



# Associations between Polymorphisms and Haplotypes in the UDP-Glucuronosyl Transferase 1A Gene Family with Lung Cancer Risk

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## Abstract

**Title:** Associations between polymorphisms and haplotypes in the UDP-Glucuronosyl transferase 1A gene family with lung cancer risk.

**Background:** Over 5,000 compounds have been identified in cigarette smoke, of which 73 are considered carcinogenic to either laboratory animals or humans by the International Agency for Research on Cancer. The UDP-glucuronosyl transferases (UGTs) are Phase II drug-metabolizing enzymes that catalyze the metabolism of several cigarette smoke carcinogens for excretion. There are hundreds of genetic variants that span the nine genes in the UGT1A gene cluster, but the effect of UGT1A polymorphisms on lung cancer risk has not been studied in American Caucasians. This study hypothesized that UGT1A variants, either individually or as a haplotype, would be associated with lung cancer risk in American Caucasians.

**Methods and Findings:** To examine the effect of genetic variation in the nine UGT1A genes on lung cancer risk, a comprehensive association and haplotype study was conducted using American Caucasian lung cancer cases and matched healthy controls on ninety-six tagSNPs. Known lung cancer risk factors including age, sex, smoking status, and pack-years of smoking were controlled for in all analyses. Multiple SNPs in the UGT1A gene cluster were associated with lung cancer risk in various stratified analyses before a multiple testing correction was applied. A significant association was found between two haplotypes in the UGT1A haplotype block 2 (rs7569014, rs7421795, rs1817154) and small cell carcinoma risk in American Caucasians. Multiple SNPs were found to affect lung cancer risk that did not remain significant following multiple testing correction. The UGT1A haplotype block 2 may be associated with small cell lung carcinoma. However, single SNP association studies did not yield significant results after multiple testing correction.

**Conclusions:** UGT1A variants may play only a minor role in other lung cancer risk in American Caucasians; however, this needs to be confirmed in larger studies.

**Keywords:** Lung; Cancer; UGT; Carcinogen metabolism

## Introduction

Lung cancer is the leading cause of cancer mortality in the United States, accounting for more deaths than the next three most common cancers combined [1]. Mortality due to lung cancer has increased in the United States about 4.3% between 1999 and 2010 [1]. It is estimated that tobacco smoke causes about 80% of all lung cancers, however, only about 15% of lifetime smokers develop this malignancy [2-4]. Heritable traits in the population may explain why only a percentage of smokers develop lung cancer [5]. Therefore, identifying genetic markers of high-risk may allow individuals to be targeted for lung cancer screening or prevention programs. However, the lack of genetic markers for lung cancer risk is evident. This study sought to identify genetic variants involved in carcinogen detoxification. The International Agency for Research on Cancer has identified 73 of the ~5,000 compounds in cigarette smoke to be carcinogenic to laboratory animals or humans and many of these carcinogens are substrates for the phase II drug-metabolizing enzymes UDP-glucuronosyl transferases (UGTs) [6-9].

The UGT gene family (comprised of UGT1 and UGT2) catalyzes the conversion of tobacco smoke carcinogens to water-soluble compounds that can be readily excreted from the body [10]. The UGT1A gene locus is located on chromosome 2q37 and nine functional proteins are coded from the UGT1A locus: UGT1A1, UGT1A3, UGT1A4, UGT1A5, UGT1A6, UGT1A7, UGT1A8, UGT1A9, and UGT1A10 [10]. There are hundreds of UGT1A genetic variants but association with lung cancer risk is not well-studied. Many of the UGT1A gene variants exhibit allele

frequencies up to 40-50% in the general population and are found to be in high linkage disequilibrium (LD) [11]. Mediated by the UGT family of enzymes, glucuronidation is the major detoxification pathway for several tobacco smoke carcinogens, [12-19]. All tested UGTs except UGT1A4, UGT1A6, and UGT2B4 exhibit glucuronidation activity against a variety of benzo(a)pyrene (BaP) metabolites [15,18,19]. In particular, UGT1A10 exhibits high glucuronidation activity against many BaP metabolites [18]. UGT1A7 and UGT1A10 are well expressed in aerodigestive tract and UGT1A7, UGT1A8, and UGT1A10 exhibited glucuronidation activity against 7-OH-BaP [20]. Almost all UGT1A enzymes (except UGT1A6) exhibit glucuronidation activity against 2-amino-1-methyl-6-phenylimidazo (4,5-b) pyridine (PhIP) and its bioactivated metabolite (N-OH-PhIP), with UGT1A10 exhibiting the highest activity [19]. UGTs are the primary mechanism of detoxification

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for tobacco-specific nitrosamines (TSNAs), with five UGTs displaying glucuronidation activity against 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanol (NNAL) [13]. Therefore, UGT1A genetic variants are hypothesized to increase lung cancer risk due to their involvement in detoxification of multiple tobacco smoke carcinogens [21-23].

Despite the prominent role in detoxification of many tobacco smoke carcinogens, few studies have examined genetic variation in UGTs for association with lung cancer risk. GWAS studies are not well-powered to detect variations in UGT genes since high homology leads to difficulty designing assays. Genetic association studies should be performed to address the potential association between these genes and disease. A common deletion polymorphism in the UGT2B17 gene was significantly associated with a reduced rate of glucuronidation of NNAL in human liver microsomes and was associated with increased lung cancer risk in Caucasian women [17,9]. A case-control study of a Japanese population found an association between UGT1A7\*3 and increased lung cancer risk [24]. Additionally, various UGT1A6 polymorphisms were associated with increased or decreased risk of lung cancer in the Chinese population [25].

