Acid Base Disorders in Critically Ill Neonatal Intensive Care Patients and Predicting Survival by the Presence of Deranged Acid-Base Variables

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Abstract

Objective: The acid base abnormalities are common in neonates with birth asphyxia and sepsis leading to considerable morbidity and mortality and timely assessment and management of these acid-base derangements leads to a better outcome. So, we did a observational study to assess acid base disorders in neonates by using Boston, Copenhagen approach and Stewart approach and the role of the various variables on predicting the acid base status and the worst outcome in neonates.

Study design and methods: An observational study was conducted on the samples provided from the neonates with birth asphyxia and sepsis admitted to neonatal intensive care unit (NICU) in the Post Graduate Institute of Medical Education and Research (PGIMER) and Dr Ram Manohar Lohia (Dr RML) Hospital, New Delhi, India. The blood gas analysis, electrolytes, albumin, lactate levels were compared in the two ailments. The presence of acid base disorders were calculated using Copenhagen approach and Stewart method; and the influence of various variables on acid base disorders and outcome were analyzed.

Results: The metabolic acidosis and alkalosis were seen in 1 and 10 patients as per Boston approach and in 18 and 18 patients with Copenhagen approach. The increased anion gap (AG), and low and high strong ion difference (SID) as measured by Stewart approach were seen in 23, 21 and 23 neonates respectively. The acid-base status determined by both Copenhagen and Stewart approach were found to be interrelated. For detecting metabolic acidosis the sensitivity of high for high anion gap (66.67%) and hyponatraemia (57.89%), whereas the specificity is high for lactic acidosis (94.74 %), hyperchloraemia (86.99%) and hyponatraemia (81.08%). The low PaCO2 (89.4%) and low SID (73.68%) has a high sensitivity for predicting the non-survival , whereas the lactic acidosis (94.74%) has the high specificity of predicting the non-survival, followed by hyponatraemia (81.08%), low SID (75.68%), hypobulinaemia (70.27%) and low PaCO2 (70.27%).

Conclusion: In neonates with birth asphyxia and sepsis, acid-base disorders are common. Both the approaches are good in determining the acid-base status, but in complicated situation strong ion difference and strong ion gap works better in determining acid-base status. Derangements like low PaCO2, low SID, hypobulinaemia, lactic acidosis and hyponatraemia are predictors of worst outcome.

Keywords: Metabolic acidosis; Metabolic alkalosis; Base excess; Strong ion difference; Anion gap

Introduction

For optimal cellular function a stable extracellular pH is needed [1]. There are several cellular and extra-cellular buffer systems in the body that interacts to maintain a stable extracellular pH. But in neonates to keep a stable extracellular pH poses a challenge due to the higher production of acids as compared to adults, which is three times higher as compared to adults. The most important extra-cellular buffer system is the bicarbonate buffer system, which increases or decreases the production of CO2 and HCO3 in response to acidosis or alkalosis. The delayed and more sustained response to change in pH is provided by the kidneys, which cause acidification or alkalization of urine in response to acidosis or alkalosis. Other buffer systems like hemoglobin, phosphate, etc also play an important role in maintaining a stable pH. But in sick neonates, theses buffer systems are not well developed to maintain a stable pH.

Metabolic acids such as carbonic acid or lactate produce protons leading to acid-base abnormalities. Traditionally Henderson Hasselbalch equation has been used find out the pH and proton concentration, but to classify the acid-base disorders has become imprecise as fails to recognize the importance of other buffer systems, relying only on HCO3 buffer system for maintenance of pH. Later in 1983, Peter Stewart described the other independent determinants of pH and proton concentration, and this helped in understanding the basis of acid-base abnormalities and their assessment [2]. In Steward model, the proton generation occurs from dissociation of water, influenced by various factors such as PaCO2, Strong ion difference and plasma weak acids [3]. The acid-base abnormalities are very common in critically ill patients. In these patients, due to presence of hypobulinaemia or unmeasured anions, the assessment of acid-base status with traditional methods may be misleading and often misses the accurate the complicated acid-base abnormalities [3-6].

