

Breathing Intolerance Index and Control of Ventilation, a Non-invasive Method for Evaluating Inspiratory Muscle Endurance at Rest and Exercise, in Patients with Cardiomyopathy: One Year Follow-up

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Abstract

Rationale: Inspiratory muscle endurance as expressed by the tension-time index of the diaphragm [$TTI = (Pdi/Pdi_{max}) \times (T_i/T_{tot})$] in normal subjects and cardiorespiratory disorders requires the use of esophageal and gastric balloons. A noninvasive technique can be used in which the ratio of tidal volume to vital capacity V_t/FVC is substituted for $Pdi/Pdimax$, with the resulting relationship [$(T_i/T_{tot}) \times (V_t/FVC)$] called the breathing intolerance index (BIT). The response to medical management of BIT in patients with cardiomyopathy with chronic heart failure has not been assessed before and after medical management.

Objectives: To compare control of ventilation and BIT in patients with stable dilated cardiomyopathy at rest and exercise, and to analyze BIT, oxygen uptake and carbon dioxide elimination at baseline and approximately one year after initiating medical management.

Methods: Control of ventilation and BIT were assessed in 24 patients (mean age 55.5 years; 17 males) at rest and at peak exercise during bicycle ergometry, at baseline and approximately 14 months later.

Results: Median peak $\dot{V}O_2$ was 12.9 mL/kg/min and 14.3 mL/kg/min at baseline and followup, respectively ($p < 0.036$, adjusted for age, gender and BMI). It increased 4.3 times from rest to peak exercise at baseline and 4.7 times at followup (NS). Peak $\dot{V}O_2$ increased by 10.5% between baseline and followup ($p = 0.036$ after adjusting for age, sex and BMI). BIT did not change significantly. Peak $\dot{V}O_2/BIT$ increased significantly from baseline to follow-up ($p = 0.008$, adjusted for age, sex and BMI). No patients died or experienced acute heart failure during the study.

Conclusions: Peak $\dot{V}O_2$ in relation to non-invasively measured peak tension-time index of the respiratory muscles (BIT) increases significantly after one year of medical management, indicating increased efficient oxygen utilization as cardiac function improves. BIT is useful for noninvasively assessing inspiratory muscle endurance and relating oxygen uptake to ventilation in patients with dilated cardiomyopathy and chronic congestive heart failure

Keywords: Breathing intolerance index; Exercise; Cardiomyopathy; Control of ventilation

Abbreviations: ANOVA: Analysis of Variance; BIT index: Breathing Intolerance Index; FEV1: Forced Expiratory Volume in One Second; FVC: Forced Vital Capacity; MVV: Maximum Voluntary Ventilation; V_t : Tidal Volume; T_i : Inspiratory Time; T_e : Expiratory Time; $TTdi$: Tension-Time Index of the Diaphragm; $TTmus$: Tension-Time Index of Inspiratory Muscles; \dot{V}'_e : Minute Ventilation; $\dot{V}O_2$: Oxygen Uptake; $\dot{V}CO_2$: Carbon Dioxide Elimination

Introduction

Exercise intolerance due to dyspnea and fatigue is a frequent and disabling symptom in patients with congestive heart failure. Skeletal muscle weakness and reduced respiratory muscle endurance contribute to these symptoms [1-4]. The current New York Heart Association (NYHA) classification of patients according to exercise limitation is a subjective measure of disability and has limited relation to objective measures of exercise tolerance. The tension-time index of the diaphragm [$TTdi$] is the product of the ratio of the mean transdiaphragmatic pressure swing divided by the maximum transdiaphragmatic pressure ($Pdi/Pdimax$) and the inspiratory time divided by the total breath time (T_i/T_{tot}) and is related to diaphragm endurance. It was initially studied by Bellmare and Grassino [5] to assess inspiratory muscle endurance in patients with cardiorespiratory disorders. The $TTdi$ is derived from the product of the ratio of the mean transdiaphragmatic pressure swing divided by the maximum transdiaphragmatic pressure ($Pdi/Pdimax$) with the inspiratory time divided by the total breath time (T_i/T_{tot}) and

