

Direct Delivery of Intranasal Insulin to the Brain via Microemulsion as a Putative Treatment of CNS Functioning Disorders

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Abstract

Increasing the quantity of brain insulin has been demonstrated to play an important role in various neurological and pathological conditions. Controlling brain insulin levels might thus be important for cognitive functions and food intake. The goal of this study was to test the feasibility of increasing brain insulin levels by intranasal administration of insulin in microemulsion. Application of daily intranasal fluorescently labeled insulin (FITC-insulin) by water-in-oil microemulsion for 5 consecutive days to rats resulted in a significantly higher brain labeling compared with the aqueous solution as measured using quantitative image analysis of brain sections, that is, a two-fold higher average value than the average fluorescence level obtained after the same regimen of intranasal applications by an aqueous solution.

Interestingly, the fluorescence level in the brain after nasal applications of an aqueous solution was comparable with the intravenous administration of insulin, which may imply that the transport through the olfactory route after nasal application may compensate the poor systemic absorption of insulin solution by this route. The substantially high brain uptake of insulin, which has been shown following intranasal administration via microemulsion, is of great potential to targeting insulin to the brain in patients with neurological disorders, such as Alzheimer's disease.

Keywords: Intranasal drug delivery; Brain targeting; Insulin; Microemulsion; Blood-brain barrier; Alzheimer's disease

Introduction

It is now widely recognized that insulin possesses an important physiological role in the Central Nervous System (CNS), such as in memory and cognitive functions [1-8] as well as in control of food intake and body weight [9-14]. It has been shown by using positron emission tomography [15] that patients suffering from Alzheimer's Disease (AD) displayed a progressive reduction in the cerebral metabolic rate for glucose, which correlated with clinical progression and actually predicted the transition from normal cognition to mild cognitive impairment and dementia. In a recent review, Zhao and Townsend [6] pointed out that type 2 diabetes mellitus and AD share common abnormalities, of which impaired glucose metabolism and elevated levels of advanced glycation end products contribute to the accumulation and aggregation of the amyloid-beta peptide, thus accelerate the disease progression. Other data have indicated that insulin is crucial to memory function and formation: (a) high densities of insulin receptors exist in the brain, including the hypothalamus and the hippocampus [16,17]; (b) reduced cerebrospinal fluid (CSF)-to-plasma insulin ratios have been demonstrated in AD patients compared to healthy subjects [18,19]; and (c) direct intracerebroventricular administration or IV infusion of insulin while maintaining euglycemia improve memory ability in patients with AD probably by increasing brain insulin and/or overcoming insulin resistance [1,2,18,20,21]. Since treatment of AD with insulin was found to be beneficial, AD has been considered at least by one research group as type-3 diabetes [22]. In addition to its role in maintaining cognitive functions, insulin provides a negative feedback signal to hypothalamic nuclei controlling whole body energy and glucose homeostasis while balancing the amount of body fat [9]. Also, recent findings have shown that administration of insulin to the hypothalamus regulates core body temperature and thermogenesis in brown adipose tissue (factors which are dictating the energy balance) by direct inhibitory effects on warm-sensitive neurons [23]. It has been established that systemic insulin crosses the blood-brain

barrier (BBB) to the brain by using an active, unidirectional, receptor-mediated transport system [24-27]. Indeed, insulin transporters can alter the rate of insulin transport into the CNS under a variety of circumstances. For example, elevation of peripheral plasma insulin levels increases the concentration of insulin in the CSF thus raises the signaling that modulates insulin-related functions [21]. In addition, insulin transport is likely to be faster in neonates than in adults [28], slower in Alzheimer's disease and faster in diabetes mellitus-induced animal models [19,29]. Obese, hyper-insulinemic Zucker rats exhibit a reduction in the number of BBB insulin receptors [30], which may account for the decrease in CSF insulin uptake in obesity [10,31].