The boston (CO2-Bicarbonate approach)

It is based on the relationship between CO2 and bicarbonate derived from Henderson–Hasselbach equation [7].
The Henderson–Hasselbach equation is given as
\[
pH = pK^+ + \log\left[\frac{[HCO_3^-]}{\text{Dissolved CO}_2}\right]
\]
In the above equation, the \([H^+]\) and PCO2 are measured directly; the bicarbonate concentration is derived by solving the above equation. This classifies the acid base disorders into six types. Those relating H+ concentration with CO2 are termed as respiratory and those related to HCO3- are termed as metabolic. This equation fails to quantify the metabolic derangement in the same way as it shows the compensation of the respiratory component. Also it fails to measure other acids. The major flaw is that it treats both CO2 and HCO3- as independent variable rather than the interdependent variable.

**Standard base deficit/excess (Copenhagen) approach**

It was given by Singer and Hastings in 1948, and later improved by Siggard-Anderson and his colleagues. Standard base excess is a calculated from PCO2 and pH. When the base excess is positive it is termed as alkalosis, while when the base excess is negative or there is base deficit, it is termed as acidosis [1.8-10].

Also base excess can be measured with the help of the below equation from the serum bicarbonate concentration ([HCO3-] and pH.

Base excess = 0.93 × \(([HCO_3^-] - 24.4 + 14.8 \times (pH - 7.4))\)

BE - ECF = \([HCO_3^-]\) \times 25 + 16.2 \times (pH - 7.400)

**Anion gap approach:** It was described by Emmitt and Narinsto address the various limitations of Boston and Copenhagen approach.

Anion gap is defined as the difference between the anions and the cations in the blood. Anion gap helps the clinicians to determine the underlying cause of a metabolic acidosis. It also helps in detecting the unmeasured anions. To measure anion gap we take into account only Na+ and K+, but other unmeasured cations like K+licium, calcium, magnesium also plays a role. As for anion, Cl is the predominant anion; but in pathological conditions, unmeasured anions also play a role. Mostly in normal situations, the anion gap is due to the negative charge on albumin and phosphate. Normally the anion gap lies between 12 to 16 meq/litre. If the patient develops acidosis with wide anion gap, then it is caused by unmeasured anions like lactate, ketones; while acidosis where anion gap is normal, then it is due to hyperchloraemia.

Anion gap = (Na+ + k+ – ) - (HCO3- + Cl-)

Further in calculating anion gap, if the serum albumin concentration is abnormal, then the anion gap is corrected using the below equation: [8]

\[
AG_{corrected} = AG_{calculated} + 0.25 \times (\text{Cl}_{normal} - \text{Cl}_{measured})
\]

Also the HCO3- may changes without the change in other variables. Both base excess/deficit and AG fails to estimate this disturbance.

**Strong ions difference and gap (Stewart-Fencl approach):** At normal pH, strong ions such as Na+, K+ and Cl- are in the dissociated state and contributes to the pH. Weak acids that contribute to acid-base balance includes albumin, inorganic phosphate and plasma proteins; their concentrations do not change with pH and are therefore a constant. Finally by calculation of the strong ion gap, we can quantify the effect of these variables on the maintenance of acid-base balance [6].

Strong ion difference is of two types, apparent and effective. Of which, the ‘apparent’ strong ion difference, [SID]a, is given by the following equation: [9].

\[
[SID]_a = (Na^+ + k^+ + Ca^+ + Mg^{2+}) - (Cl^- + Lactate)
\]

Normally an equally opposite charged effective strong ion difference (SIDe) counterbalances the SIDa (normal approximately 40 - 44 meq/L). The SIDa negative charge is mainly derived from the dissociated moieties of plasma proteins (albumin) and phosphate.

\[
SID_e = (HCO_3^-) + (charge on albumin) + (charge on phosphate)[10]
\]

Weak acids charge depends on pH, and so can be calculated as

\[
(\text{Alb}^-) = (\text{albumin in gm/dl}) \times (0.23 \times \text{pH} - 0.631)
\]

\[
(\text{Phosphate}^-) = (\text{phosphate})/ 10 \times \text{pH} - 0.47
\]

The sum of the weak acids (albumin and phosphate) is called as A\text{tot} as they exist in both dissociated form (A- ) as well as non-dissociated form (AH).