The goal of the present study was to understand the effect of polymorphisms in the UGT1A gene cluster on lung cancer risk. A comprehensive study, including individual SNP and haplotype analysis, of ninety-six tag and coding SNPs within and surrounding the nine UGT1A genes was conducted.

## Methods

### Subjects

Caucasian subjects were recruited at the H. Lee Moffitt Cancer Center (Tampa, FL) from 2000-2003 for this case-control study and details have been described elsewhere [9]. During recruitment, subjects were race-, sex-, and age-matched to healthy controls. Subjects with remaining DNA available were utilized in this study. Cases (n=407) were newly diagnosed subjects with histologically confirmed lung cancer and no previous history of cancer. Controls (n=582) were selected from community residents attending the Lifetime Cancer Screening Facility of the Moffitt Cancer Center and were randomly selected from thousands of community residents who underwent prostate-specific antigen testing, skin examinations, endoscopy, or mammography. Spiral computed tomography for lung cancer was not completed at the clinic. Control IDs were matched against the hospital patient database to identify any subjects who may have developed cancer. Controls with newly diagnosed cancer were excluded from this study. Of the patients who were asked to participate in this study, 99% of hospital patients and 97% of clinic patients signed a consent form approved by the institutional review board. A trained interviewer administered a structured lifestyle and smoking history questionnaire including levels of education, occupation, year of smoking onset, current smoking status, number of cigarettes smoked per day, and years since quitting (for former smokers). Medical charts from patients were reviewed to obtain diagnostic and pathology records.

### SNP selection and genotyping

Oral buccal cell swabs or blood samples were collected for genomic DNA isolation. DNA was isolated using standard phenol:chloroform isolation [26]. Picogreen analysis was used to quantify the amount of double-stranded DNA for each sample (Life Technologies, Grand Island, NY). Genotypes for SNPs within and surrounding the UGT1A genes representing people with European ancestry (CEPH) were downloaded from the International HapMap Project [27]. LD in the

UGT1A gene cluster was determined using Haploview software [28]. LD was estimated between all pairs of SNPs using the D' statistic and haplotype block structure was determined using the solid spine of LD option, with the block extended if pairwise D' between SNPs was >0.80. TagSNPs, representing the genetic variants in the UGT1A gene cluster and 5 kb flanking each side of the gene, were identified using the Tagger program implemented in Haploview software, with the minimum r-squared value=0.8. The minor allele frequency cutoff was 1%. From this analysis, tagSNPs were complemented with coding SNPs to total ninety-six SNPs used in the design of an Illumina GoldenGate genotyping assay and run on the Illumina Bead Xpress Instrument (Illumina, San Diego, CA). Illumina GenomeStudio software (Illumina, San Diego, CA) was used for automated calling of genotypes.

### Statistical analysis

Hardy-Weinberg equilibrium (HWE), allele frequencies, and identification of haplotype blocks in the study dataset were conducted using the control sample set in the Haploview software, defining blocks by the solid spine of LD. SNPs were excluded if the call rate was <90% and/or a HWE  $p < 1 \times 10^{-3}$  [29]. Power analysis was performed using PS: Power and Sample Size Calculation [30]. Power is reduced for stratified analyses.

Unconditional logistic regression was used to calculate odds ratios (ORs) and 95% confidence intervals (CIs) for associations between individual SNPs using R SNPassoc package and lung cancer risk [31]. Three statistical models were tested for individual SNP logistic regression analysis: log-additive, dominant, and recessive. Demographic characteristics between cases and controls were compared using the chi-squared test for categorical variables and non-parametric Wilcoxon rank sum test for continuous variables. If variables appeared non-normally distributed, log transformation was performed, t-test performed and confirmed by Wilcoxon rank sum test. Likelihood ratio tests were used to evaluate the fit of each model.

### Sas Proc Haplotype

This procedure was used to conduct the haplotype analysis using the haplotype block definitions from the Haploview software [32]. The procedure utilizes the expectation maximization (EM) algorithm to generate maximum likelihood estimates of haplotype frequencies given a multi-locus sample of genetic marker genotypes under the assumption of HWE. The initializing method was INIT=RANDOM, which initializes haplotype frequencies with random values from a uniform (0,1) distribution. The haplotype frequency threshold was set to 0.05, and haplotypes with a lower frequency were excluded from subsequent logistic regression analysis. The standard errors and the confidence intervals for each haplotype were estimated by default, under a binomial assumption. The total probability of an individual having a particular haplotype compared to all other haplotype possibilities was created. These values were used in the following statistical analysis assuming an additive statistical model (comparing the probability of one haplotype to all other haplotype possibilities).

Multivariate models were adjusted for potential confounding variables that were selected a priori: age, sex, smoking status, pack-years of smoking, and education. Associations stratified by sex, pack-years of smoking, smoking status, and histology (adenocarcinoma, squamous cell carcinoma, non-small cell carcinoma, and small cell carcinoma) were also investigated. Corrected p-values, with significance measured at <0.05, were identified after adjusting for the effects of multiple testing within each genomic region by calculating False Discovery Rate (FDR) [33].