Increasing interest has been expressed in the potential of the nasal route in circumventing the (blood-brain barrier) BBB for the delivery of drugs, including insulin, into the CNS [32-35]. The olfactory region has been marked as a relatively open gate for direct transport from nose to brain [32,35]. Due to the unique anatomical organization of the olfactory nerves, a drug can circumvent BBB by (a) neuronal endocytosis followed by intracellular transport and axonal migration to the olfactory bulb with subsequent possible distribution of the drug into distant brain tissues, and (b) paracellular diffusion through the intercellular clefts in the olfactory epithelium and through the channels created between the ensheathing cells surrounding the olfactory axons in the lamina propria towards the CSF and the olfactory bulb [32,36,37]. Intranasal delivery to targeting drugs into the brain, or so

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called the “nose-to-brain” route, might be efficient enough to achieve therapeutic effects in the CNS of neurological impaired humans by drugs which are not (or less) permeable the BBB [37]. In particular, it has been suggested that intranasal delivery of insulin may have therapeutic benefit for patients with AD, i.e., facilitating cognition by increasing brain insulin signaling [7,38-42]. Nasal insulin, while delivered through the olfactory and trigeminal nerves, may bypass the BBB and its saturable transporters, resulting in increase of the CNS-to-plasma insulin ratio.

Here, we report that increasing brain insulin levels may be feasible by using intranasal administration of fluorescently labeled insulin formulated within a water-in oil microemulsion. In our previous publication [43], we demonstrated an increased systemic absorption of intranasal insulin by using a new microemulsion system in a form of a spray. Although this system has been aimed for treatment of diabetic patients and apparently suffers from a disadvantage of whole body distribution, it has been hypothesized that if a significantly enhanced brain targeting of insulin is achieved by this special preparation, the systemic exposure could be easily compensated by both reduction in nasal dosage and focal localization to the olfactory region to obtain an effective treatment of cognitive impairments.

Materials and Methods

Materials

Fluorescein Isothiocyanate (FITC)-Insulin was purchased from Aldrich (Sigma-Aldrich Inc., St. Louis, MO). Glyceryl oleate was obtained from Uniqema, Bromborough Pool, The Wirral, UK. Labrasol was obtained from Gattefosse, France. Isopropyl palmitate (IPP) and propylene carbonate were purchased from Aldrich (Sigma-Aldrich Inc., St. Louis, MO). Acepromazine (10 mg/ml acepromazine maleate solution) was used from PromAce Injectable, Fort Dodge-Animal Health (Iowa, USA). Isoflourane was purchased from Nicholas (Nicholas Piramal, India). O.C.T compound embedding medium (manufactured by Sakura Finetek, USA, Inc.) was purchased from a local distributor.

Preparation of the microemulsion

Microemulsion was prepared as previously described by our group [43]. Briefly, a FITC-Insulin (5 mg/ml) solution in water was mixed with labrasol and glyceryl oleate (surfactants), isopropyl palmitate (oil), and propylene carbonate (co-surfactant). The aqueous phase was 20% (by weight), the cosurfactant-surfactants (CoS/S) weight ratio was 1:5, and the surfactants' ratio was 1:3. The final microemulsion preparation contained 1 mg/ml (w/v) FITC-insulin. The obtained monophasic formulations were formed after a short stirring at room temperature.

Study design

All animal procedures were performed in accordance with protocols reviewed and approved by the Institutional & Use Committee, Ben-Gurion University of the Negev, which complies with the Israeli Law of Human Care and Use of Laboratory Animals. Sprague Dawley rats (males, 200-250 g of body weight, Harlan, Jerusalem) were used. Rats were housed with a free access to food and water. A 12h light /12 h dark cycle was held to keep a normal circadian rhythm in the animals. In a first study, animals were separated into nine groups (3 to 5 rats each). The grouping was according to three criteria, type of formulation used (nasal aqueous solution vs. nasal microemulsion), the route of administration (intranasal vs. intravenous), and the dosage regimen (1, 2 or 3 times in 24 h). In a following study, the intranasal administration

of FITC-insulin via microemulsion was compared with intranasal administration of plain aqueous solution only, but in this study the dosage regimen was once-daily for 5 consecutive days. The two nasal formulations contained the same FITC-Insulin concentration, and the same liquid volume was applied. Animals had been sedated with isoflurane vapor just before 5 μ l of microemulsion (IN microemulsion group) or aqueous solution (IN solution group) were applied to each nostril using a pipette tip. The tip was positioned at the opening of the nostril while the animal was placed on its back, and formulation gradually released in accordance with the animal inspiration. For intravenous application (IV group), the animals were anaesthetized with ketamine, xylazine and acepromazine and 0.5 ml of FITC-insulin solution (water for injection/FITC Insulin) was injected to the caudal vein of the tail. At the end-point of the experiment (24 hours or 5 days after applications had started), each animal was deeply anaesthetized with ketamine and xylazine and sacrificed. After decapitation, the skull was cut quickly and gently starting from the atlas, at the mid temporal line along the occipital, frontal and nasal regions. Afterwards the occipital, frontal and nasal (premaxilla) bones could be easily lifted up to expose the whole brain including the olfactory bulb. The brain was then carefully removed with the olfactory bulb and embedded in O.C.T solution. Each brain was embedded individually in the O.C.T compound solution, frozen immediately with liquid nitrogen and kept at -20°C protected from light until processed for microscope visualization. All samples were protected from light during all experiment stages.