The strong ion gap is the difference between the SIDa and SIDe, which is normally zero, but in situations of acid-base abnormalities there is a presence of difference between SIDa and SIDe leading to increased SIG [11].

Actually strong ion gap does not measure all strong anions, but measures all the anions. This was overcome by the following equation which corrects chloride for free water [12].

\[
\text{Cl}_{corrected} = \text{Cl}_{observed} \times (\text{Na}_{normal}) / (\text{Na}_{observed})
\]

**Chloride to sodium ratio:** In metabolic acidosis, the role of hyperchloraemia can be determined by the chloride to sodium ratio. A high Cl: Na ratio indicates hyperchaema as the cause, whereas a low ratio abolishes its role in acidosis [13].

Many of the neonates with birth asphyxia and sepsis have multiple problems, and many requires invasive and non-invasive monitoring and ventilator support. Assessment of blood gases forms an important part of maintenance of ventilation, oxygenation and normal acid-base status.

The aim of our study is

a. to assess acid base abnormalities in NICU patients by both Boston, Copenhagen and Stewart approach.
b. to evaluate the role of acid-base abnormalities in predicting the survival in neonates.

**Material and Methods**

It is an observational study done on the samples provided for analysis from the critically ill neonates admitted in NICU of Post Graduate Institute of Medical Education and Research (PGIMER) and Dr Ram Manohar Lohia (RML) hospital, New Delhi, India. Ethical clearance was taken from hospital ethical committee. All the samples were routine morning samples taken from an artery for assessment of the acid base disorders of the neonate. The blood samples of the critically ill neonates were analyzed for the presence of acid base disorder using Henderson–Hasselbach equation (Boston approach), base excess-deficit (Copenhagen approach) and Stewart method. Also the effects of other variables such as strong ions, albumin, lactate, chloride, HCO3- on the acid base status were noted. There were no control groups in our study.

**Sample size**

The sample size was calculated by keeping the power of study at 80%, and alpha error at 0.05 and correlation at 0.6%, assuming that
there is about 1000 neonates visiting the NICU of Dr RML hospital every year.

Sample collection

Heparinised blood sample from artery was obtained with different disease conditions before any intervention was done. Then 2 ml arterial blood was drawn from radial artery of each infant under aseptic condition. Total volume of plasma required for estimation of lactate (5 µl) and albumin (10 µl) as a residue of syringe obtained after electrolyte estimation. Certain precautions are to obtain samples from heparinised syringes. As BGA analyzer has Na sensor so NH4+ heparin are used instead of Na – heparin as it interferes with measurement. Radial artery is preferred for sample collection as from femoral artery there are chances of infection in groin area. The samples were collected from syringe flushed with heparin immediately as there are chances of pH changes due to heparin.

Instrumentation and principle

The Nova medical Stat Profile pHOx Plus I, blood gas analyzer is used for analysis of samples for following parameters pH, PCO2, PO2, SO2 %, Hct, Hb, Na+, K+, Glu, Ca++, Lactate and Cl-. Using a unique combination of advanced optical and electrode technology, the Stat Profile pHOx series analyzers offer essential blood gas and critical care test. The six test StatProfile pHOx menu adds measured hematocrit, hemoglobin and oxygen saturation to provide a complete picture of lung function and oxygen status. StatProfile pHOx Plus C offers additional test of chloride and ionized calcium. StatProfile pHOx series Plus L menu includes lactate. Nova’s auto-cartridge quality control is a totally automated quality control system contained within a single on-board control cartridge. This system combines 3 levels of true controls and software allowing any level of quality control to be run. The controls are run every 12 hrs in our emergency laboratory.

