Dysfunctional HDL and Cardiovascular Disease Risk in Individuals with Diabetic Dyslipidemia

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Key Points
- Diabetic dyslipidemia is associated with increased risk of cardiovascular disease.
- Diabetic dyslipidemia is characterized by increased plasma triglyceride concentration, increased concentration of small dense LDL cholesterol, and low HDL cholesterol concentration.
- HDL normally plays a cardioprotective role by promoting reverse cholesterol transport and modulating inflammation.
- Although HDL is anti-inflammatory in the absence of prolonged oxidative stress, it can become dysfunctional and atherogenic in the chronic inflammatory state that characterizes diabetes mellitus.
- Despite therapeutic lifestyle changes and optimal statin therapy, there still remains significant residual cardiovascular risk.
- Novel therapies that improve the antioxidant and anti-inflammatory properties of HDL may be the most effective adjunctive treatments for reducing cardiovascular risk in diabetic individuals.

Introduction

Cardiovascular disease (CVD) remains the leading cause of morbidity and mortality in individuals with diabetes mellitus despite advances in the prevention and management of CVD. A number of studies have shown that the prospective risk of adverse cardiovascular events in diabetic individuals without previous myocardial infarction is comparable to non-diabetic individuals with myocardial infarction, with comparatively worse clinical outcomes for diabetic individuals following an adverse cardiovascular event [1-4]. Furthermore, population studies indicate that diabetes amplifies the effects of other common CVD risk factors, including hypertension, hypercholesterolemia, and smoking [5,6]. Consequently, diabetes has been considered in some prevention guidelines as a coronary artery disease-risk equivalent [7,8].

Diabetic individuals have a 2- to 4-fold greater risk of developing CVD in part because of an accelerated atherosclerotic process resulting from the disruption of the regulatory role insulin plays in lipoprotein and plasma lipid metabolism [9]. Dyslipidemia is more frequent in diabetics than age- and sex-matched non-diabetic individuals and can match any of the lipid profiles seen in the general population; however, diabetic dyslipidemia is most often characterized by high plasma triglyceride concentration, low high-density lipoprotein cholesterol (HDL-C) concentration, and increased concentration of small dense low-density lipoprotein cholesterol (LDL-C) [10-14].

Pathophysiology of diabetic dyslipidemia

The diabetic lipid profile is a result of elevated free fatty acid release from insulin-resistant fat cells [15-18]. The excess free fatty acids are then converted to triglycerides in the liver, whose increased production in turn stimulates VLDL cholesterol (VLDL-C) and apolipoprotein B synthesis; the reduced activity of lipoprotein lipase in the insulin-deficient state may also contribute to elevated triglyceride and VLDL-C levels [19,20]. The consequence of these elevated lipid fractions is increased small dense LDL-C levels and decreased HDL-C (Figure 1).

Specifically, there is a exchange between the HDL-transported cholesterol ester and the VLDL-transported triglyceride mediated by cholesteryl ester transfer protein (CETP). This exchange results in triglyceride-rich HDL particles that are subsequently hydrolyzed by hepatic lipase or lipoprotein lipase. The apolipoprotein A-I (apoA-I) levels.
released from this enzymatic hydrolysis is then filtered through the renal glomeruli and broken down [20,21]. Similarly, CETP is responsible for the exchange of LDL-transported cholesteryl ester and the VLDL-transported triglyceride, with the resulting triglyceride-rich LDL undergoing hydrolysis by hepatic lipase or lipoprotein lipase to become lipid-depleted small dense LDL particles. These small dense LDL particles are more atherogenic and more susceptible to oxidation when glycated [22].

Insulin resistance also contributes to functional changes in the enzymes involved in HDL-C metabolism [23,24]. Cholesterol esterification within the lipoprotein particles via lecithin-cholesterol acyltransferase (LCAT) is only mildly increased relative to the increase in CETP activity; this discrepancy in enzymatic activity levels leads to lower HDL-C levels because of the greater efflux of cholesterol ester from HDL [20,25]. The decreased ratio of lipoprotein lipase to hepatic lipase is another contributing factor to lower HDL-C in diabetic individuals [20]. In kinetic studies, individuals with metabolic syndrome had significantly lower adiponectin levels than normal subjects, which strongly correlates with increased fractional clearance rate of apoA-I and, consequently, lower HDL-C [26]. Phospholipid-transfer protein, an enzyme involved in lipoprotein metabolism, has increased activity in diabetes mellitus and is a positive determinant of intima-media thickness in type 2 diabetes mellitus, indicating it may be involved in accelerated atherosclerosis [27].