Haplotype analysis was performed with SAS version 9.3 (SAS Institute, Inc., Cary, NC) and individual SNP analysis was performed with R version 3.1.0 (R Foundation for Statistical Computing, Vienna, Austria). PheWAS-View software was used to visually integrate study results, to discover novel relationships between SNPs and phenotypes, and to produce forest plots [34].

## Results

### Study population

A summary of the population demographics is described in Table 1. A significant difference between cases and controls was found for age, sex, smoking status, pack-years of smoking, and education. Overall, cases were older than controls, more likely to be former or current smokers, have a higher number of pack-years of smoking, and less likely to complete a college degree. Males had a higher representation in the case population (56%) while women were more represented in the control population (52%). The majority of cases were adenocarcinoma (38%) and squamous cell carcinoma (25%). Histologies representing greater than 10% of the case population were analyzed in stratification analysis.

### UGT1A and lung cancer risk

Of the ninety-six SNPs analyzed, sixteen failed the genotyping assay (rs10176426, rs11692021, rs12327959, rs12463641, rs12479240, rs12615708, rs13009407, rs1597941, rs17862878, rs17864678, rs17868323, rs2011404, rs28898605, rs3892170, rs6719561, and rs7571337). Six SNPs were genotyped at a rate <90% (rs2741028: 88.7%, rs10929251: 88.7%, rs11695770: 88.5%, rs4148328: 85.3%, rs11888492: 89.8%, rs17868336: 85.0%) and two SNPs were not consistent with HWE (rs7564935, rs4663333). Seventy-two SNPs were analyzed for association with lung cancer risk (Table 2). Unconditional logistic regression analysis was conducted on individual SNPs and haplotypes

within the UGT1A gene family for the effect on lung cancer risk adjusting for age, education, sex, and pack-years of smoking (Figure 1). Twenty-six SNPs were trending toward significance based on an uncorrected p-value <0.05. One SNP (rs17868322) was associated in multiple categories (Table 3). Following multiple testing correction, none of the individual SNPs, were significant before or after stratification by sex, pack-years of smoking, smoking status, age, or histology (Table 4).

### UGT1A haplotypes and small cell lung carcinoma

Haploview software was used on the controls to divide the

SNP Name	Gene Name	Accession	Location
rs10167119	UGT1A7	NM_019077.2	flanking_5UTR
rs10176426	UGT1A9	NM_021027.2	flanking_5UTR
rs10189426	UGT1A9	NM_021027.2	flanking_3UTR
rs10203853	LOC339766	XM_291007.6	intron
rs10210058	UGT1A8	NM_019076.4	flanking_5UTR
rs10221563	UGT1A8	NM_019076.4	flanking_3UTR
rs1042597	UGT1A8	NM_019076.4	coding
rs10929251	UGT1A10	NM_019075.2	flanking_3UTR
rs1105879	UGT1A6	NM_001072.2	coding
rs11692021	UGT1A7	NM_019077.2	coding
rs11695770	UGT1A3	NM_019093.2	flanking_3UTR
rs11888492	UGT1A8	NM_019076.4	flanking_5UTR
rs11891311	UGT1A3	NM_019093.2	flanking_3UTR
rs11893247	UGT1A9	NM_021027.2	flanking_5UTR
rs12327959	UGT1A10	NM_019075.2	flanking_3UTR
rs12463641	UGT1A4	NM_007120.2	flanking_3UTR
rs12474980	UGT1A6	NM_205862.1	flanking_5UTR
rs12475068	UGT1A5	NM_019078.1	coding
rs12479240	UGT1A9	NM_021027.2	flanking_5UTR
rs12615708	UGT1A9	NM_021027.2	flanking_3UTR
rs12988520	UGT1A6	NM_205862.1	flanking_3UTR
rs13009407	UGT1A3	NM_019093.2	flanking_3UTR
rs13418420	UGT1A9	NM_021027.2	flanking_5UTR
rs1453322	UGT1A9	NM_021027.2	flanking_5UTR
rs1500482	UGT1A8	NM_019076.4	flanking_3UTR
rs1597941	UGT1A8	NM_019076.4	flanking_3UTR
rs1597942	UGT1A8	NM_019076.4	flanking_3UTR
rs1604144	UGT1A6	NM_205862.1	flanking_3UTR
rs17862859	UGT1A6	NM_205862.1	flanking_5UTR
rs17862878	UGT1A1	NM_000463.2	flanking_5UTR
rs17863762	UGT1A8	NM_019076.4	coding
rs17863773	UGT1A9	NM_021027.2	flanking_5UTR
rs17863775	UGT1A9	NM_021027.2	flanking_5UTR
rs17864678	UGT1A10	NM_019075.2	flanking_5UTR
rs17864683	UGT1A9	NM_021027.2	flanking_5UTR
rs17868322	UGT1A9	NM_021027.2	flanking_5UTR
rs17868323	UGT1A7	NM_019077.2	coding
rs17868336	UGT1A3	NM_019093.2	coding
rs17868337	UGT1A3	NM_019093.2	flanking_3UTR
rs1817154	UGT1A10	NM_019075.2	flanking_3UTR
rs1823803	UGT1A10	NM_019075.2	flanking_5UTR
rs1875263	UGT1A4	NM_007120.2	flanking_5UTR
rs2008595	UGT1A3	NM_019093.2	flanking_5UTR
rs2011404	UGT1A4	NM_007120.2	coding
rs2070959	UGT1A6	NM_001072.2	coding
rs2221198	UGT1A1	NM_000463.2	flanking_5UTR
rs2248733	UGT1A9	NM_021027.2	flanking_5UTR
rs2302538	UGT1A8	NM_019076.4	flanking_5UTR
rs2602373	UGT1A10	NM_019075.2	flanking_3UTR
rs2602379	UGT1A9	NM_021027.2	flanking_3UTR
rs2602381	UGT1A9	NM_021027.2	flanking_3UTR