is related to diaphragm endurance. A noninvasive technique employed by Koga et al. [6] in which the ratio of tidal volume to vital capacity (V_t/VC) was substituted for $Pdi/Pdimax$, with the resulting relationship [$(T_i/T_{tot}) \times (V_t/FVC)$] called the breathing intolerance index (BIT). Koga and associates [6] applied the BIT index to predict the need for noninvasive ventilation in patients with bronchial asthma and restrictive thoracic/neuromuscular disorders. Later, Baydur and Chen [7] demonstrated that resting BIT was significantly greater in COPD and obese patients than in control subjects and even higher in seated position in both cohorts primarily due to an increase in V_t in this position.

The tension-time index of the diaphragm is markedly increased in patients with chronic heart failure in contrast to healthy subjects [8], approaching levels shown to generate fatigue, as demonstrated

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by Bellemare and Grassino [5]. In 2016, our group [9] found that in patients with cardiomyopathy, resting BIT was 50% higher than in healthy control subjects. Even though there was a threefold increase in BIT in these patients at peak exercise, the difference in BIT at peak exercise was not statistically significant between cohorts, suggesting that respiratory muscle endurance was not compromised in the cardiomyopathy patients.

The purpose of this study was to compare indices of control of ventilation and BIT in patients with stable dilated cardiomyopathy during rest and exercise, at baseline, and at approximately one year after initiating medical management, and to analyze the relation of BIT to oxygen uptake at rest and peak exercise ($\dot{V}O_2$ max). A secondary objective was to determine if an increase in BIT in relation to $\dot{V}O_2$ could predict the occurrence of respiratory impairment related to heart failure.

Methods

Subjects

Clinically stable subjects with dilated cardiomyopathy were retrospectively evaluated after undergoing two cardiopulmonary exercise tests approximately one year apart. Testing was recommended by their primary cardiologist for evaluation of exercise tolerance and response to medical therapy. All patients were in clinically stable condition with no worsening of heart failure or change of cardiac medications in the 2 months prior to the tests. All patients were receiving medical management of their cardiomyopathy in accordance with the 2013 American College of Cardiology Foundation/American Heart Association (ACC/AHA) guidelines for management of heart failure [10,11]. Patients were receiving the following medications: Beta-blockers (n=18), loop diuretics (16), spironolactone (18), torsemide (1), digoxin (7), angiotensin receptor antagonists (9), angiotensin converting enzyme inhibitors (7), vasodilators (7) and amiodarone (2). History concerning medical and smoking history and respiratory symptoms, as well as hospitalizations for acute cardiorespiratory illness were recorded. Patients with asthma, primary restrictive respiratory disorders and acute cardiorespiratory illnesses were excluded. The study was approved by the Institutional Review Board (HS-14-00244). Findings of this study were reported previously in part as an abstract [12].

Pulmonary function testing

Spirometry was performed while seated with a Collins GS/PLUS or DSII/PLUS System (Warren Collins; Braintree, MA, or Ultima PE, MedGraphics, Saint Paul, MN). The cut-off point of FEV_1/FVC for COPD was 0.7 [13]. Predicted values for post-bronchodilator FEV_1 , FVC and FEV_1/FVC were from Schoenberg et al. [14].