**The cardiovascular role of HDL**

It is well accepted that HDL-C levels are inversely correlated with the risk of adverse cardiovascular events. HDL particles are highly heterogeneous molecules that act as a shuttle to promote reverse cholesterol transport from lipid-laden arteries to the liver for excretion. The major component of HDL responsible for this cholesterol efflux is apoA-I [28]. Moore et al. (2005) showed that knockout mice lacking apoA-I had increased atherosclerosis and impaired reverse cholesterol transport as well as increased systemic inflammation [29].

In addition to its role in cholesterol homeostasis, HDL particles contain varying levels of antioxidants and pro-oxidants that modulate systemic inflammation; these functions appear to have evolved as part of the innate immune system. Several of the antioxidant enzymes associated with HDL include LCAT, paraoxonase-1 (PON1), platelet-activating factor acetylhydrolase (PAF-AH), and glutathione peroxidase [30]. These enzymes can prevent the formation of oxidized phospholipids or inhibit their activity after they have formed.

Cell culture studies wherein human aortic endothelial cells and smooth muscle have been used to simulate the arterial wall show that adding LDL results in subendothelial deposition [31]. This deposition results in the release of pro-inflammatory cytokines from arterial cells, such as monocyte chemoattractant protein-1 (MCP-1), and oxidation of LDL phospholipids [31]. The addition of normal HDL abolishes this process, indicating that normal HDL is capable of preventing LDL oxidation as well as the LDL-induced inflammatory response [31]. HDL has also been shown to oppose several of the processes associated with endothelial dysfunction by reducing cytokine-induced adhesion molecule expression, increasing nitric oxide production, and inhibiting endothelial apoptosis by HDL-associated lysosphingolipids [32-34]. In vitro studies show that HDL inhibits agonist-stimulated decreases in platelet reactivity and aggregability, fibrinogen binding, and liberation of thromboxane A2 [35].

**Inflammatory properties of HDL in chronic disease states**

However, there is emerging evidence that HDL-C levels do not always accurately predicted the function of HDL. Even in the original Framingham study that established the importance of HDL-C levels in predicting adverse cardiovascular events, more than 40% of events occurred in subjects with clinically normal HDL-C levels [36-38]. This discrepancy is partially attributable to the fact that the function of HDL is adversely affected in pro-inflammatory states.

Van Lenten et al. (1995) were the first to document that during the acute-phase response in rabbits, mice, and humans, HDL loses its ability to inhibit LDL oxidation [39]. Comparison of HDL isolated before, during, and after elective surgery in the same subjects showed that the HDL during surgery was less effective in inhibiting LDL oxidation and actually increased LDL-induced MCP-1 production [39]. In addition, two of the HDL-associated antioxidant enzymes, PON1 and PAF-AH, had reduced activity. Upon resolution of the acute-phase response, these HDL-associated enzyme activities returned to baseline and the anti-inflammatory properties of HDL were restored.

Specifically, the enzymes of the HDL particle responsible for reducing oxidized phospholipids can also be inactivated by these same oxidized phospholipids and reactive oxygen species; in healthy individuals, there is a balance created such that there are enough functioning enzymes and apoA-I activity for HDL to remain anti-inflammatory [40,41]. However, in those with chronic illnesses characterized by systemic oxidative stress, such as diabetes, the balance can shift. HDL not only becomes dysfunctional because its inactivated enzymes and altered apolipoproteins cannot adequately promote cholesterol efflux, but actually transforms to a pro-inflammatory molecule as it continues to accumulate oxidized lipids and proteins [42]. Thus, the pro-inflammatory HDL becomes a form of “chronic acute-phase response,” similar to that characterized by C-reactive protein levels.