Statistical Analysis

The statistical analysis was done by using SPSS (Statistical Package for the Social Sciences, version 16, SPSS Inc, Chicago, Illinois, USA) for Windows. The variables were expressed as arithmetic mean and standard deviation. Level of significance was set at P value <0.05. The correlation among the various variables was calculated by Pearson Correlation. The relationship of different variables with the two conditions were analysed using ANOVA. The sensitivity and specificity of the variables are determined by using MedCalc for Windows, version 14.12.0 (MedCalc Software, Ostend, Belgium).

Results

The study was conducted over a duration of four months and a total 56 samples of neonates were analyzed.

Of the total samples, 34 were from neonates with birth asphyxia and 22 were from sepsis. Table 1 gives the comparative assessment of acid base imbalance in birth asphyxia and sepsis a common diagnosis we encountered in NICU patients.

There was a mortality of about 19 neonates in our study, of which 9 died due to birth asphyxia and rest due to sepsis. There was significant difference (P value <0.05) between the survivors and nonsurvivors for PaCO2, hematocrit, sodium, HCO3, base excess and Strong ion difference, which lower PaCO2, lower hematocrit, lower sodium, lower HCO3, lower base excess, lower strong ion difference in nonsurvivors. Also lower PaCO2, hyponatremia, low hematocrit, low base excess, Low Strong ion difference are correlated to non-survivors (P value <0.05). The sensitivity and specificity of various variables in predicting the survival has been in the Table 2. The low PaCO2 and low SID has a high sensitivity of 89.4 and 73.68% respectively for predicting the non-survival, whereas the lactic acidosis has the high specificity of predicting the non-survival (94.74%), followed by hypernatremia (81%), low SID (75.68%), hypoalbuminaemia (70.27%) and low PaCO2 (70.27%) (Table 3).

According to Boston approach (CO2 - HCO3), the acidosis was present in only two neonates, out of which one has metabolic acidosis and while the other has a respiratory acidosis. Whereas 25 neonates had alkalosis, out of which 15 had respiratory alkalosis as compared 10 neonates with metabolic alkalosis.

However, according to Copenhagen approach, both metabolic acidosis and alkalosis was present in 18 neonates each. Out of the 18 neonates with metabolic acidosis, low SID was present in only 7 neonates. High anion gap acidosis was observed in 12 neonates, while other 6 had normal anion gap acidosis. Among the patients with high anion gap acidosis 7 had hyperlactaemia. Hyperlactaemia was observed in 27 neonates, out of which 8 had metabolic acidosis. Also hypoalbuminaemia was observed in 16 neonates, out of which 6 had acidosis. Hypernatremia was found among 20 neonates, out of which 8 had metabolic acidosis (Table 4).

There was significant correlation was seen with base excess and pCO2, HCO3 and Anion gap.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Total no. blood samples</th>
<th>Non-survivors (n=19)</th>
<th>Survivors (n=37)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low PaCO2</td>
<td>38</td>
<td>17</td>
<td>11</td>
</tr>
<tr>
<td>Low Base Excess (&lt;-4)</td>
<td>27</td>
<td>8</td>
<td>19</td>
</tr>
<tr>
<td>Hyperlactaemia</td>
<td>27</td>
<td>8</td>
<td>19</td>
</tr>
<tr>
<td>Lactic acidosis</td>
<td>4</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Low strong ion difference</td>
<td>23</td>
<td>14</td>
<td>9</td>
</tr>
<tr>
<td>High strong ion difference</td>
<td>21</td>
<td>4</td>
<td>17</td>
</tr>
<tr>
<td>Hypalbuminaemia</td>
<td>16</td>
<td>5</td>
<td>11</td>
</tr>
<tr>
<td>Increased anion gap</td>
<td>23</td>
<td>6</td>
<td>17</td>
</tr>
<tr>
<td>Hypercholemaia</td>
<td>10</td>
<td>2</td>
<td>6</td>
</tr>
<tr>
<td>Hyperlactemaia</td>
<td>12</td>
<td>4</td>
<td>8</td>
</tr>
<tr>
<td>Hyponatremia</td>
<td>18</td>
<td>11</td>
<td>7</td>
</tr>
<tr>
<td>Hypernatremia</td>
<td>14</td>
<td>2</td>
<td>12</td>
</tr>
</tbody>
</table>

Table 1: Showing the various parameters in birth asphyxia and sepsis.
The non-survival.