Exercise testing and control of ventilation

Subjects refrained from eating or drinking coffee for at least 12 hours before testing. They breathed room air through the equipment assembly with a nose clip on. The exercise test was performed on a calibrated bicycle ergometer (Lode, Amsterdam, Netherlands). Subjects wore a noseclip and breathed through a low resistance (1.5 cm $H_2O/L/s$) and low dead space (45 mL) breathing valve. The valve was connected by the expiratory circuit to a breath-by-breath automated exercise metabolic system (Ultima Cardio2, MedGraphics, St. Paul, Minnesota). Flow was measured with a heated bidirectional Pitot tube flow sensor and differential pressure transducer (MedGraphics) that was linear over the experimental range of flow up to 14 L/s. Volume was obtained by integration of digitized flow. A closed system ensured that

end-expiratory volume remained constant. Each subject underwent a 3-minute trial run in order to become accustomed to the procedure. Subjects were monitored for leaks at the mouthpiece. Exercise testing consisted of acquiring multiple measurements during 3 minutes of rest, 3 minutes of unloaded cycling, followed by progressively increasing work by 10 Watts/minute to maximum tolerance. At each stage, control of ventilation data were obtained from the last 5 to 10 breaths of steady state ventilation. The system continuously measured oxygen uptake, carbon dioxide output, and respiratory exchange ratio. Before each test, the gas analyzers were calibrated with two gas mixtures of known oxygen and carbon dioxide concentration. Heart rate was continuously recorded on a cardioscope and electrocardiogram was periodically recorded.

Statistics

Medians, 25th and 75th percentiles are presented in the table to describe the distribution of characteristics and lung function measures of 24 participants at baseline and follow-up visit after treatment. Wilcoxon signed rank test was used to assess differences in physiologic variables between rest and peak exercise status [15]. Because of the small sample size and the data exhibited skewed distributions for many of the lung function variables, characteristics and measures of lung function tests were transformed using Blom normal scores for nonparametric data analysis. Individual characteristics and measures of lung function tests were compared between before and after treatment using mixed effects model [16-18] to allow a random intercept for each individual and to control for within-individual correlations among repeated measures. Age, sex and BMI are adjusted for in the model for their potential confounding effect. All statistical tests were two-sided at a 0.05 significance level. SAS version 9.4 (SAS Institute Inc., Cary, NC) was used for data analysis.

Results

Anthropometric data and baseline characteristics

Control of ventilation and respiratory muscle endurance were analyzed in 24 subjects with dilated cardiomyopathy (15 ischemic and 9 non-ischemic). There were 17 male and 7 female patients. Mean age at baseline and at follow up was 55.5 and 57.0 years, respectively. Baseline BMI was 28.4 kg/m² with no significant change on follow up. Mean (\pm SD) baseline and followup estimated left ventricular ejection fraction (LVEF) by transthoracic echocardiography was 34.4 ± 11.1 and 38.1 ± 11.9 , respectively ($p < 0.05$). Mean follow up time was 14.3 months.

Spirometric and control of ventilation data

Table 1 shows that, from baseline to followup, forced vital capacity (FVC) and maximum voluntary ventilation (MVV) increased by 3.6% ($p = 0.015$, adjusted for age, gender and BMI) and 2.1% ($p = 0.03$), respectively, without significant changes in FEV_1 and FEV_1/FVC . Indices for control of ventilation changed significantly from rest to peak exercise, with V_t increasing by 2.6- and 2.5-fold at baseline and followup, respectively, and the T_i decreasing by 32% on both occasions ($p < 0.01$). T_{tot} diminished by 46% and 50% respectively (both $p < 0.01$), while T_i/T_{tot} increased by 29% and 37%, respectively (both $p < 0.001$). The ratio of tidal volume to FVC increased by 2.8- and 2.9-fold from baseline to followup, respectively (both $p < 0.001$). These changes were not significant between baseline and followup. From rest to peak exercise, BIT increased by 3.8- and 3.7-fold at baseline and followup, respectively (both $p < 0.0001$), reflecting the marked increase in V_t .