**Function of HDL in diabetes mellitus**

Under hyperglycemic conditions, HDL undergoes glycation and has a reduced capacity for metabolizing membrane lipid hydroperoxides, which can lead to increased susceptibility to CVD [43]. Glycation of HDL by incubation under hyperglycemic conditions results in increased monocyte adhesion to human aortic endothelial cells exposed to oxidized LDL [43]. Furthermore, glycation of the HDL-associated enzyme PON1 inhibits its ability to decrease monocyte-chemotactic protein-1 (MCP-1) production by endothelial cells, thereby preventing monocyte adhesion to endothelial cells in one of the earliest processes of atherosclerosis [44]. Hedrick et al. (2000) found that in subjects with type 2 diabetes mellitus and documented coronary artery disease, PON1 activity was reduced by 40% compared with non-diabetic subjects [43]. Other studies show an inverse relationship between PON1 activity and circulating oxidized LDL levels in diabetic individuals, highlighting the critical role of PON1 in retarding LDL oxidation [45,46].

Morgantini et al. (2011) compared the anti-inflammatory function in HDL from diabetic subjects compared to healthy volunteers using cell-free assays [47]. HDL from diabetic subjects has higher intrinsic oxidation and was less able to inhibit the migration of monocytes induced by LDL. The mean HDL inflammatory index value in diabetics was significantly greater than 1.0 (1.4±0.29), indicating the HDL was actually pro-inflammatory; the HDL inflammatory index has been significantly correlated with intima media thickening and...
that HDL taken from subjects with type 1 and type 2 diabetes mellitus was defective in counteracting the effects of oxidized LDL on vascular relaxation compared to normal subjects \cite{51,52}. These results suggest that while the etiologies of type 1 and type 2 diabetes mellitus are different, the abnormalities in the function of HDL that result from these disease states are similar.

**HDL as a predictor of cardiovascular risk**

The current practice in lipid management is that raising HDL-C will necessarily reduce the likelihood of adverse cardiovascular events in diabetics. This treatment goal is supported by strong epidemiologic data confirming the relationship between low HDL-C levels and increased risk for CVD \cite{53,54}. However, measuring HDL-C levels only provides a quantitative measurement of HDL without conveying any information about the qualitative function of the particles themselves. Roberts et al. (2006) found that a 3-week residential program of diet and daily aerobic exercise in obese men with characteristics of metabolic syndrome resulted in improved anti-inflammatory properties of HDL despite an overall reduction of HDL-C levels \cite{55}. A meta-regression analysis by Briel et al. (2009) showed that, after adjustment for changes in LDL-C, no correlation was observed between HDL-C level and risk for adverse cardiovascular events or mortality \cite{56}. In another study looking at individuals with a mutant apoA-I protein (apoA-I\textsubscript{phlox}) that causes reduced HDL-C levels, the subjects did not appear to have an increased risk for adverse cardiovascular events \cite{57}. Analysis of the IDEAL (Incremental Decrease in Endpoints through Aggressive Lipid Lowering) and EPIC-Norfolk (European Prospective Investigation Into Cancer in Norfolk) data showed that very high HDL-C levels and particle size were associated with greater cardiovascular risk after adjusting for other cardiac risk factors \cite{58}. These findings indicate that improving HDL composition and function may be as important as assessing HDL-C levels in determining cardiovascular risk.

**HDL as a potential therapeutic target**

Treatment strategies have focused on three areas for improving diabetic dyslipidemia: 1) therapeutic lifestyle changes (TLC) with diet, exercise, and weight loss; 2) glycemic control; and 3) lipid profile modification. TLC alone has not been shown to effectively reduce CVD morbidity and mortality, but is an important adjunct to drug-based therapies \cite{59}. Glycemic control helps improve the lipid profile, but is only partially corrective because of continued insulin resistance \cite{60,61}. In particular, glycemic control is more effective in lowering non-HDL-C and triglycerides than increasing HDL-C \cite{62,63}.

As in all individuals with dyslipidemia, lipid profile modification in individuals with diabetes has primarily targeted lowering LDL-C given the unequivocal improvement in cardiovascular risk while on statin therapy \cite{64}. Despite this improvement, there still remains considerable residual cardiovascular risk and continued disease progression in the coronary arteries even after optimal statin therapy \cite{65-67}. There is greater interest among researchers in developing novel therapies that target HDL-C as a complement to LDL-C lowering for cardiovascular risk reduction.