	Cases n=407	Controls n=582	P-value
Age*	64.2 ± 10	58.5 ± 11.1	<0.0001
Men (%)	228 (56)	277 (48)	0.009
Women (%)	179 (44)	305 (52)	
Smoking Status (%)			<0.0001
Never Smokers	37 (9)	214 (37)	
Former Smokers	202 (50)	245 (42)	
Current Smokers	168 (41)	123 (21)	
Pack-Years* (Median)	57.9 ± 39.2 (51)	23.9 ± 31.1 (12)	<0.0001
Education Level (%)			<0.0001
<High School Degree	64 (16)	25 (4)	
High School Degree	147 (36)	133 (23)	
Some College	107 (26)	191 (33)	
College Degree	61 (15)	144 (25)	
Post Graduate Degree	28 (7)	89 (15)	
Histology (%)			
Adenocarcinoma	153 (38)		
Squamous Cell Carcinoma	103 (25)		
Other Non-Small Cell Carcinoma	70 (17)		
Small Cell Carcinoma	38 (10)		
Large Cell Carcinoma	29 (7)		
Other	13 (3)		

\*Mean ± Standard Deviation

Table 1: Summary of Population Demographics.

rs2741028	UGT1A8	NM_019076.4	flanking_5UTR
rs2741029	UGT1A8	NM_019076.4	flanking_3UTR
rs2741034	UGT1A10	NM_019075.2	flanking_3UTR
rs2741048	UGT1A9	NM_021027.2	flanking_3UTR
rs28898590	UGT1A5	NM_019078.1	flanking_5UTR
rs28898605	UGT1A4	NM_007120.2	flanking_5UTR
rs28899468	UGT1A3	NM_019093.2	flanking_3UTR
rs28948388	UGT1A7	NM_019077.2	flanking_5UTR
rs28969676	UGT1A8	NM_019076.4	flanking_3UTR
rs28969678	UGT1A10	NM_019075.2	flanking_5UTR
rs3755321	UGT1A5	NM_019078.1	coding
rs3771342	UGT1A1	NM_000463.2	intron
rs3821242	UGT1A3	NM_019093.2	coding
rs3892170	UGT1A5	NM_019078.1	coding
rs4148325	UGT1A1	NM_000463.2	intron
rs4148328	UGT1A1	NM_000463.2	flanking_3UTR
rs4281899	UGT1A8	NM_019076.4	flanking_5UTR
rs4294999	UGT1A3	NM_019093.2	flanking_5UTR
rs4341922	UGT1A3	NM_019093.2	flanking_5UTR
rs4556969	UGT1A5	NM_019078.1	flanking_5UTR
rs4583459	UGT1A7	NM_019077.2	flanking_3UTR
rs4663333	UGT1A1	NM_000463.2	flanking_5UTR
rs4663965	UGT1A3	NM_019093.2	flanking_3UTR
rs6706988	UGT1A9	NM_021027.2	flanking_5UTR
rs6717546	UGT1A8	NM_019076.4	flanking_3UTR
rs6719561	UGT1A8	NM_019076.4	flanking_3UTR
rs6725478	UGT1A5	NM_019078.1	flanking_5UTR
rs6728940	LOC339766	XM_291007.6	intron
rs6747843	UGT1A1	NM_000463.2	flanking_5UTR
rs6755571	UGT1A4	NM_007120.2	coding
rs6759892	UGT1A6	NM_001072.2	coding
rs6761246	UGT1A6	NM_205862.1	flanking_3UTR
rs7420193	UGT1A6	NM_205862.1	flanking_3UTR
rs7421795	UGT1A10	NM_019075.2	flanking_3UTR
rs7564935	UGT1A3	NM_019093.2	flanking_3UTR
rs7569014	UGT1A10	NM_019075.2	flanking_3UTR
rs7571337	UGT1A9	NM_021027.2	flanking_5UTR
rs7572563	UGT1A5	NM_019078.1	flanking_5UTR
rs7578153	LOC339766	XM_291007.6	flanking_5UTR
rs7579530	UGT1A7	NM_019077.2	flanking_5UTR
rs7586006	LOC339766	XM_291007.6	flanking_5UTR
rs7597496	UGT1A4	NM_007120.2	flanking_3UTR
rs7608175	UGT1A6	NM_205862.1	flanking_5UTR
rs7608713	UGT1A9	NM_021027.2	flanking_5UTR
rs887829	UGT1A1	NM_000463.2	flanking_5UTR

Table 2: List of SNPs analyzed.