Variables	N	Medians (25 th , 75 th quantiles)		P-values (Before vs. After Treatment)	
		Before treatment	After treatment	Univariate	Adjusted for age, sex and BMI
Sex (Male/Female)	17/7				
Age (years)	24	55.5 (41.0, 63.0)	57.0 (42.0, 65.0)	<0.0001	
BMI (kg/m ²)	24	28.4 (25.9, 30.7)	28.5 (25.3, 32.2)	0.521	
FVC (% predicted)	24	82.5 (67.5, 92.0)	85.5 (74.0, 99.0)	0.019	0.015
FEV ₁ (% predicted)	24	85.0 (70.5, 97.0)	89.5 (78.5, 99.0)	0.337	0.299
FEV ₁ /FVC (%)	24	80.6 (74.4, 83.0)	79.7 (76.3, 84.3)	0.79	0.594
MVV (L/min)	24	95.5 (77.0, 108.0)	97.5 (84.5, 111.0)	0.029	0.03
V'O ₂ , rest (ml/kg/min)	24	3.00 (2.60, 4.25)	3.05 (2.60, 3.65)	0.42	0.495
V'O ₂ , AT (ml/kg/min)	24	9.00 (8.35, 10.30)	9.55 (8.65, 11.25)	0.278	0.23
V'O ₂ , peak (ml/kg/min)	24	12.90 (11.00, 15.80)	14.25 (13.20, 17.80)	0.069	0.036
V'CO ₂ , rest (ml/kg/min)	24	2.56 (2.12, 3.79)	2.74 (2.08, 3.25)	0.642	
V'CO ₂ , AT (ml/kg/min)	24	8.67 (7.60, 10.05)	8.94 (7.34, 10.66)	0.682	
V'CO ₂ , peak (ml/kg/min)	24	15.76 (13.04, 20.15)	17.39 (14.00, 21.03)	0.326	
BIT (rest)	24	0.047 (0.039, 0.071)	0.045 (0.036, 0.058)	0.234	0.168
BIT (AT)	24	0.111 (0.088, 0.128)	0.095 (0.086, 0.126)	0.723	0.595
BIT (peak)	24	0.178 (0.135, 0.209)	0.167 (0.151, 0.196)	0.715	0.626
V'O ₂ /BIT, rest (ml/kg/min/10)	24	5.85 (3.25, 7.26)	5.04 (4.03, 6.92)	0.643	0.469
V'O ₂ /BIT, AT (ml/kg/min/10)	24	7.35 (5.52, 8.85)	7.77 (6.79, 9.53)	0.461	0.354
V'O ₂ /BIT, peak (ml/kg/min/10)	24	6.03 (5.06, 7.62)	7.31 (6.29, 9.05)	0.012	0.008
V'CO ₂ /BIT, rest (ml/kg/min/10)	24	5.92 (4.04, 6.90)	5.82 (4.26, 7.06)	0.67	
V'CO ₂ /BIT, AT (ml/kg/min/10)	24	8.11 (6.29, 10.06)	8.94 (6.62, 9.53)	0.614	
V'CO ₂ /BIT, peak (ml/kg/min/10)	24	8.65 (7.41, 10.80)	10.80 (8.94, 11.68)	0.184	
V'O ₂ /HR, rest (ml/beat)	24	3.66 (3.16, 4.77)	3.19 (2.91, 4.28)	0.496	0.505
V'O ₂ /HR, AT (ml/beat)	24	7.73 (6.70, 9.57)	8.20 (7.21, 9.69)	0.264	0.32
V'O ₂ /HR, peak (ml/beat)	24	9.75 (7.89, 11.74)	11.09 (9.26, 12.86)	0.035	0.033
V _T , rest (L)	24	0.57 (0.45, 0.87)	0.62 (0.48, 0.74)	0.665	0.7
V _T , AT (L)	24	1.09 (0.94, 1.33)	1.01 (0.88, 1.31)	0.512	0.458
V _T , peak (L)	24	1.49 (1.31, 1.89)	1.53 (1.28, 1.88)	0.593	0.637
T _I , rest (s)	24	1.04 (0.80, 1.25)	1.08 (0.80, 1.18)	0.646	0.600
T _I , AT (s)	24	0.93 (0.78, 1.16)	0.85 (0.73, 1.00)	0.426	0.332
T _I , peak (s)	24	0.71 (0.62, 0.86)	0.73 (0.63, 0.81)	0.672	0.809
T _I /T _{tot} , rest	24	0.28 (0.25, 0.31)	0.27 (0.22, 0.31)	0.209	0.184
T _I /T _{tot} , AT	24	0.33 (0.30, 0.37)	0.35 (0.31, 0.37)	0.82	0.804
T _I /T _{tot} , peak	24	0.36 (0.34, 0.38)	0.37 (0.35, 0.39)	0.768	0.713
V _I /FVC, rest	24	0.18 (0.14, 0.25)	0.16 (0.13, 0.24)	0.477	0.387
V _I /FVC, AT	24	0.34 (0.27, 0.39)	0.30 (0.27, 0.35)	0.497	0.351
V _I /FVC, peak	24	0.50 (0.41, 0.57)	0.47 (0.43, 0.53)	0.337	0.271