Showing the sensitivity and specificity of various variables for determining the non-survival.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Sensitivity</th>
<th>Specificity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low SID</td>
<td>73.68%</td>
<td>75.68%</td>
</tr>
<tr>
<td>Low PaCO₂</td>
<td>89.47%</td>
<td>70.27%</td>
</tr>
<tr>
<td>High Anion gap</td>
<td>31.58%</td>
<td>54.05%</td>
</tr>
<tr>
<td>Hyperlactaemia</td>
<td>42.11%</td>
<td>48.65%</td>
</tr>
<tr>
<td>Lactic acidosis</td>
<td>10.53%</td>
<td>94.59%</td>
</tr>
<tr>
<td>Hypoalbuminaemia</td>
<td>26.32%</td>
<td>70.27%</td>
</tr>
<tr>
<td>Hyponatremia</td>
<td>57.89%</td>
<td>81.08%</td>
</tr>
<tr>
<td>Low Base Excess</td>
<td>42.11%</td>
<td>48.65%</td>
</tr>
</tbody>
</table>

Table 3: Showing the sensitivity and specificity of various variables for determining the non-survival.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Total no. blood samples</th>
<th>Metabolic acidosis (n = 18)</th>
<th>Metabolic alkalosis (n = 18)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low PaCO₂</td>
<td>28</td>
<td>18</td>
<td>0</td>
</tr>
<tr>
<td>Hyperlactaemia</td>
<td>27</td>
<td>8</td>
<td>10</td>
</tr>
<tr>
<td>Lactic acidosis</td>
<td>4</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>Low strong ion difference</td>
<td>23</td>
<td>7</td>
<td>6</td>
</tr>
<tr>
<td>High strong ion difference</td>
<td>21</td>
<td>8</td>
<td>7</td>
</tr>
<tr>
<td>Hypoalbuminaemia</td>
<td>16</td>
<td>6</td>
<td>4</td>
</tr>
<tr>
<td>Increased anion gap</td>
<td>23</td>
<td>12</td>
<td>5</td>
</tr>
<tr>
<td>Hypochloroemia</td>
<td>10</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>Hyperlactaemia</td>
<td>9</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>Hyponatremia</td>
<td>18</td>
<td>6</td>
<td>7</td>
</tr>
<tr>
<td>Hyponatremia</td>
<td>20</td>
<td>8</td>
<td>6</td>
</tr>
</tbody>
</table>

Table 4: Showing the relationship of acid-base abnormalities according to Copenhagen method with different variables.

The sensitivity and specificity of various variables for detecting metabolic acidosis is given in Table 5. Among them, sensitivity of increased anion gap for detection of metabolic acidosis is 66.67% and lactic acidosis has the highest specificity (Table 6).

Among the 18 neonates with metabolic alkalosis as determined with base excess >3 mmol/liter, only 6 had high anion gap. The relationship of metabolic alkalosis and the various variables are given in Table 1. Hyperchloremia was observed in 10 neonates, out of which 5 had metabolic alkalosis. Hypernatremia was found among 18 neonates, among them 7 had metabolic alkalosis. The sensitivity and specificity of various variables in detecting metabolic alkalosis was given in table 3. Among them, the sensitivity was low among the variables to detect metabolic alkalosis, whereas hypochloremia has a high specificity of 86.84% (Table 7).

There was a great discrepancy in the acid-base status measured by the both Copenhagen approach and Stewart approach. There was about 18 neonates with acidosis as determined by low base excess (<-3); but out of them only 7 had a low SID. Whereas there was 23 neonates with low SID, but out of them only 16 had a normal base excess. Also the discrepancy was seen in the alkalosis also. There were about 18 neonates with metabolic alkalosis as determined by high base excess; but out of them only 7 had high SID. Whereas 21 neonates had a high SID, but out of them normal base excess was found only in 14 patients.

