymorphism

An unconditional logistic regression was used to estimate associations between the genotypes and risk of NSCLC. It was found that an increased risk of NSCLC was associated with the -31CC allele in an allele dosage-dependent manner. Compared to the GG genotype, the ORs for the GC and CC genotype were 1.22 (95% CI 0.69-2.14), and 3.13 (95% CI 1.57-6.25) respectively, suggesting a possible dominant effect of this polymorphism (Table 3).

### Survivin genotypes and survival analysis

Follow-up of patients regarding survival was performed with median duration of 11.25 months (range, 0.5-127.5 months). A total of 84 patients suffered cancer related deaths during the follow up period. It was observed that the survivin -31CC (Figure 2a) and GC + CC (Figure 2b) genotype was significantly associated with poor survival p = 0.0006 and p = 0.0005 respectively. Patient's survival was calculated using log-rank (Mantel – Cox) test. The estimated median survival time for patients with survivin -31GG, GC, GC + CC and CC genotype were 30, 14.5, 12.0 and 10.5 months respectively.

### Discussion

In the present study, we examined whether promoter polymorphism in survivin gene (-31G/C) is associated with the risk of developing NSCLC. Our results obtained by analyzing 272 subjects demonstrate that the functional polymorphisms located in CDE/CHR region of survivin (-31G>C) have a significant impact on the risk of developing NSCLC. The CC genotype was associated with the approximately 1.9 fold increased risk of NSCLC and was more pronounced in subjects who were in advanced stage with positive distant metastasis status

Variable	Cases	Controls	Chi-square	df	P-value
GG	33(24.2)	47(34.6)	12.2	2	0.022
CC	44(32.3)	20(14.7)			
GC	59(43.4)	69(50.7)			

**Table 2a:** Overall frequency of survivin(- 31 G/C) polymorphism in cases and controls.

Variables	GG	CC	GC	Chi-square	df	P-value
Males	28(25.45)	38(34.5)	44(40.0)	2.71	2	0.257
Females	5(19.23)	6(23.07)	15(57.69)			
<b>Age group</b>						
$\leq 45$	4(16.7)	7(29.16)	13(54.17)	1.57	2	0.456
$> 45$	29(25.9)	37(33.0)	46(41.1)			
<b>Stage</b>						
Early stage(I & II)	21(41.2)	2(3.9)	25(49.0)	31.12	2	<0.0001
Advanced stage(III & IV)	12(14.1)	42(49.9)	34(40.0)			
<b>Smoking status</b>						
Non smoker	11(31.4)	9(25.7)	15(42.8)	1.64	2	0.440
Smoker	22(21.7)	35(34.7)	44(43.6)			
<b>Smoking level(pack year)</b>						
Mild( $\leq 10$ )	0(0)	5(55.6)	4(44.4)	5.14	4	0.27
Moderate( $\leq 40$ )	13(26.5)	13(26.5)	23(46.9)			
Heavy( $> 40$ )	9(21.0)	17(39.5)	17(39.5)			
<b>Smoking type</b>						
Cigarette	4(14.2)	13(46.5)	11(39.3)	21.96	8	0.005
Bidi	0(0)	3(27.3)	8(42.3)			
Hookah	10(38.5)	5(19.2)	11(42.3)			
Cigarette + Bidi	1(8.3)	9(75.0)	2(16.6)			
Cigarette + Hookah	8(34.8)	6(26.0)	9(39.2)			
<b>Histological type</b>						
SCC	21(26.6)	27(34.2)	31(39.3)	1.36	2	0.506
ADC	12(21.1)	17(29.8)	28(49.1)			
<b>Metastasis</b>						
Positive	6(17.1)	22(62.9)	7(20.0)	20.48	2	<0.0001
Negative	27(26.7)	22(21.9)	52(51.5)			
<b>Family history of any cancer</b>						
Significant	6(33.3)	5(27.8)	7(38.9)	0.93	2	0.628
Non Significant	27(22.9)	39(33.1)	52(44.0)			
<b>SCC Cytology</b>						
Well differentiated	10(23.8)	16(38.1)	16(38.1)			0.75
Moderately differentiated	8(36.4)	7(31.8)	7(31.8)			
Poorly differentiated	4(28.6)	3(21.4)	6(42.8)			
<b>ADC Cytology</b>						
Well differentiated	4(36.4)	1(9.0)	6(54.5)			0.37
Moderately differentiated	2(12.5)	5(31.2)	9(56.2)			
Poorly differentiated	5(16.7)	11(36.7)	14(46.7)			

**Table 2b:** Frequency of survivin(- 31 G/C) polymorphism in cases with respect to different parameters.

at diagnosis. The frequency of CC allele was more among patients with squamous-cell carcinoma than adenocarcinoma of NSCLC and smokers. Individuals with at least one -31G allele were at decreased risk of over all NSCLC as compared to those with -31CC genotype. *In vitro* studies reveals that the transcriptional activity of -31G allele is significantly lower than -31C allele. As this polymorphism is located in the binding site for CDE/CHR repressor in the survivin promoter thus influence the survivin gene expression [9]. Studies on NSCLC cell line A549 revealed that over expression of survivin leads to decreased apoptosis [12] and presence of the -31G/C polymorphism was more frequent in cancer cells with -31C allele resulting in increased survivin expression both at mRNA and protein level [13] thus may accelerate

tumor cell proliferation and may ultimately lead to more aggressive carcinomas in lung.