Values are medians (25th and 75th interquartile ranges)

Table 1: Comparisons of characteristics before and after treatment among 24 participants.

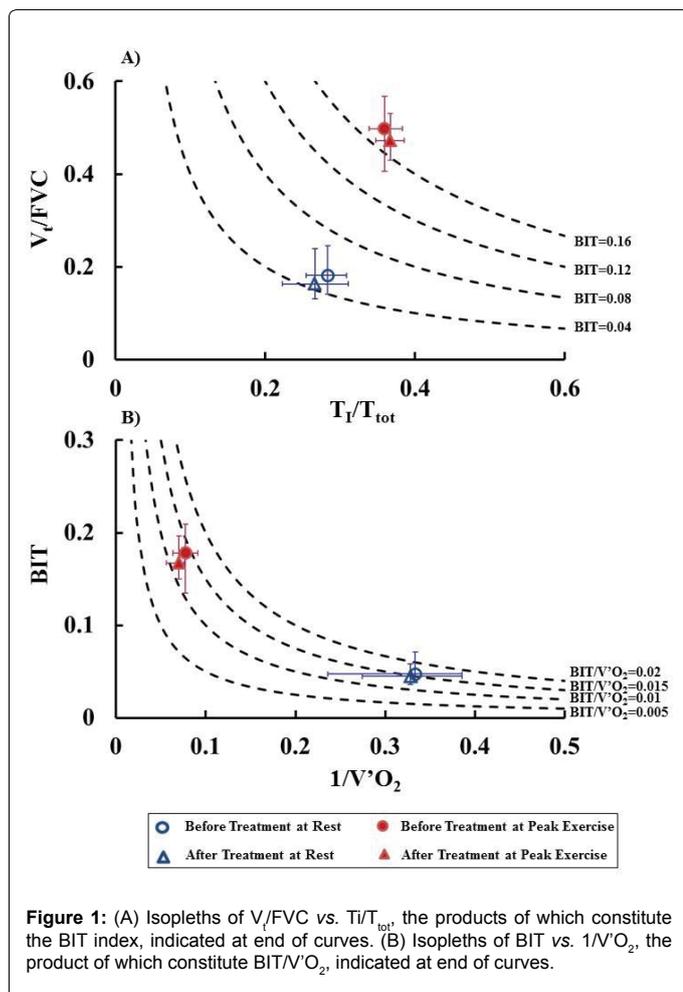
Exercise data

When adjusted for age, sex and BMI, median peak V'O₂ increased by 10.5% (1.35 ml/kg/min) over the study period (p=0.036), although resting V'O₂ did not change significantly. At baseline, V'O₂ increased by 4.3 times at peak exercise while it increased 4.7-fold at followup. The O₂-pulse (reflecting stroke volume) at peak exercise, increased by 14% (p=0.033) at followup; resting O₂-pulse did not increase. Compared to baseline, the BIT index trended towards decreasing values, both at AT and peak exercise, but differences were not statistically significant (Table 1 and Figure 1A). At baseline, the V'O₂/BIT ratio, an expression of oxygen uptake in relation to ventilatory effort, increased by 26% from rest to anaerobic threshold, then decreased by 18% at peak exercise. At followup, compared to baseline, the relative increase in V'O₂/BIT from rest to anaerobic threshold nearly doubled (54%, p<0.02) but decreased by only 6% at peak exercise. The baseline V'O₂/BIT ratio at rest decreased by 14% at followup, but increased by 21% at peak exercise on follow up (p=0.008), while the V'CO₂/BIT relationship increased by 10% and 25%, respectively, at anaerobic threshold and

