

# Drugs for the Prevention and Treatment of Cardiac Allograft Vasculopathy

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## Abstract

Cardiac allograft vasculopathy (CAV) of heart transplants is responsible for up to one-third of deaths at 5 years following cardiac transplantation. Risk factors for CAV include both traditional risk factors and immune factors. Drugs used for prevention and treatment of CAV include statins, calcium channel blockers and immunosuppressive agents. This review discusses the currently available drugs for CAV, the evidence behind their use, and future targets of therapy.

**Keywords:** Cardiac allograft vasculopathy; Cardiac transplantation; T-cells; Statins

## Introduction

Cardiac allograft vasculopathy (CAV) is a specific form of coronary artery disease that affects heart transplanted patients and is characterized by an early, diffuse intimal proliferation of both the epicardial and microvascular vessels, resulting in epicardial coronary artery stenosis and small vessel occlusion [1]. Intimal hyperplasia and infiltration of inflammatory cells are confined to the graft vasculature, with sparing of the recipient's own arteries, suggesting an immune-mediated local process.

According to the 29<sup>th</sup> Official Adult Heart Transplant Report, CAV affects 8% of heart transplant recipients by year 1, 30% by year 5 and 50% by year 10 after the transplant [2]. Once CAV develops, treatment is challenging and often frustrating; therefore strategies to prevent its development need to be implemented right from the time of cardiac transplantation. This article provides an overview of the various drugs available for prevention and treatment of CAV, the evidence behind their use, and clinical framework for their use.

## Risk Factors and Pathophysiology of CAV

Risk factors for CAV include both traditional risk factors such as hyperlipidemia (exacerbated by calcineurin inhibitors), hypertension and diabetes mellitus (worsened by steroids), transplant-related factors such as donor factors (explosive mode of brain death, intracranial hemorrhage), and immune factors. The latter include increased levels of cytotoxic B-cell antibodies, anti-human leukocyte antigen (HLA) antibodies, expression of non-HLA antibodies such as anti-vimentin antibodies, more acute cellular and humoral (antibody-mediated) rejection, cytomegalovirus (CMV) infection, and sensitization to the monoclonal antibody OKT3 [3,4].

Brain death itself induces an immune response. After brain death, neurohumoral and molecular changes result in cellular stress and an inflammatory response, this induces the expression of endothelium-derived major histocompatibility complex (MHC) molecules and co-stimulatory signals [5].

Alloimmune injury is initiated when donor MHC antigens expressed on the surface of graft endothelial cells interact with recipient dendritic cells, resulting in a chronic immune response. Recipient CD4<sup>+</sup>T lymphocytes recognize donor MHC class II antigens on the cell's surface (HLA-DR, DP and DQ) and are activated, leading to a cascade of cytokines that further stimulate the donor endothelial cells to secrete growth and chemotactic factors [6]. These factors recruit

mononuclear cells, which then secrete cytokines that activate normally quiescent vascular smooth muscle cells (VSMCs). These VSMCs then transform from contractile cells to de-differentiated synthetic cells. Activated VSMCs migrate from the media to the intima of endothelial cells, where they proliferate and cause extracellular matrix deposition, leading to reduction in the luminal diameter and loss of vascular contractility, (Figure 1). This process is responsible for most of the obliterate arterial intimal thickening present in CAV, and occurs diffusely [7].

Due to the predominant role of immunologic factors, CAV was long regarded as a form of chronic rejection. However, evidence of significant contribution of other metabolic factors to the development of CAV has led to the "response to injury" concept, according to which chronic endothelial injury from a combination of immune and non-immune factors leads to vascular cell proliferation, fibrosis, and vascular remodeling [8]. Recent evidence based on virtual histology intravascular ultrasound (VH-IVUS) suggests that ischemic etiology of cardiomyopathy prior to heart transplant may be independently associated with development and progression of plaques and higher cardiac event rate after transplant, highlighting the contribution of atherosclerosis to the pathogenesis of CAV [9]. In this study, VH-IVUS performed on 2 separate occasions after transplant revealed that patients with ischemic cardiomyopathy had significantly higher necrotic core, dense calcium, and fibrous and borderline high fibrofatty components in the plaques, similar to vulnerable plaques in atherosclerotic coronary artery disease.

The immune and inflammatory mediated endothelial injury also leads to endothelial dysfunction [10]. Using serial studies with Doppler flow-wire measurements, decrements in coronary endothelial function have been demonstrated to be associated with progressive intimal thickening and subsequent CAV development [11].