Brain preparation for microscopy

Brains were sectioned using a cryostat (CM 1950, Leica, -21°C, 9 μ m thick). The sectioning was performed in the coronal plane and slices were taken from the frontal lobes only starting from the tip of the olfactory bulb (Pathological Institute, Soroka University Medical Center). Images were taken using a fluorescence microscope (DM 4500B, Leica; EL 6000, Xcite light; I3 filter Cube, Leica), and a DFC 340 FX digital camera (Leica, 66.5 ms exposure, Leica application suite v.3.7.0 software).

Image processing

Fluorescent intensity was measured from the acquired images using in-house Matlab[®] script [44]: RGB channels were separated, and only the intensity in the green channel was calculated for each image. In purpose to reduce a background green level that may exist, the regions with higher intensity than the average were normalized by applying the average value that had been calculated for each image, denoted as “a”, as the threshold level. This resulted in an image consisting of pixels with a higher intensity than the average. The average of these pixels, denoted as “b”, was then calculated as followed:

$$a = \frac{\sum \text{green intensity pixels}}{\sum \text{pixels per picture}}$$
$$b = \frac{\sum_a^{\infty} \text{green intensity pixels}}{\sum_a^{\infty} \text{number of pixels}}$$

Statistical analysis

All data are reported as mean \pm standard deviation, and the difference between the “b” values obtained from the various groups of animals was analyzed. The unweighted means analysis of variance (ANOVA) test for the differences among group means was used and differences at a significance level < 0.05 were considered significant. The normal distribution of data analyzed by the ANOVA procedure was ascertained using the Kolmogorov-Smirnov test.

Results and Discussion

The microemulsion contained an inner aqueous phase with a mean globule size of approximately 2 nm in diameter (2.26 nm after loading with insulin), as measured by dynamic light scattering. When FITC-labeled insulin is loaded in the microemulsion at a concentration of 1 mg/ml, a self-quenching phenomenon associated with the fluorescent marker can be observed at this level (Figure 1, upper). As seen, the dark yellow color obtained in 1mg/ml aqueous FITC-insulin solution almost entirely disappeared in microemulsion containing 20% aqueous FITC-insulin (5 mg/ml) nano-dispersed in the oily medium. A partial resumption of the yellow color (and apparently the fluorescence emission) occurred after two-fold dilution of the microemulsion with distilled water (Figure 1, bottom), indicating that either some encapsulated FITC-insulin was still remaining in the system or it was concentrated in the interfacial membrane of the inverted system.