peak exercise. No patients experienced any episodes of acute heart failure or died during the study period. Figure 1B shows, in graphical form, the changes in the components of the BIT index and relationship of V'O₂ to BIT from baseline to followup. As reflected in Table 1, while V_T/V_C and V'O₂/BIT sharply increased from resting to peak exercise both at baseline and followup, there were no significant differences between the time points. No patients experienced acute heart failure or died during the study period.

Discussion

To our knowledge this study is the first to evaluate control of ventilation and inspiratory muscle effort using a noninvasive method (i.e., without using the esophageal balloon method) in patients with dilated cardiomyopathy under resting and exercise conditions approximately one year apart. The main findings of this study were that: (1) peak V'O₂ increased significantly after approximately one year of medical management, (2) BIT index did not change significantly over the same period, and (3) patients did not exhibit inspiratory muscle fatigue during exercise, a finding that did not change at followup.



Control of ventilation and breathing intolerance index

We assessed the impact of chronic heart failure on respiratory muscle endurance, at rest and peak exercise and its response to medical management at one year by assessing changes in control of ventilation. At rest, the ventilatory pattern (V_t , T_i , T_{tot} , V'_E , V_t/T_i) observed in our cardiomyopathy patients was similar to that in patients with chronic heart failure reported by other investigators [19-21]. Koga et al. [6] found that during resting breathing, BIT index was, on average, 0.186 in patients with asthma and restrictive thoracic disorders requiring nocturnal noninvasive positive-pressure ventilation, significantly greater than in non-ventilator users (0.087) and nonsmoking healthy volunteers (0.05), the last values being similar to those of our cardiomyopathy patients at rest (0.045 and 0.047 at baseline and followup, respectively). We previously reported resting BIT values in patients with chronic heart failure to be higher than in control subjects, primarily because of lower VCs [9]. Resting BIT indices in our patients were not in the range that would predict acute respiratory failure [6]. With exercise, BIT did not increase as much as in healthy subjects, mainly because their V_t increased by only 60% as much as in the controls [9]. Nevertheless, the ventilatory reserves of our patients were greater than those of the neuromuscular and asthma patients reported by Koga et al. [6]. During resting breathing, BIT becomes useful as a predictor for respiratory failure when its value increases above stable resting conditions, an event that did not occur in our patients.

Oxygen uptake and exercise capacity

The peak $V'O_2$ after 1 year of medical management (14.3 ml/kg/min) was comparable to that of 67 patients with hypertrophic cardiomyopathy undergoing 16 weeks of a moderate-intensity training program reported by Saberi et al. (21.3 ml/kg/min) [21]. These authors reported an increase in peak $V'O_2$ amounting to 1.35 ml/kg/min, or 6.4% of the baseline value, similar to the increase (amounting to 10.5%) we found. Patients with chronic (stable) heart failure exhibit decreased maximal exercise capacity and slower transitions to and from submaximal levels of exercise [22-24]. Peak $V'O_2$ is a reliable indicator of prognosis in heart failure (but recently has been superseded by the slope of $V'CO_2/V'_E$, and relates to cardiac output and muscle perfusion [25]). Exercise limitation is further exacerbated by down regulation of beta-receptors and increased pulmonary vascular resistance [26]. Moreover, pulmonary edema reduces lung volume [27] and compliance, further increasing the work of breathing.