Decreased risk was observed with GG as compared to CC variant of survivin -31G/C in lung cancer [9]. A significant increased risk associated with variant -31CC genotype was observed in other tumors like bladder cancer [14,15], colorectal cancer [16]. Esophageal cancer [17], urothelial carcinoma [18] and gastric cancer [10] etc., (Table 4).

Present study reveals that the frequency of -31CC genotype was found to be significantly higher in case of advanced stage with distant metastasis ( $p < 0.0001$ ). Histologically well differentiated SCC and poorly differentiated ADC patients showed higher percentage of -31CC genotype [19]. Higher frequency of CC genotype was found in patients who smoke cigarette as well as bidi as their smoking habit thus predicting cigarette and bidi as risk factor of non small cell lung cancer in Indian population.

In addition survivin polymorphism were significantly associated with the poor survival outcome of patients with -31CC genotype and the median survival times was found to be of just 10.5 months. In other tumors like colorectal cancer -31CC was found to be significantly associated with poor survival [16-23]. To the best of our knowledge, this is the first case-control study to investigate the polymorphisms in cell cycle regulatory genes survivin (-31G/C) are associated with NSCLC risk among Indian patients.

## Conclusion

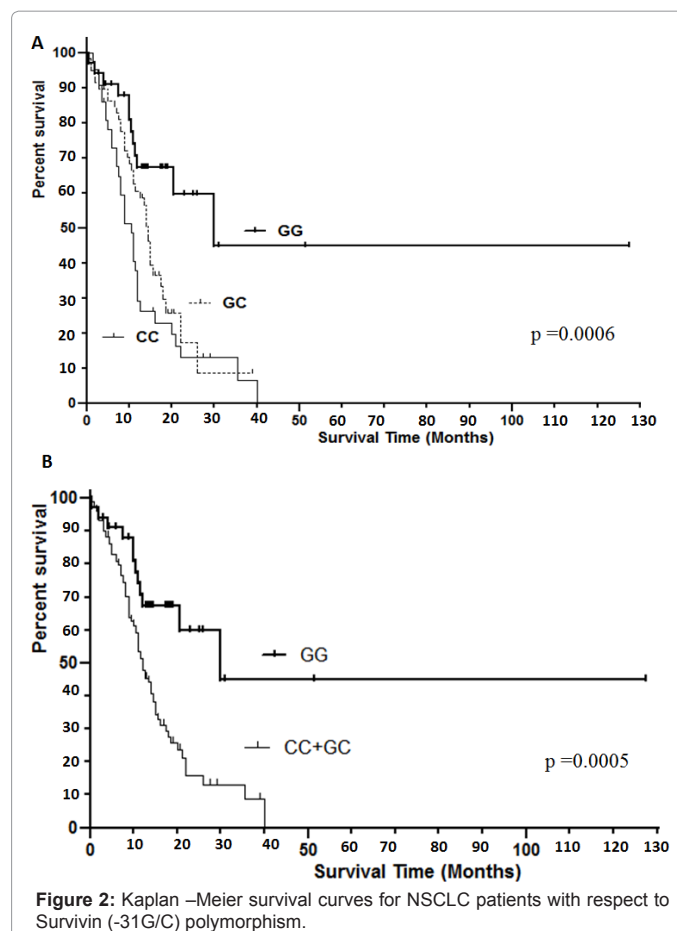
It is suggested that the survivin polymorphisms may be a genetic

Variable	Cases	Controls	OR(95%CI)
GG	33	47	1
CC	44	20	3.13(1.57-6.25)
GC	59	69	1.22(0.69-2.14)
CC+GC	103	89	1.64(0.97-2.79)

**Table 3:** Risk of NSCLC associated with the survivin(-31G/C) genotypes.

S. No	Region	Cancer Type	CASES			
			n	CC	CG	GG
1	Korea [9]	Lung cancer	582	184(31.6)	259(44.5)	139(23.9)
2	China [20]	NSCLC	567	129(22.8)	314(55.4)	124(21.8)
3	Brazil [21]	Gastric cancer	47	8(14.0)	28(49.1)	21(36.9)
4	China [22]	Nasopharyngeal cancer	855	236(28.0)	403(47.7)	205(24.3)
5	Hungary [5]	Cervical cancer	81	7(8.6)	45(55.6)	29(35.8)
6	China [23]	Gastric cancer	220	64(29.0)	110(50.0)	46(21.0)
8	Taiwan [18]	Urothelial cancer	190	66(34.7)	91(47.9)	33(17.4)
9	Greece [16]	Colorectal cancer	312	113(36.2)	131(42.0)	68(21.8)
10	USA [11]	Ovarian cancer	168	28(16.8)	78(46.7)	61(36.5)
11	China [23]	Hepatocellular cancer	178	35(19.7)	100(56.2)	43(24.1)

**Table 4:** Frequency of Survivin -31GC polymorphism in lung and other cancers : Worldwide scenario.



modifier for NSCLC risk and prognosis in Indian population with NSCLC. Also -31CC genotypes were associated with an increased risk than -31GG genotype besides -31G/C genotypes might be a useful genetic marker in peripheral blood to determine susceptibility to advanced stage with distant metastasis status of NSCLC. However, independent large population-based prospective studies for more rigorous analyses of subgroups are needed to validate our findings.

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