SNP	Category	Statistical Model	Odds Ratio (95% CI)	Uncorrected p-value	FDR p-value
rs17868322	All Lung Cancer Cases	Dominant	2.00 (1.03-3.86)	0.039	0.98
		Log-Additive	2.00 (1.05-3.83)	0.034	0.99
	Adenocarcinoma	Dominant	2.29 (1.04-5.05)	0.044	0.98
		Log-Additive	2.31 (1.08-4.97)	0.003	0.99
	Former Smokers	Dominant	3.75 (1.36-10.29)	0.008	0.45
		Log-Additive	3.69 (1.36-10.04)	0.007	0.4
	Formers Smokers with Adenocarcinoma	Dominant	2.77 (0.84-9.06)	0.09	0.97
		Log-Additive	2.74 (0.88-8.60)	0.07	0.96

\*Adjusted for age, sex, education level, and pack-years of smoking.

Table 3: Association between UGT1A9 promoter variant rs17868322 and lung adenocarcinoma in former smokers.

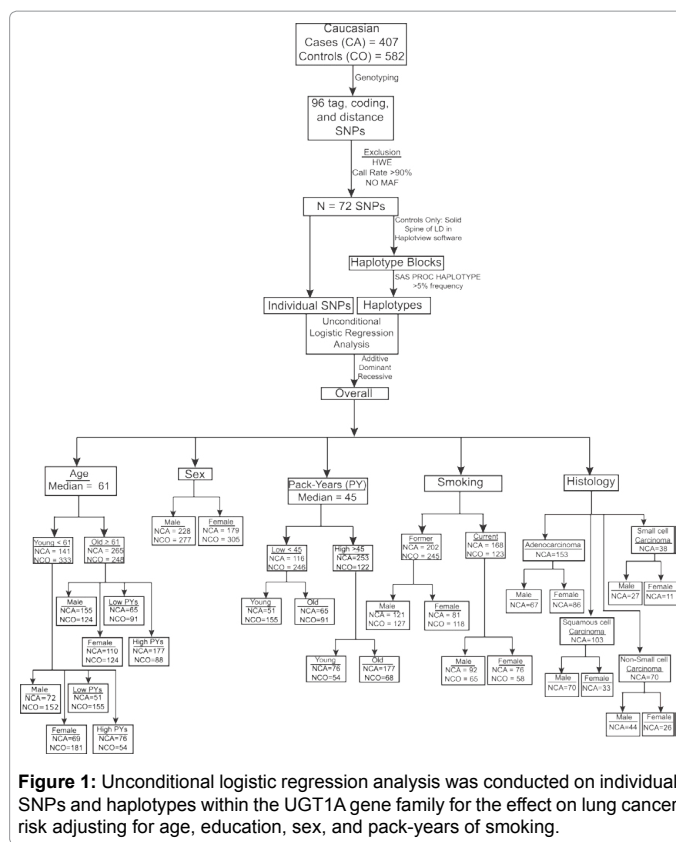


Figure 1: Unconditional logistic regression analysis was conducted on individual SNPs and haplotypes within the UGT1A gene family for the effect on lung cancer risk adjusting for age, education, sex, and pack-years of smoking.

Category	Statistical Model	SNP	Amino Acid Change	Odds Ratio (95% CI)	Uncorrected p-value	FDR p-value
Older individuals	Dominant	rs12475068	Ala158Gly	0.55 (0.32-0.94)	0.027	0.66
	Log-Additive	rs12475068	Ala158Gly	0.56 (0.34-0.93)	0.025	0.81
Younger individuals (<61 years)	Dominant	rs3755321	Leu63Pro	2.05 (1.06-3.96)	0.036	0.83
	Log-Additive	rs3755321	Leu63Pro	1.86 (1.02-3.39)	0.047	0.96
Current Smokers	Dominant	rs6755571	Pro24Thr	4.36 (1.54-12.37)	0.003	0.16
	Log-Additive	rs6755571	Pro24Thr	4.30 (1.52-12.14)	0.003	0.12

Table 4: Associations between UGT1A missense polymorphisms and lung cancer risk at the uncorrected p<0.05.

UGT1A gene region into eleven haplotype blocks and each haplotype (Figure 2A) was analyzed for impact on lung cancer risk. SAS PROC HAPLOTYPE was used to assign the probability that each individual possesses a particular haplotype compared to all other haplotype possibilities. Probabilities were analyzed in an unconditional logistic regression model controlling for the same covariates in the individual SNP analysis. Assuming an additive statistical model, the analysis reported the risk associated with a specific haplotype when compared to all other haplotypes in the population at frequencies >5%. A significant association between lung cancer risk and the UGT1A haplotypes in block 2 (rs7569014, rs7421795, rs1817154) was seen

after multiple testing correction in a stratification of thirty-eight small cell carcinoma patients (Figure 2B). No associations were found when studying all cases and controls. The (G-G-G) haplotype decreased small cell carcinoma risk (OR=0.092, 95% CI=0.013-0.65, p-value=0.017) while the (G-G-T) haplotype increased risk (OR=6.64, 95% CI=1.44-30.6, p-value=0.017; Figure 2B). The SNPs in this haplotype block span exon 1 of UGT1A10 (Figure 2B).