There was significant correlation between pH and PaO₂ and SaO₂. lower pH values have a lower PaO₂ and SaO₂ and vice versa (p value<0.05). Also the pH is related to hemoglobin and hematocrit levels, lower pH is associated with higher hemoglobin and hematocrit levels (p value <0.05).

In our study we have found a significant correlation between pH and K⁺ (p value <0.05), alkalosis is associated with a lower k⁺ concentration as compared to normal concentration in physiological pH. Also there has been a significant correlation between serum lactate concentration and pH, with higher lactate levels among the neonates with acidosis.

There was significant correlation among pH, anion gap and SID (p value <0.05). The SID and anion gap decreases as the pH increases in neonates with sepsis, but in neonates with birth asphyxia it remains the same. Also there is strong correlation of Na⁺ with both SID and anion gap, there is linear change in both anion gap and SID with the change in Na⁺ (p value <0.05).

In our study, we have observed that there was significant difference in the pH, albumin and K⁺ among the neonates with birth asphyxia and sepsis (p value <0.05).

The Figure 1 shows the correlation of base excess (BE) with lactate level in blood as r=0.13, and p<0.5.

Figure 2 depicts the correlation between base excess in extra cellular fluid with pH with correlation r=0.24 and p<0.5. The correlation of the various parameters in survivors and non-survivors.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Sensitivity</th>
<th>Specificity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low SID</td>
<td>38.89%</td>
<td>61.11%</td>
</tr>
<tr>
<td>High Anion gap</td>
<td>66.67%</td>
<td>70.27%</td>
</tr>
<tr>
<td>Hypernatremia</td>
<td>44.44%</td>
<td>68.42%</td>
</tr>
<tr>
<td>Hyperlactaemia</td>
<td>44.44%</td>
<td>50.80%</td>
</tr>
<tr>
<td>Lactic acidosis</td>
<td>11.11%</td>
<td>94.74%</td>
</tr>
<tr>
<td>Hypoalbuminaemia</td>
<td>33.33%</td>
<td>73.68%</td>
</tr>
<tr>
<td>Low PaCO₂</td>
<td>100%</td>
<td>72.97%</td>
</tr>
<tr>
<td>Hyperchloremia</td>
<td>22.23%</td>
<td>86.99%</td>
</tr>
<tr>
<td>Hyponatremia</td>
<td>57.89%</td>
<td>81.08%</td>
</tr>
</tbody>
</table>

Table 5: Showing the various parameters in survivors and non-survivors.

<table>
<thead>
<tr>
<th>Variables</th>
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<td>68.42%</td>
</tr>
<tr>
<td>Hyperlactaemia</td>
<td>44.44%</td>
<td>50.80%</td>
</tr>
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</tr>
<tr>
<td>Low PaCO₂</td>
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<td>72.97%</td>
</tr>
<tr>
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<td>86.99%</td>
</tr>
<tr>
<td>Hyponatremia</td>
<td>57.89%</td>
<td>81.08%</td>
</tr>
</tbody>
</table>

Table 6: Showing the sensitivity and specificity of various variables for determining the metabolic acidosis as measured by Base-excess-deficit (Copenhagen approach).

<table>
<thead>
<tr>
<th>Variables</th>
<th>Sensitivity</th>
<th>Specificity</th>
</tr>
</thead>
<tbody>
<tr>
<td>High SID</td>
<td>38.89%</td>
<td>58.82%</td>
</tr>
<tr>
<td>Hypernatremia</td>
<td>27.78%</td>
<td>86.84%</td>
</tr>
<tr>
<td>Hyponatremia</td>
<td>38.89%</td>
<td>71.05%</td>
</tr>
</tbody>
</table>

Table 7: Showing the sensitivity and specificity of various variables for determining the metabolic alkalosis (by Copenhagen approach).
serum Albumin and Strong ion difference (SID) is in Figure 3 and the sepsis is associated with hypoalbuminaemia.

In our study we have found that there is a linear relationship between SID and AG and also between SID and Na+, as shown in the Figures 3 and 4.