The addition of functional, non-oxidized HDL has been shown to be beneficial in diabetic individuals. Drew et al. (2009) showed that infusion of reconstituted HDL particles in type 2 diabetic subjects decreased plasma glucose levels by increasing both plasma insulin levels and AMP-activated protein kinase in skeletal muscle \cite{68}. Infusion of reconstituted HDL in diabetic individuals also enhanced cholesterol efflux by 325% and reduced the cholesterol content of platelet atherosclerotic plaque size \cite{48}. Moreover, there was a statistically significant correlation found between HDL inflammatory index values and serum amyloid A (SAA). The presence of acute phase proteins, such as SAA and the haptoglobin-hemoglobin complex, may be implicated in promoting qualitative changes in HDL \cite{49,50}.

In healthy individuals, HDL is effective in reversing the inhibition of vasodilatation induced by oxidized LDL. Perségol et al. (2006) found

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**Figure 2: Function of HDL in normal versus hyperglycemic state. (A) In early atherogenesis, hypercholesterolemia promotes accumulation of LDL particles in the arterial subendothelial space. LDL accumulation provokes an inflammatory response that results in oxidative modification of LDL and, subsequently, an augmented expression of various leukocyte adhesion molecules expressed on the surface of the arterial endothelial cells. Healthy HDL functions to sequester oxidized lipid products from LDL and promote reverse cholesterol transport from macrophages whose scavenger receptors preferentially bind modified lipoproteins, thereby preventing atheroma formation. (B) Under hyperglycemic conditions, the ability of HDL to metabolize and remove oxidized lipids is compromised by glycation. The enhanced lipid peroxidation causes the endothelium to produce monocyte chemoattractants and express leukocyte adhesion molecules. Continued macrophage uptake of oxidized LDL phospholipids and diminished HDL-mediated cholesterol efflux results in atheroma formation. The oxidation of HDL-associated antioxidants via glucose-mediated oxidative stress eventually results in HDL particles that act as pro-inflammatory molecules, further exacerbating the local inflammatory cycle. Abbreviations: Gly-HDL, glycosylated high-density lipoprotein; HDL, high-density lipoprotein; LDL, low-density lipoprotein; MCP-1, monocyte chemotactic protein 1; Ox-LDL, oxidized low-density lipoprotein.**
membranes, thereby inhibiting the heightened reactivity of platelets normally found in a hyperglycemic state [69]. Additional studies have shown that functional HDL in a model of diabetes helps mitigate the progression of beta cell failure, improves metabolic control, reduces monocyte adhesion, enhances endothelial cell function, and increases levels of circulating endothelial progenitor cells [70-73].

A number of new investigational therapies are being tested that use various physiologic pathways to promote either HDL functionality or raise HDL-C levels, or both (Figure 3).

**Drug therapies that primarily improve the quality of HDL**

The drug class that has specifically been shown to improve the function of HDL is the apolipoprotein mimetic peptides, which are short 18-amino acid peptides that do not have sequence homology with apoA-I, but mimic the class A amphipathic helixes contained in apoA-I [74-76]. ApoA-I, which is the major protein in HDL, plays a number of important biological functions and has anti-atherogenic, anti-inflammatory, and antioxidant properties [77]. Similar to endogenous apoA-I, it is postulated that apolipoprotein mimetic peptides appear bind and remove oxidized lipids to help render HDL anti-inflammatory while also promoting cholesterol efflux, without a significant change in HDL-C levels [78,79].

Kruger et al. (2005) studied the concentrations of the antioxidant enzymes heme oxygenase 1 (HO-1) and extracellular superoxide dismutase (EC-SOD) in streptozotocin-induced diabetic rats [80]. The induction of diabetes was associated with a significant decrease in aortic HO-1 and EC-SOD levels without a fall in Cu/Zn superoxide dismutase levels. However, treatment of the rats with the apoA-I mimetic peptide D-4F resulted in a significant increase in aortic HO-1 concentration and activity as well as preservation of EC-SOD levels compared to control non-diabetic rats. Furthermore, D-4F addition helped reduce endothelial sloughing and preserved endothelial nitric oxide synthase (eNOS) mediated vascular reactivity.