Substantially higher uptake of FITC-insulin into the brain was noted with intranasal administration via microemulsion, compared with both intranasal administration of aqueous solution and intravenous administration at the same dose and dosage regimen. Figure 2 exemplifies the fluorescence emission in brain sections after intranasal administrations of insulin containing microemulsion and plain solution and after intravenous administration. A clear increase in fluorescence intensity was seen in photomicrographs of brain tissue obtained 24 hours after 1, 2 or 3 intranasal applications of microemulsion containing FITC-insulin, compared with the corresponding intranasal applications or intravenous injections of an aqueous solution. Table 1 summarizes the mean values of the fluorescence intensities and its distribution as calculated according to Matlab image processing using at least ten sample photographs taken under a fluorescence microscope from the various sections of each rat brain. The data showed that 24 h after a single intranasal administration of 10 μ g FITC-insulin per animal the mean fluorescence level was 86.3 ± 36.1 pdu with microemulsion and 51.4 ± 13.2 pdu with an aqueous solution. After IV administration of 10 μ g FITC-insulin, the mean level (49.6 ± 10.1 pdu) was similar to the level obtained after nasal administration of an aqueous solution. Twenty four hours after 2 applications (0 and 12h) of 10 μ g FITC-insulin per animal, the mean fluorescence level was 138.5 ± 80.6 pdu with microemulsion administration and 48.7 ± 5.5 pdu with an aqueous solution, while after IV administration the mean level was 46.0 ± 8.5 pdu. In both, once daily and twice daily, intranasal microemulsion resulted in a significantly higher uptake of FITC-insulin into the brain than nasal solution and IV administration (ANOVA, $p < 0.01$). Interestingly, the fluorescence level in the brain after nasal applications of aqueous solution was comparable with the intravenous administration, implying that while the intravenous route to the brain is mediated by insulin transporters of the BBB, intranasal insulin (having a poor systemic absorption from solution) apparently transports through the olfactory route. Importantly, 24 hours after 3 repeated intranasal applications (0, 8, and 16 h) of FITC-insulin (but not after one or two applications), the mean fluorescence level was 65.7 ± 17.3 pdu with microemulsion administration, 36.4 ± 4.5 pdu with an aqueous solution, and 62.3 ± 20.6 pdu after IV administrations. Thus, while insulin was injected IV three times daily, brain uptake of the drug was significantly increased compared with once and twice daily injections. This increase in brain uptake was not observed when three intranasal doses of a microemulsion or aqueous solution of FITC-insulin were given, but rather a decrease in brain uptake was found. In this dose regimen (thrice daily), intranasal microemulsion was superior to intranasal solution of insulin, but was similar to IV

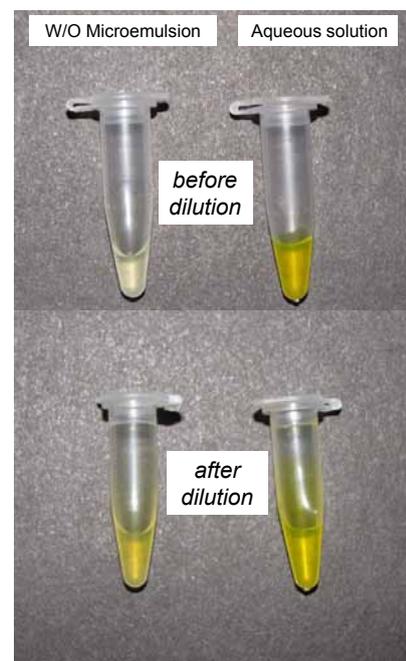


Figure 1: Self-quenching effect of FITC-insulin in microemulsion preparation. A comparison is made between the color intensities of plain solution and microemulsion containing the fluorescently-labeled insulin at a concentration of 1mg/ml (upper photograph). After dilution (x2) of both preparations with distilled water, a slight color difference still persists (lower photograph).

injection. While it is reasonable why higher systemic loading of insulin increases its delivery into the brain via pre-saturated BBB transporters, the decrease in the protein uptake by repeated doses through the nasal route is an interesting finding, suggesting that insulin uptake into the brain can be limited by increased rate of enzymatic degradation within the olfactory bulb (due to increase in protein concentration), as shown by Banks et al [45]. This phenomenon, however, may evidence the existence of different mechanisms of transport exhibited by the two routes, as the nose-to-brain delivery occurs by a direct transport mechanism bypassing the systemic circulation and the blood-brain-barrier transport. Figure 3 shows the fluorescence emission obtained after once-daily applications of intranasal FITC-insulin for 5 consecutive days. Intranasal applications of microemulsion resulted in a strong fluorescence glowing and two-fold higher signal levels within brain sections compared with the aqueous solution (109.4 ± 45.5 pdu vs. 51.6 ± 7.1 pdu, Table 1).

The mechanisms by which intranasal proteins are transported into the brain, particularly within the nano-vesicles of a microemulsion remained to be investigated. Two different pathways for drug uptake into the brain are claimed to exist: (a) the systemic pathway by which the drug is absorbed into the systemic circulation and subsequently reaches the brain by crossing the BBB, and (b) the olfactory pathway (and the trigeminal route) by which the drug migrates to the CSF and brain tissue. Drugs transporting through the olfactory neurons (intracellular axonal transport) are thought to internalize within neurons by mechanisms of endocytosis or pinocytosis. They travel along axons and via the nerve bundle, transverse the cribriform plate reaching the olfactory bulb. Such transport of different materials (metals, macromolecules, viruses) along the axonal route has been shown earlier by several researchers [36, 46,47]. These studies also showed that drug transport along axons is extremely slow and can take