Even though the mechanisms of CAV and atherosclerotic coronary

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artery disease (CAD) were initially considered to be completely different, recent research has narrowed the difference between the two. While both atherosclerotic CAD and CAV are driven by adaptive immune responses to antigen, the antigens are different. The principal antigens driving atherosclerosis are altered (oxidized) low-density lipoproteins that are taken up by macrophages that become foam cells [12], whereas the principal antigens in the case of CAV are non-self MHC molecules, especially HLA-DR, expressed most abundantly on the luminal endothelial cells [13].

### Pathological manifestations and diagnosis of CAV

CAV manifests as diffuse intimal hyperplasia with progressive luminal narrowing. The expanded intima comprises smooth muscle cells (SMCs), microvessels and an infiltrate formed largely of host T cells and macrophages, the majority of T cells being memory cells that express interferon- $\gamma$  (IFN- $\gamma$ ) and transforming growth factor- $\beta$  (TGF- $\beta$ ) [14]. The SMCs are mostly graft-derived, but recipient-derived SMCs are also found as a result of seeding of graft vessels by recipient endothelial precursor cells that subsequently differentiate into SMCs [15]. Nodular aggregates of host B cells, T cells, and myeloid cells are found in the adventitia, but the media is unaffected.

The diffuse nature of CAV makes it harder to diagnose it by coronary angiography, particularly in earlier stages. On angiography, observed luminal narrowing is compared to a reference vessel diameter for detection of significant stenosis. However, there is vascular remodeling with compensatory enlargement of the coronary vessel in the presence of a plaque, early in CAV development. Only in the advanced stages of CAV does luminal narrowing occur, making angiographic detection possible. Intravascular ultrasound (IVUS) is able to detect the extent of intimal thickening by imaging the vessel wall structure (including the presence and nature of the plaque) instead of relying simply on the diameter of the lumen, making it a sensitive tool for the early identification and diagnosis of CAV [16]. In a multicenter IVUS study, progression of intimal thickening of 0.5 mm or more in the first year after cardiac transplantation was found to be a reliable surrogate marker for subsequent mortality, nonfatal major adverse cardiac events, and development of angiographic CAV through 5 years after transplant [17].

### Drugs for the prevention and treatment of CAV

#### Calcium channel blockers

One of the first reported drugs for prevention of CAV included calcium channel blockers (CCB) such as diltiazem. In one of the earliest studies, 106 consecutive heart transplant recipients were randomized to receive either diltiazem (n=52) or no CCB (n=54). On follow-up coronary angiography, the average change in the diameter of coronary artery segments at the end of two years differed significantly between the two treatment groups ( $P < 0.001$ ), even after adjustment for other relevant clinical variables. New angiographic evidence of CAV developed in 14 patients not given CCB, as compared with 5 diltiazem-treated patients. Significant coronary stenoses (>50% luminal diameter) developed in fewer patients given diltiazem; death due to CAV or re-transplantation occurred in five patients in the group that did not receive CCB and in none of those who received diltiazem [18]. At 5-year follow-up, a significant difference was noted in freedom from both death and angiographic CAV (56% in the diltiazem group versus 30% in the control group) [19]. However, a major limitation of this study was the use of angiography, for the reasons described above. In an intravascular ultrasound (IVUS) study of 32 patients by

Mehra et al. [20], treatment of cardiac transplant recipients with either angiotensin-converting enzyme inhibitors or CCBs was associated with a decrease in the degree of vascular intimal hyperplasia at 1 year after transplantation.

In vitro studies have tried to elucidate the mechanism of action of CCBs in reducing CAV. Diltiazem was shown to enhance the effect of IL-1 beta and reduce IL-6 production in mixed lymphocyte cultures [21]. Thus diltiazem modulates monokine production and may affect antigen expression, thereby decreasing immune-mediated intimal hyperplasia.

After the initial large studies in the 1990s, not much research has been done in the use of CCBs for prevention of CAV. Nevertheless, diltiazem is relatively well tolerated, and has additional anti-hypertensive properties; therefore it continues to be used widely.

#### Statins

Statins inhibit HMG-CoA reductase, the enzyme that catalyzes the conversion of 3-hydroxy-3-methylglutaryl-CoA to mevalonate, and thereby also reduce the downstream products of mevalonate in the cholesterol synthesis pathway. The downstream products, farnesyl pyrophosphate and geranyl pyrophosphate, are lipid moieties that can modulate the function of certain essential signaling proteins that influence smooth muscle cells and the generation of nitric oxide (NO) [22]. Statins reduce matrix metalloproteinase secretion and SMC migration and proliferation, and the effect on SMCs may be the major mechanism by which statins decrease the development of CAV [23,24]. Statins also block activation of T-cells and natural killer (NK) cells by repressing interferon-gamma induced MHC-II expression [25].