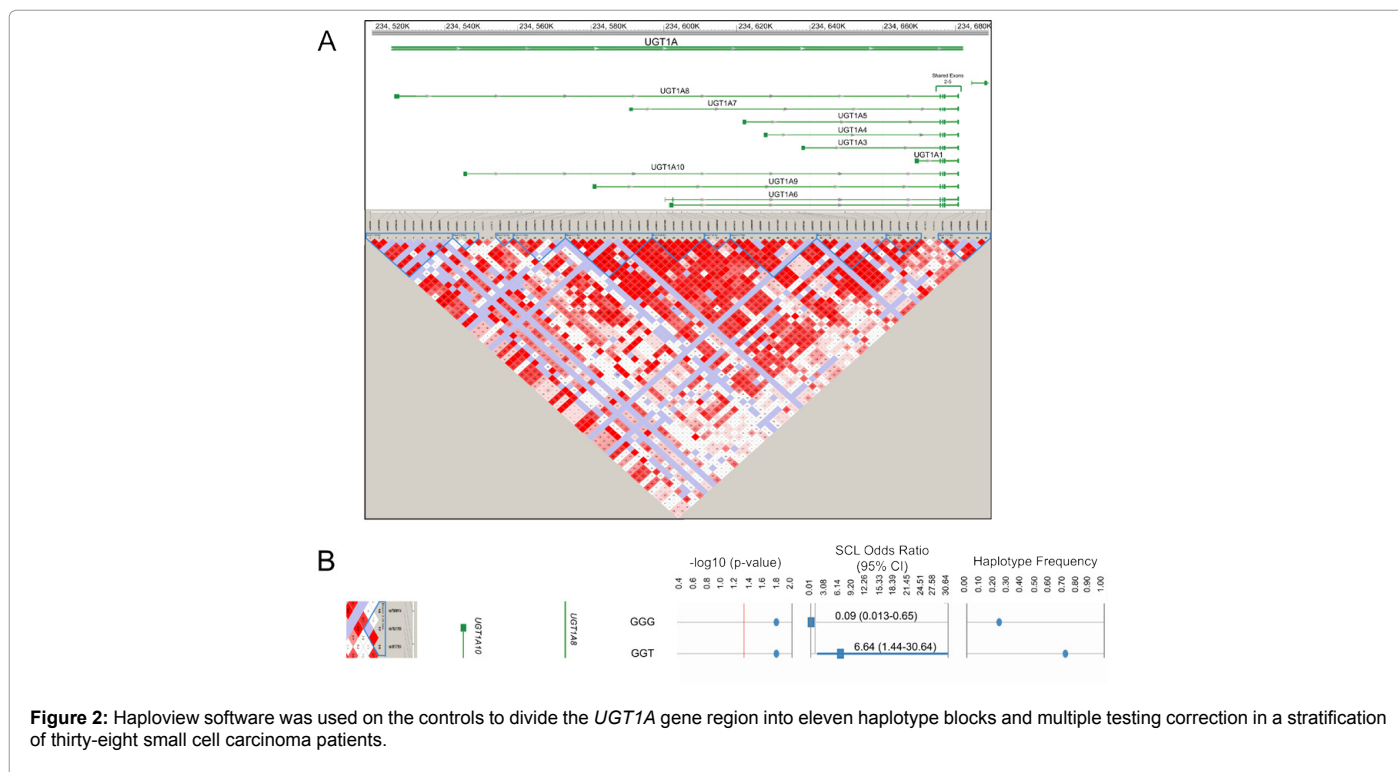
## Discussion

A major mode of detoxification of tobacco smoke carcinogens is glucuronidation by UGT enzymes. Since only 15% of lifetime smokers develop lung cancer, the hypothesis for this study was that genetic variation within the UGT1A gene family was associated with lung cancer risk [4]. Despite the role of the UGTs on tobacco carcinogen metabolism, few studies have analyzed the impact of UGT1A polymorphisms on lung cancer risk and none have evaluated American Caucasians. In the present study, twenty-six UGT1A SNPs were found to be potentially associated with lung cancer risk; however, none of the SNPs remained significant following multiple testing correction. Haplotype analysis was conducted to determine whether this lack of significance after multiple testing correction was due to high LD of SNPs spanning the UGT1A region and therefore difficult to differentiate statistically. Few associations with UGT1A haplotypes were detected, suggesting that UGT1A SNPs may not be associated with overall lung cancer risk in American Caucasians. A significant association was found between haplotypes in block 2 (rs7569014, rs7421795, rs1817154) that span exon 1 of UGT1A10. Due to the small population of small cell carcinoma cases, this finding should be confirmed in larger populations.