The various acid base differences in the birth asphyxia and sepsis are shown in the table 1. In birth asphyxia there was comparatively lower pH, PaO₂, SaO₂, base excess, HCO₃⁻, albumin than sepsis; whereas sepsis patients was having lower PaCO₂, hematocrit , hemoglobin, Na+, K+, lactate, anion gap and SID. There was significant difference in the pH, albumin and K⁺ between birth asphyxia and SID.

Discussion

The Samples obtained from 56 neonates were analyzed using various approaches to understand the acid-base abnormalities prevalent among the neonates. There was preponderance of male patients with birth asphyxia. There were 19 mortality among the neonates; 9 died due to birth asphyxia and 10 due to sepsis.

Using the Boston approach showed less prevalence of acidosis and more prevalence of metabolic alkalosis. While using Copenhagen approach there was equal number of patients with both alkalosis and acidosis. While Using Stewart approach using SID showed presence of 23 neonates with acidosis and 21 with metabolic alkalosis. Also previously Lekhwani et al. found metabolic acidosis as the predominant acid-base disorder among the neonates [14].

Copenhagen method with base excess works well to determine the acid-base status in uncomplicated situations; but in complicated situations it fails to estimate the acid base status when both the acidifying and alkalining disturbances are present.

Base excess/deficit failed to detect metabolic acid base abnormalities in many of the neonates. Base excess/deficit failed to detect about 14 patients who had a low SID. This may be due to concomitant presence of unmeasured anions, plasma dilution or hyperchloraeemia. The alkalining effects was low albumin may be a contributory factor for the failure of base excess to recognize the low SID. These finding were also observed by Fencl et al., where base excess was found to be normal in about 19 out of 20 patients who had a low SID [15].

In neonates with metabolic acidosis (BE <-3 mmol/litre), two third had a high anion gap. In critical illness, there is usually concurrent hypoalbuminaemia which covers the metabolic acidosis [16]. Also the unmeasured ions leads to change in anion gap, anions increase , whereas cations decrease the anion gap. So, it is essential to detect the unmeasured anions such as lactate and ketones, and as well as exogenous anions such as formate and glycolate.

Hyperlactaemia was present in 27 neonates; but out of them only 8 was found to have metabolic acidosis. The hyperlactaemia was more prevalent among the neonates with sepsis. Hyperlactataemia is an important feature of sepsis. The mechanism of increase in lactate in sepsis is due to hypermetabolism, whereas in septic shock it is due to hypoxia [17]. Neonates cannot compensate for hyperlactaemia by hyperventilating. Also no correlation between high lactate and pH or high lactate and base excess was seen. This was similar study by Lekhwani et al. [17].

In neonates, therespiratory alkalosis compensated the metabolic acidosis interpreted by the strong correlation of base excess with pH, pCO₂ and HCO₃⁻. Hypoalbuminaemia was observed in about one third of patients with sepsis and birth asphyxia. Hypoalbuminaemia may be due to decreased albumin synthesis or increased plasma efflux in sepsis. Hyalbuminaemia was not found be a major contributor factor in metabolic alkalosis with its presence in only less than one fourth of neonates with alkalosis. Also no correlation was seen between base excess or SID with albumin. Rossing et al. also found similar results in their study [18].

Neonates with birth asphyxia have a lower pH and base excess as
compared to neonates with sepsis. Previous studies had also found a low base excess and low pH among the neonates with sepsis; and Chan et al. proposed that umbilical artery pH to be below 7.0 as a diagnostic criteria for birth asphyxia [19,20].

Strong correlation of pH with various variables like base excess, anion gap and SID confirms that there is a strong interrelationship among the acid-base differences assessed by the various methods. So, this confirms that the acid-base disturbances as assessed by the two methods are actually related to the pH determined.

The association of lower pH with lower $\text{PaCO}_2$ and $\text{SaO}_2$ confirms that the tissue hypoxia leading to production of more $\text{H}^+$ ions via anaerobic respiration. Also the pH was normal in patients with normal $\text{PaCO}_2$ and $\text{SaO}_2$. Thus it confirms that the tissue hypoxia is an important contributory factor of metabolic acidosis in neonates.