In a study of cardiac transplant recipients randomized to pravastatin (47 patients) versus no pravastatin (50 patients), at 12 months, the pravastatin group had significantly lower mean cholesterol levels than the control group, less frequent hemodynamically significant allograft rejections (3 vs. 14 patients,  $p = 0.005$ ), better survival (94% vs. 78%,  $p = 0.025$ ) and lower incidence of transplant vasculopathy on angiography or autopsy (3 vs. 10 patients). In a subgroup of patients, the cytotoxicity of natural killer cells was significantly lower in the pravastatin group compared to the control group [26]. In a serial intravascular ultrasound (IVUS) study performed in 93 transplant recipients, although conventional atherosclerosis risk factors did not affect the development of CAV, greater change in serum LDL cholesterol level during the first year after transplant was associated with more severe vasculopathy, thus indicating the benefits of treating all cardiac transplant patients with statins [27]. Subsequently, the benefit of pravastatin in reducing CAV was demonstrated even at 5 years (Figure 2) [28].

A prospective, randomized, unmasked study initiated in 1991 compared the efficacy of simvastatin, started on the fourth postoperative day (n=35), with that of dietary therapy alone (n=37). At 4 years, significantly reduced low-density lipoprotein (LDL) cholesterol, improved survival and reduced incidence of CAV were seen [29]. After 4 years, patients in both groups received statins as open-label prescriptions. After 8 years, the Kaplan-Meier survival rate was 88.6% in the simvastatin group versus 59.5% in the control group ( $P < 0.006$ ) [30].

Subsequently, in a 12-month observational study comparing pravastatin 40 mg with simvastatin 20 mg after heart transplantation, rhabdomyolysis or myositis occurred only in patients on simvastatin, with no episodes for patients on pravastatin, despite similar reductions

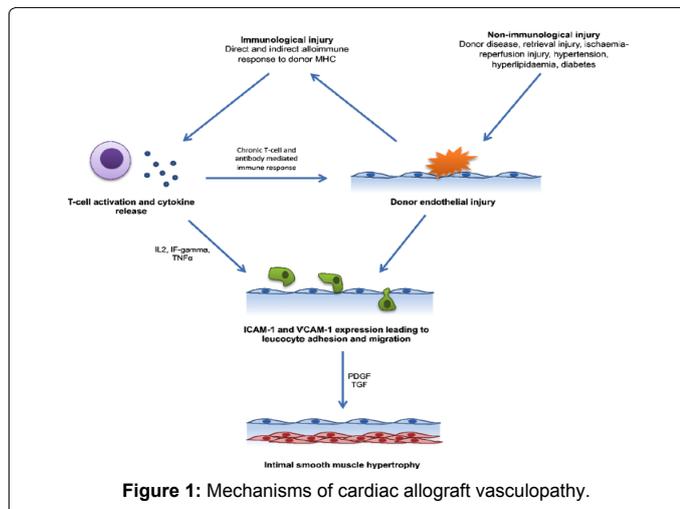


Figure 1: Mechanisms of cardiac allograft vasculopathy.

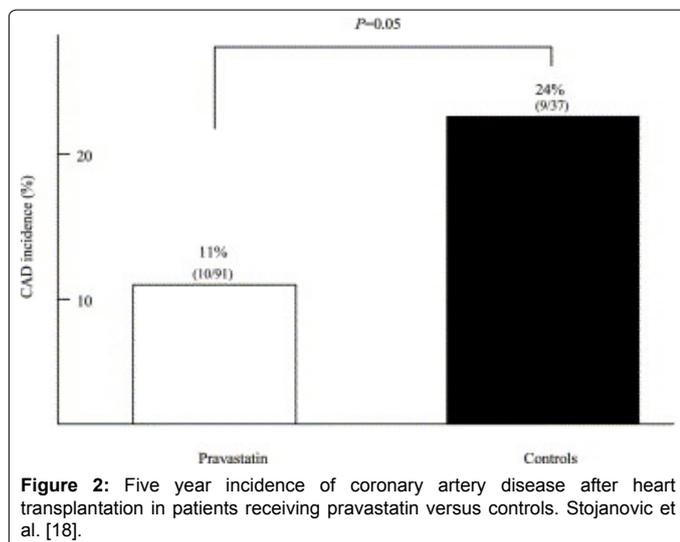


Figure 2: Five year incidence of coronary artery disease after heart transplantation in patients receiving pravastatin versus controls. Stojanovic et al. [18].