Peterson et al. (2007) looked at the effect of D-4F on rats with and without diabetes [81]. Insulin was administered to the streptozotocin-induced diabetic rats to maintain blood glucose levels between 240 and 320 mg/dL to prevent ketosis and weight loss. Four groups of animals were studied: control, streptozotocin-insulin treated, streptozotocin-insulin treated plus D-4F, and rats treated with D-4F but without streptozotocin. Although D-4F treatment did not alter glucose levels, it significantly increased HO-1 activity in the heart and aorta of the diabetic rats and reduced endothelial sloughing as evidenced by increased CD31+ staining of the endothelium compared to controls. In addition, diabetes caused a significant decrease in aortic thrombomodulin expression that was restored to the levels of the control rats with D-4F treatment.

More recently, Morgantini et al. (2010) studied the effects of D-4F in preventing atherosclerosis development in apoE−/− diabetic mice [82]. Compared to non-diabetic apoE−/− mice, the diabetic apoE−/− mice developed roughly 300% larger lesions, marked dyslipidemia, elevated glucose levels, and reduced plasma insulin levels. Atherosclerotic lesions were significantly reduced in the D-4F–treated diabetic apoE−/− mice and the existing lesions had significantly reduced macrophage content relative to non-treated mice. Oxidized lipid concentrations in the liver tissue of diabetic apoE−/− mice compared with non-diabetic apoE−/− mice were significantly reduced by D-4F treatment (Figure 4).

The class of drugs known as apoA-I expression stimulators may potentially be useful in diabetic patients given that apoA-I levels are reduced during an acute inflammatory response [83-85]. However, the primary apoA-1 expression stimulator RVX-208 has not been tested yet in human or animal models of diabetes. A phase I clinical trial of RVX-208 increased endogenous apoA-1 production and improved HDL-mediated cholesterol efflux [86]. However, results from the phase II clinical trial involving patients with stable coronary artery disease were disappointing, with only a modest 5.6% increase in apoA-1 at the highest dose of RVX-208 [87]. A potentially encouraging sign was a robust 21% increase in the fraction of large HDL particles, suggesting an improvement in reverse cholesterol transport secondary to greater maturation to the more lipid-rich HDL [88].

**Drug therapies that primarily alter the quantity of HDL**

The dilemma of whether raising HDL-C without necessarily improving function can effectively reduce cardiovascular morbidity is best exemplified by the CETP inhibitors. This drug class raises HDL-C by preventing the exchange between HDL-transported cholesterol ester and VLDL-transported triglyceride. A post hoc analysis of the diabetic patient subgroup in a phase III clinical trial of the CETP inhibitor torcetrapib showed a reduction in fasting serum insulin level, plasma glucose, and hemoglobin A1C, but the changes did not correlate with the magnitude in increase in HDL-C levels [89]. Furthermore, the clinical trial was terminated after excess cardiovascular-related morbidity and mortality in the torcetrapib-treated arm, despite increasing HDL-C by

![Figure 3: Metabolic pathway of high-density lipoprotein and sites of therapeutic intervention.](image-url)
Diabetic subsets showed dose-dependent improvements in HbA1c, concentrations and fasting plasma glucose compared with placebo, and significant dose-dependent changes in all lipid parameters, including an increase in HDL-C levels of up to 28% [107].

LXR agonists can affect glucose homeostasis by stimulating insulin production, suppressing gluconeogenesis, activating expression of hepatic glucokinase, and increasing GLUT4 expression in adipocytes [108-112]. Administration of LXR agonists has been shown to markedly reduce atherosclerotic lesion formation in multiple murine models of atherosclerosis [113]. Activation of LXR may reduce atherogenesis not only through reverse cholesterol transport, but also by suppressing inflammatory signaling in macrophages to help blunt the associated inflammatory response in atherosclerosis [113,114]. However, enthusiasm for LXR agonists has been tempered by evidence that some non-selective LXR agonists induce hepatic steatosis and hypertriglyceridemia [115,116]. Other adverse lipogenic effects include chronic stimulation of lipogenesis in β-cells that may induce apoptosis as well as reduced expression of hepatic and adipose glycolytic enzymes [117].