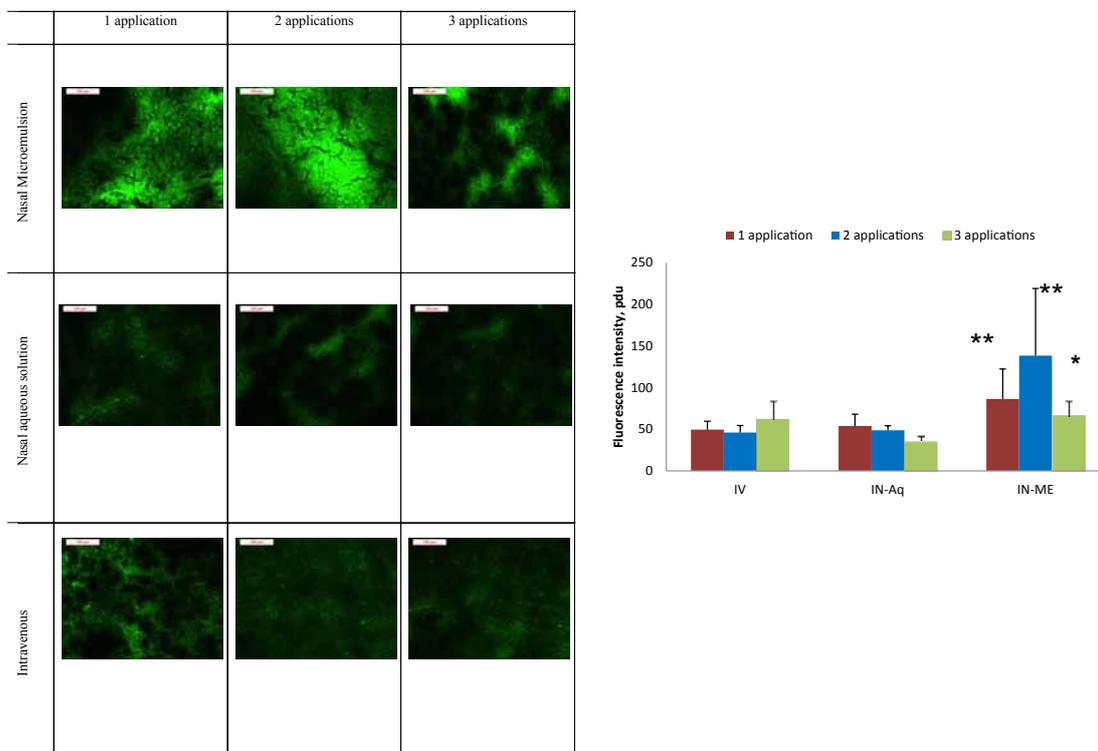


Figure 2: Comparison between the fluorescence emissions in brain cross-sections (about 15 slices) obtained after 24 hours following 1, 2 and 3 administrations of intranasal FITC-insulin in aqueous solution or microemulsion to rats (n=11). A comparison was also made with the corresponding systemic IV administrations of FITC-insulin solution (n=3). All photographs were taken at the same magnification and light conditions. Also are shown the averaged fluorescence levels as processed by MATLAB software. Significant difference between intranasal microemulsion and both nasal aqueous solution and intravenous injections is denoted as **, and between intranasal microemulsion and nasal aqueous solution only is denoted as * (see also Table 1).

FITC-insulin treatment (40-50 µg/kg)	Route of administration	Dose regimen	Averaged fluorescence level (pdu)
Microemulsion	Intranasal	1x1d	86.3 ± 36.1 (n=13) ^{S1, S2}
Aqueous solution	Intranasal	1x1d	51.4 ± 13.2 (n=13)
Aqueous solution	IV	1x1d	49.6 ± 10.1 (n=14)
Microemulsion	Intranasal	2x1d	138.5 ± 80.6 (n=10) ^{S1, S2}
Aqueous solution	Intranasal	2x1d	48.7 ± 5.5 (n=14)
Aqueous solution	IV	2x1d	46.0 ± 8.5 (n=11)
Microemulsion	Intranasal	3x1d	65.7 ± 17.3 (n=13) ^{S1}
Aqueous solution	Intranasal	3x1d	36.4 ± 4.5 (n=15) ^{S2}
Aqueous solution	IV	3x1d	62.3 ± 20.6 (n=13) ^{S1}
Microemulsion	Intranasal	1x1d for 5d	109.4 ± 45.5 (n=15) ^{S1}
Aqueous solution	Intranasal	1x1d for 5d	51.6 ± 7.1 (n=31)

*pdu is the abbreviation for 'procedure defined unit'

Table 1: Determination of fluorescence levels in brain tissue slices using MATLAB image processing. Statistically significant difference (ANOVA, p<0.01) compared with data obtained after administrations at the same dose regimen of nasal aqueous solution^{S1} and IV aqueous solution^{S2}.