Associations between UGT1A genes and lung cancer risk have been identified in other populations, supporting the hypothesis that UGT1As play a role in disease risk for some races. The UGT1A7\*3 polymorphism increased lung cancer risk in a Japanese population

[24]. In addition, UGT1A6 19T>G, 541A>G and 552A>C significantly increased lung cancer risk and UGT1A6 105C>T and IVS1+130G>T polymorphisms significantly reduced lung cancer risk in a Chinese population [25]. Asian populations and Caucasian populations differ in SNP frequencies, extent of LD, and haplotypes, in addition to lung cancer and smoking patterns, so it is not surprising that our study in Caucasians identified different variants than the previous studies in Asian populations. Two SNPs in this study located in the intronic region of UGT1A6, 1A7, 1A8, 1A9, and 1A10 were also approaching significance for an increased risk in current smokers (rs28898590) and decreased risk for individuals with high pack-years of smoking (rs12474980) [35]. These data are consistent with the role for UGT1A7, 1A8, 1A9, and 1A10 in the metabolism of polycyclic aromatic hydrocarbons (PAHs) and N-OH-PhIP, both of which are present in tobacco smoke [18,19,35,36]. For reference, the p-values described for the remainder of this manuscript are not corrected for multiple testing. In the present study, rs12747980 was shown to decrease lung cancer risk in individuals with high-pack years of smoking (dominant model: OR=0.52, 95% CI=0.32-0.85, p-value=0.009; log-additive model: OR=0.6, 95% CI=0.6, 95% CI=0.39-0.90, p-value=0.014), correlating with a previous study (Table 5). Larger studies in American Caucasians are needed to better assess this result [25].

Several polymorphisms were significant prior to multiple testing correction in the present study, but the functional effect of has not been previously established. A missense polymorphism in exon 1 of UGT1A4 (rs6755571, UGT1A4\*2) increases risk in current smokers in dominant (OR=4.36, 95% CI=1.54-12.37, p-value=0.003) and log-additive (OR=4.30, 95% CI=1.52-12.14, p-value=0.003) models (Table 3) before multiple testing correction. Of note, >80% power was only achieved in the current smokers stratification. After multiple testing correction, this SNP was not significant; however, it is of interest because UGT1A4 plays a role in the catalysis of tobacco-specific nitrosamines including NNAL, the PAH dibenzo (a,l) pyrene-trans-11,12-diol,



**Figure 2:** Haploview software was used on the controls to divide the UGT1A gene region into eleven haplotype blocks and multiple testing correction in a stratification of thirty-eight small cell carcinoma patients.

SNP	Stratification	Model	OR (95% CI)	Uncorrected p value	FDR p value
rs10203853	Males	Dominant	0.60 (0.38-0.95)	0.030	0.92
rs10210058	Small Cell Carcinoma	Dominant	2.51 (1.05-6.04)	0.036	0.95
rs11695770	Young (<61 years)	Log-Additive	0.31 (0.11-0.88)	0.014	0.9
rs11888492	Current Smokers	Dominant	2.25 (1.06-4.90)	0.030	0.34
rs11888492	Current Smokers	Log-Additive	2.44 (1.21-4.90)	0.009	0.13
rs12474980	High Pack Years	Dominant	0.52 (0.32-0.85)	0.009	0.77
rs12474980	High Pack Years	Log-Additive	0.60 (0.39-0.90)	0.014	0.84
rs12475068	Older (≥61 years)	Dominant	0.55 (0.32-0.94)	0.027	0.66
rs12475068	Older (≥61 years)	Log-Additive	0.56 (0.34-0.93)	0.025	0.81
rs1604144	Squamous Cell Carcinoma	Recessive	2.68 (1.17-6.14)	0.027	0.52
rs17868322	All Lung Cancer	Dominant	2.00 (1.03-3.86)	0.039	0.98
rs17868322	All Lung Cancer	Log-Additive	2.00 (1.05-3.83)	0.034	0.99
rs17868322	Adenocarcinoma	Dominant	2.29 (1.04-5.05)	0.044	0.98
rs17868322	Adenocarcinoma	Log-Additive	2.31 (1.08-4.97)	0.003	0.99
rs17868322	Former Smokers	Dominant	3.75 (1.36-10.29)	0.008	0.45
rs17868322	Former Smokers	Log-Additive	3.69 (1.36-10.04)	0.007	0.40
rs1817154	Small Cell Carcinoma	Dominant	0.25 (0.09-0.70)	0.004	0.20
rs1817154	Small Cell Carcinoma	Log-Additive	0.41 (0.18-0.94)	0.021	0.94
rs1875263	Young (<61 years)	Dominant	0.61 (0.38-0.98)	0.042	0.83
rs2248733	Squamous Cell Carcinoma	Dominant	2.17 (1.28-3.67)	0.004	0.21
rs2248733	All Lung Cancer	Dominant	1.39 (1.02-1.9)	0.039	0.98
rs2248733	All Lung Cancer	Log-Additive	1.31 (1.00-1.71)	0.050	0.99
rs2248733	Squamous Cell Carcinoma	Dominant	2.17 (1.28-3.67)	0.004	0.21
rs2248733	Squamous Cell Carcinoma	Log-Additive	1.88 (1.20-2.93)	0.006	0.36
rs2302538	Older (≥61 years)	Recessive	7.09 (0.79-63.67)	0.040	0.98
rs2302538	Current Smokers	Dominant	2.48 (1.21-5.05)	0.011	0.22
rs2302538	Current Smokers	Log-Additive	1.93 (1.05-3.57)	0.031	0.30
rs2602373	Squamous Cell Carcinoma	Dominant	0.59 (0.35-1.00)	0.048	0.47
rs2602381	Never Smokers	Recessive	0.26 (0.07-0.91)	0.015	0.85
rs2741034	Squamous Cell Carcinoma	Dominant	0.57 (0.33-0.98)	0.039	0.47
rs2741034	Squamous Cell Carcinoma	Log-Additive	0.54 (0.33-0.90)	0.014	0.41
rs3755321	Young (<61 years)	Dominant	2.05 (1.06-3.96)	0.036	0.83
rs3755321	Young (<61 years)	Log-Additive	1.86 (1.02-3.39)	0.047	0.96
rs3771342	Current Smokers	Dominant	2.48 (1.20-5.13)	0.012	0.22
rs3771342	Current Smokers	Log-Additive	2.52 (1.27-5.02)	0.006	0.12
rs4556969	Young (<61 years)	Dominant	2.06 (1.04-4.10)	0.042	0.83
rs4663965	Older (≥61 years)	Dominant	1.60 (1.01-2.53)	0.046	0.66
rs6717546	High Pack Years	Dominant	1.71 (1.05-2.78)	0.031	0.90
rs6717546	Current Smokers	Dominant	1.86 (1.07-3.25)	0.027	0.34
rs6717546	Current Smokers	Log-Additive	1.50 (1.02-2.20)	0.038	0.30
rs6725478	Squamous Cell Carcinoma	Dominant	0.57 (0.34-0.96)	0.033	0.47
rs6728940	High Pack-Years	Recessive	0.38 (0.18-0.79)	0.008	0.58
rs6728940	Current Smokers	Recessive	0.29 (0.12-0.71)	0.006	0.25
rs6755571	Current Smokers	Dominant	4.36 (1.54-12.37)	0.003	0.16
rs6755571	Current Smokers	Log-Additive	4.30 (1.52-12.14)	0.003	0.12
rs7572563	Current Smokers	Recessive	2.29 (1.10-4.79)	0.023	0.33
rs7572563	Current Smokers	Log-Additive	1.52 (1.00-2.79)	0.045	0.31
rs7586006	Current Smokers	Log-Additive	1.92 (1.04-3.56)	0.034	0.30
rs7586006	Never Smokers	Dominant	0.30 (0.10-0.94)	0.021	0.89
rs7586006	Never Smokers	Log-Additive	0.31 (0.10-0.93)	0.017	0.94
rs7608175	Current Smokers	Recessive	1.38 (0.99-2.04)	0.030	0.34