Our study has also shown that there is a relationship between pH and K+ concentration. In our study, the higher pH was associated with lower K+ concentration and vice versa. In acidosis, there was an inward movement of $\text{H}^+$ and outward movement of K+ from inside the cell, and with alkalosis the reverse occurs.

Also an interesting finding in our study is that there was a significant correlation between pH and hemoglobin. With lower pH, there was a higher value of hemoglobin and hematocrit. Hemoglobin is an important intracellular buffer. So, with a lower pH may be leading to compensatory increased in hemoglobin to maintain the pH in the neonates. Thus we may assume that hemoglobin buffer has an important role in the maintenance of acid-base balance in neonates, but to confirm further well designed studies are needed.

With Figge-Fencl equations SID can be measured from albumin and phosphate, but in our study we could not use the Figge-Fencl equations as the provision to measure phosphate ions was not available in our analyzer [13].

Standard nomogram is used to correct the base excess for hemoglobin [9,10]. A multicompartmental model developed by Wooten is used to correct the Figge–Fencl equations for haemoglobin [21].

In our study, we have found a strong correlation between low $\text{PaCO}_2$, low base excess, low HCO, low hematocrit, high anion gap and low SID with non-survival (P value < 0.05). Also presence of these variable has been found to predict the non-survival also to a varying degree. The low $\text{PaCO}_2$, and high anion gap has a high sensitivity for predicting non-survival, whereas Lactic acidosis, low base excess and hyponatremia has been found to be more specific in predicting non-survival. So, the presence of these variables can help us to predict the non-survival and thus can help us to take more appropriate steps for the survival.

Matthew Martin et al. have also found that corrected anion gap, the strong ion gap and the base deficit corrected for unmeasured anions helps in the prediction of mortality in trauma intensive care patients [22,23]. However in another study, Rocktaeschel et al. found that the unmeasured anions in the form of anion gap, anion gap corrected, base excess caused by unmeasured anions, strong ion gap are not accurate predictors of hospital mortality rate in critically ill patients [24]. In another study, Balasubramanyan et al. found that elevated unmeasured anions identified by the Fenc-Stewart method were more strongly associated with mortality than with BE, AG, or lactate in pediatric patients with critical illness, which strongly confirms our findings [25]. Martin et al. results were similar to our study, as they have found that increased lactate levels predict mortality in the surgical intensive care unit [26]. Mikkelsen ME et al. also found that both intermediate and high serum lactate levels were independently associated with mortality in patients with severe sepsis independent of organ failure and shock, which is similar to our study [27]. Our results contradicts the findings of Ratanarat et al. as in their study they have found that in comparison to traditional approach, the Stewart approach does not provide any greater advantage to predict mortality in critically ill patients [28]. Cusack et al. findings were also similar to our study, as they also have found that SBE, BE (UA), lactate, pH and AG have the best ability to measure the outcome [29].

The limitations in our study are

1. We could not do a randomized control study, as we were not able to get samples from healthy neonates,
2. The contribution of phosphate in acid-base disorders could not be estimated,
3. Samples from neonates with birth asphyxia and sepsis are only taken in the study, so acid-base disorders cannot be generalized for all the neonatal conditions,
4. No follow-up samples were analyzed to assess the changes in the neonates with time,
5. Also no correlation could be done with the morbidity with the acid-base status, only the correlation with mortality has been done.

Conclusion

In neonates with birth asphyxia and sepsis, acid-base abnormalities are common. Both the approaches are good in measuring the acid-base disorders, but in complicated situations base excess with anion gap failed to measure acid-base disorders. In these situations, Stewart approach is better to determine acid-base disturbances. Although there was a strong correlation among the acid-base status measured by various methods, but many a times there was a discrepancy due to the presence of multiple variables influencing the acid-base status, which is mostly deranged in critically ill neonates. Finally, the variables like low $\text{PaCO}_2$, low SID, lactic acidosis, hypoalbuminaemia and hyponatremia helped in predicting the non-survival among the neonates. Further randomized controlled studies with larger sample size are needed to confirm our findings.

References