1x1d = 24 hours after 1 application;

2x1d = 24 hours after 2 applications at t=0 and 12h;

3x1d = 24 hours after 3 applications at t=0, 8h, and 16h;

1x1d for 5d= at day 5 after 5 daily applications.

up to 24 hours before the drug reaches the CNS. Additional pathway (also so-called a direct entry into the brain) appears to be much faster, with drugs appearing in the CSF and in the brain in a few minutes after nasal application. By this latter route, the drug seems to enter the perineural space which surrounding the olfactory nerves, either through loosely adherent perineural epithelium surrounding the axon or through the epithelial cell junctions if the perineural epithelium is closely adherent to the axon. It has been shown in a rat model that

large molecular weight drugs, such as protein nerve growth factor (37 kDa) [48], insulin (5.7 kDa) and Vasoactive Intestinal Peptide (VIP) (3.5 kDa) [21,49] can be transported rapidly into the CSF by this route.

Conclusions

Our findings reveal that a significant quantity of fluorescently-labeled insulin can be effectively delivered to the brain by intranasal administration of formulated microemulsion. The study also

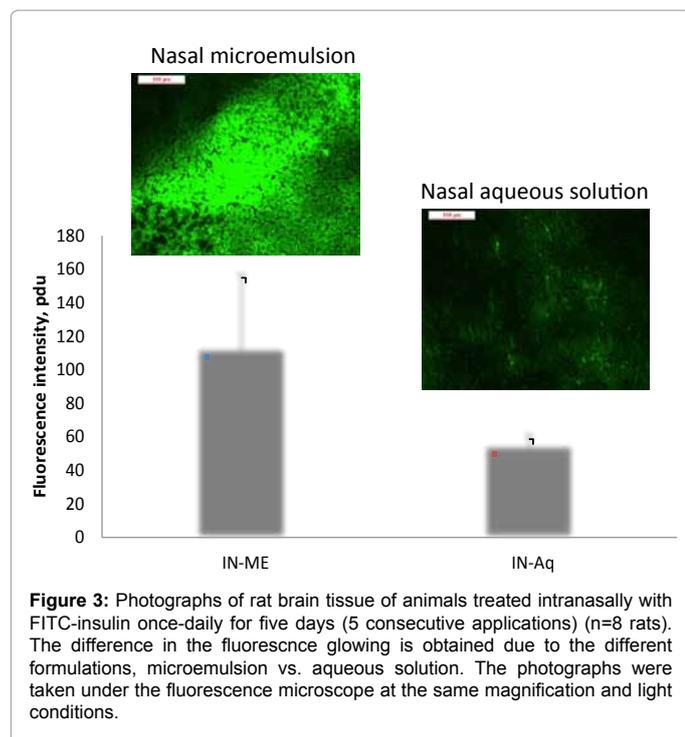


Figure 3: Photographs of rat brain tissue of animals treated intranasally with FITC-insulin once-daily for five days (5 consecutive applications) (n=8 rats). The difference in the fluorescence glowing is obtained due to the different formulations, microemulsion vs. aqueous solution. The photographs were taken under the fluorescence microscope at the same magnification and light conditions.

demonstrated a larger extent of nose-to-brain transport compared with insulin aqueous solution, and a clear preference of intranasal microemulsion over intravenous administration on brain uptake. In view of these results, it is suggested that intranasal delivery of low-dose insulin by an optimized formulation, which would be targeted to the olfactory region, might be a potential treatment in conditions like Alzheimer's disease and obesity. It has also been conceivable to increase the microemulsion viscosity to prevent mixing with foreign compounds in the nasal environment, thus avoiding their unintentional brain entry. Further work is still remaining to be done using animal models which mimic the disease states to test whether the same pharmacokinetic parameters are held. In addition, benefits to risk ratio should be evaluated through further animal studies followed by human trials to establish its suitability in clinical practice.

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