**Table 5:** All SNPs displaying significant association before multiple testing correction.

and the heterocyclic amine 2-amino-9H-pyrido (2,3-b) indole (AαC) [16,37-39]. In addition, the UGT1A4\*2 variant was linked to altered glucuronidation activities against NNAL in human liver microsomes [37]. UGT1A4 plays a role in the metabolism of nicotine, the addictive agent in tobacco [40]. The rate of nicotine metabolism has been shown to influence tobacco smoking patterns, with adult slow nicotine metabolizers smoking fewer cigarettes daily and weekly [41,42]. The

UGT1A4\*2 allele could be important in nicotine dependence because the association was only found in current smokers.

A UGT1A9 promoter variant (rs17868322) was associated with lung cancer risk, before multiple testing corrections, in all lung cancer cases, former smokers, and in subjects with adenocarcinoma (Table 2). Of note, >80% power was only achieved in the former smokers

stratification. Given that UGT1A9 metabolizes NNAL and PAHs, functional studies are warranted [13,38].

Haplotype analysis found a significant increase (G-G-T) (OR=6.64, 95% CI=1.44-30.6, p-value=0.017) and decrease (G-G-G) (OR=0.092, 95% CI=0.013-0.65, p-value=0.017) in small cell carcinoma risk for haplotypes containing the SNPs rs7569014, rs7421795, and rs1817154 (Figure 2B). Although this association remained significant after multiple testing corrections, it needs to be confirmed in a larger study due to the small population of small cell carcinoma patients. In addition, one of the SNPs contributing to the above haplotypes (rs1817154) was significant by itself prior to multiple testing corrections for small cell carcinoma risk (Table 5). The single SNP and haplotype analysis both support that there is a variant in this block associated with small cell carcinoma. These three SNPs span exon 1 of UGT1A10 and although these SNPs have not been identified with functional changes in UGT1A10 activity, they may be LD with other UGT1A10 variants, for example the 1664 bp deletion polymorphism located -190 to -1856 relative to the UGT1A10 translation start site that increases UGT1A10 gene expression [43]. Given that UGT1A10 exhibits high activity against many BaP metabolites and exhibits O-glucuronidation activity against NNAL, further studies exploring the potential role of these haplotypes in risk for small cell carcinoma are warranted [14,18,43].

## Conclusions

In summary, while many UGT1A polymorphisms were observed to be potentially associated with lung cancer risk in this study, these results were not significant after multiple testing corrections was applied. A significant association between two UGT1A haplotypes and risk of small cell carcinoma was found after multiple testing corrections, but this needs to be confirmed in a larger study. These data are consistent with the generally low expression of UGT1A enzymes in lung and other respiratory tract tissues [20,44]. This contrasts with UGT2B17, which was shown to be relatively well expressed in lung and was associated with risk for lung adenocarcinoma [9,45-47]. It is interesting to note the UGT1A10 was shown to be expressed in lung and is spanned by the two haplotypes associated with small cell carcinoma risk [18]. Therefore, with the potential exception of small cell carcinoma, these data suggest that UGT1A variants may not be playing a major role in lung cancer risk.

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