FXR expression is diminished in livers of streptozotocin-induced diabetic mice and its deficiency is associated with impaired glucose tolerance and insulin resistance [118,119]. Treatment with FXR agonists in diabetic mice results in enhanced insulin sensitivity, increased hepatic glycogen synthesis, and reduced hepatic gluconeogenesis [119,120]. Mauldin et al. (2008) showed that LXR agonist treatment of monocyte-derived macrophages from diabetic subjects resulted in dramatically reduced foam cell formation [104]. While there has been no investigation of atherosclerosis progression in a murine model of diabetes, administering the FXR agonist INT-747 in apolipoprotein E-deficient mice reduced the extent of atherosclerotic plaques in a dose-dependent manner [121].

The scavenger receptor BI (SR-BI) inhibitors are a relatively newer drug class that has yet to be studied in a clinical model of diabetes. SR-BI is a major regulatory factor in HDL catabolism that binds HDL and mediates the selective uptake of HDL cholesterol ester in the liver and steroidogenic tissues for eventual excretion [97-100]. The antiatherosclerotic activity of these receptors is due in part to their ability to promote cholesterol efflux via the ATP-binding membrane cassette (ABC) transporters ABCA-1 and ABCG-1, which are present on macrophages [101-103]. Cholesterol accumulation in macrophage “foam cells” plays an important role in atherogenesis and studies have shown that chronic hyperglycemia can reduce ABCA-1 and ABCG-1 expression [104,105].

Although there have been no large clinical trials completed showing that nuclear receptor agonists improve cardiovascular outcomes in diabetic individuals, a number of smaller studies show some potential therapeutic benefit. In phase II clinical trials with the PPARδ agonist GFT505, pre-diabetic patients treated with GFT505 had increased insulin sensitivity and increased HDL-C with reduction in plasma glucose, LDL-C, and triglyceride levels; study subjects also had increased levels of apoA-1 and reduction of proatherogenic apolipoproteins ApoB and ApoCIII [106]. Treatment with the PPARα/γ agonist aleglitazar in a phase II clinical trial with type 2 diabetic subjects showed dose-dependent improvements in HbA1c.
therapeutic benefit. Thus, therapies that effectively slow the catabolic rate of HDL, such as the CETP inhibitors and SR-B1 inhibitors, may raise dysfunctional HDL levels in diabetic patients. The more effective approach for reducing cardiovascular risk likely lies with therapies that target the composition and function of HDL. Therapies that promote apoA-1 production or act as surrogate apoA-1 peptides target the fundamental problem with HDL in a chronic hyperglycemic state, which is the loss of its antioxidant and anti-inflammatory properties. In particular, the apolipoprotein mimetic peptides help restore function to the existing pool of HDL molecules in the body and reverse the effects caused by the glycation of HDL.

It must be acknowledged that therapies that do not target improving the function of HDL, such as the nuclear metabolic receptor drug classes, have shown some benefit in early studies. Their value may arise from mitigating the inflammatory component that accelerates atherosclerosis, such as improving glycemic control or inhibiting pro-inflammatory genes in macrophages. More importantly, the ability of these receptors to promote reverse cholesterol transport can be viewed as improving one of the functional roles of HDL. Nonetheless, measuring steady-state HDL-C levels as a benchmark for therapeutic success may not accurately assess a kinetic process like reverse cholesterol transport \cite{124,125}. The identification of novel biomarkers and tools to measure the function of HDL in preclinical trials may ultimately prove to be a better predictor of success for diabetic individuals in large-scale cardiovascular outcome trials.

**Review Criteria**

The articles selected for this Review were obtained from searches of PubMed using the terms “diabetic dyslipidemia,” “atherosclerosis,” “type 2 diabetes mellitus,” “defunctional HDL,” “oxidized lipoprotein,” “cardiovascular disease,” “apolipoprotein mimetic peptides,” “apolipoprotein expression stimulators,” “CETP inhibitors,” “PPAR agonists,” “Liver X receptor agonists,” “HDL mimetics,” “Farnesoid X receptor agonists,” and “Scavenger receptor BI inhibitors.” Selected papers were full-text original articles and reviews published between 1970 and 2011. Abstracts were not included. Reference lists of the identified papers were searched for additional material.

**References**


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