Relation between ventilation variables and oxygen uptake and carbon dioxide production

The $V'O_2$ -BIT relationship describes oxygen uptake for a given degree of ventilatory effort, and offers the advantage of not having to use the esophageal balloon technique to directly measure the work produced by the respiratory muscles. We found that both at baseline and followup, $V'O_2/BIT$ increased from rest to anaerobic threshold and then decreased slightly at peak exercise. Compared to baseline, the relative increase in $V'O_2/BIT$ from rest to anaerobic threshold was more prominent at followup, indicating more efficient oxygen utilization after treatment. Our findings of the changes in the $V'O_2/BIT$ relationship are analogous to those of Sun et al. [28] who described changes in $V'O_2/V'_E$ (referred to as the oxygen uptake efficiency, OUE) from rest to peak exercise in normal subjects and subjects with 3 different severities of heart failure. In all their patients, OUE increased rapidly and reached a plateau (OUEP) just before the anaerobic threshold and then diminished until exercise ended (Figure 1) [28]. In patients with very severe heart failure, the mean OUEP was 52% of that of normal subjects. They determined that OUEP was the strongest predictor of early mortality. We previously reported that median peak $V'O_2$ for patients with chronic heart failure was 54% lower than in control subjects [9], similar to findings by Sietsema et al. [22] who showed that peak $V'O_2$ (corrected for weight) was 62% lower than in normal control subjects. Since BIT was not significantly different between normal control subjects and those with heart failure, median $V'O_2/BIT$ was 46% that of healthy controls, similar to changes in $V'O_2/V'_E$ described by Sun et al. [28]. Furthermore, our finding of an increase in the peak $V'O_2/BIT$ after one year was almost entirely due to increase in the O_2 uptake, as BIT did not change significantly. Given that BIT is analogous to V'_E , a rising $V'CO_2/BIT$ during exercise (analogous to a decrease in the slope of V'_E vs. $V'CO_2$) should be associated with a reasonably good prognosis in chronic heart failure. The increase in the ratio indicates that lung perfusion (that is, cardiac output) increases with respect to ventilation, commensurate with increase in metabolic demand and the need to eliminate CO_2 . Indeed, none of our patients experienced acute decompensation or died during the period of study, despite borderline values of $V'O_2$ max. In an earlier study, we found that in patients with chronic heart failure resting V'_E was higher than in healthy control subjects [9], as have others [28]. V'_E , however, increased by only as half as much as it did in control subjects at peak exercise [9], reflecting decreased strength and endurance of respiratory muscles. In addition, low end-tidal $PaCO_2$ and elevated dead space to tidal volume ratio (V_d/V_t) have been shown to be strong predictors of

mortality since a low peak PaCO₂ for a given level of exercise produces an increase in the V_E'/V'CO₂ slope, a strong prognostic indicator [29].

Limitations

There were some limitations to this study, the first being its retrospective design. Being a single center study, findings and conclusions may also limit generalizability. However, patients were selected for analysis based on strict diagnostic criteria obtained from medical records. Principles of testing and data analysis followed standard practice. Inclusion criteria were strictly maintained. Second, we did not assess for periodic (oscillatory) breathing (PB) during cardiopulmonary testing. Periodic breathing has been associated with more severe disease and poor prognosis in heart failure [30,31]. Future studies may also focus on this aspect of control of ventilation and its influence on respiratory muscle function.

Conclusions

The BIT index is useful for evaluating respiratory muscle endurance under resting and exercise conditions in patients with cardiomyopathies. V'O₂ and V'O₂/BIT increase significantly at peak exercise from baseline to follow-up. However, oxygen uptake failed to increase in proportion to BIT during cardiopulmonary exercise testing in these conditions as much as in historical healthy individuals, reflecting impaired oxygen transport and utilization. Respiratory muscles did not fatigue with exercise in this group of patients with cardiomyopathy. Additional studies under resting and exercise conditions in larger cohorts would help determine if BIT predicts which patients are likely to develop acute heart failure or ventilatory decompensation.

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