in survival and LDL-cholesterol between the two groups. There was a trend towards increased incidence of immunosuppression-related deaths in the simvastatin group. These effects may be due to differences in the pharmacokinetic profiles of the two drugs. Pravastatin is not metabolized by the cytochrome P450 3A4 isoenzyme, and is excreted largely unchanged, while simvastatin competes with cyclosporine and other drugs for metabolism by cytochrome CYP3A4 in the liver and small intestine[31].

Som et al. [32] conducted a systematic review of the role of statin therapy in graft vessel disease following cardiac transplantation and found consistent benefit in reducing CAV, whether the assessment was by angiography, IVUS or post-mortem. A survival benefit of statins was also noted, as was a decrease in the number of serious rejections. The post-transplantation timing of the introduction of statin therapy appeared important, and benefit was seen only in studies where statin therapy was initiated within 30 days of transplant. Furthermore, the rate of adverse events in published studies was low, with only one study showing a significantly higher incidence of myositis in statin-treated patients; while rhabdomyolysis and hepatic derangement were rare [32].

Among all the drugs investigated for the prevention of CAV, statins are the only group of drugs to be included as a class I recommendation

for all heart transplant recipients by the International Society of Heart and Lung Transplantation (ISHLT)[33].

### Treatment of cytomegalovirus (CMV) infection

The most common infection post-heart transplant, CMV, affects allograft endothelial function both directly (by affecting the nitric oxide pathway) and indirectly (by activating cytokines)[34]. In a study using IVUS, the 1-year change in maximal intimal thickening (MIT) assessed at 1 and 12 months after heart transplantation was compared in groups of patients routinely assigned to a preemptive strategy for treatment of CMV (i.e. anti-viral drug administration restricted to patients with laboratory indicators of CMV infection) or receiving valganciclovir prophylaxis (irrespective of CMV infection). The 1-year increase in MIT was significantly lower in patients receiving prophylaxis compared with those managed preemptively, even after adjustment for metabolic risk factors, thus suggesting a role for CMV prophylaxis in CAV prevention [35]. In another study conducted in cardiac transplant recipients that were CMV-antibody positive pre-transplant, a CMV-specific CD4 T-cell immune response in the first month after transplantation was associated with a reduction in CMV viral load, and was also associated with less transplant arteriopathy. Thus methods to enhance CMV-specific T-cell immunity may represent a therapeutic strategy for prevention of CAV [36]. Strategies to prevent CMV infection post-transplant are included in a class I recommendation for the prevention of CAV in ISHLT guidelines [33].

### Mycophenolatemofetil (MMF)

In the MMF multicenter trial of 650 heart transplant patients at 28 centers, patients received either MMF or azathioprine (AZA) in addition to cyclosporine and corticosteroids. In the IVUS sub-study, patients receiving AZA compared to those on MMF had significantly more patients with first year MIT  $\geq$  0.3 mm and a significantly lower mean luminal area, thus suggesting a greater protective effect of MMF on preventing CAV [37]. This beneficial effect of MMF may be due to its suppression of both T- and B-lymphocyte function and reduction of arterial smooth muscle cell migration and proliferation[38]. Patients treated with MMF developed lower anti-vimentin antibody titers due to its effect on B lymphocytes, and this correlated with a lower incidence of CAV by IVUS [39]. In addition, MMF decreases activation of T-lymphocytes and HLA-DR-expressing NK cells [40]. MMF may also decrease systemic inflammatory activity in heart transplant patients as indicated by reduced levels of high-sensitivity C-reactive protein [41].

As with other immunosuppressive agents, MMF has a significant adverse effect profile, including diarrhea, cytopenias (anemia and leukopenia) and increased risk of bacterial, pneumocystis and CMV infections [42]. MMF is used as part of the standard immunosuppressive regimen along with calcineurin inhibitors and steroids as an anti-proliferative agent [33].

### Proliferation Signal Inhibitors (PSI)

Proliferation signal or mammalian target of rapamycin (mTOR) inhibitors were first identified in 1970 when rapamycin was isolated from a strain of *Streptomyces hygroscopicus* in soil at Easter Island (Rapa Nui). It was found to have antifungal and immunosuppressive properties. Two PSIs are currently available commercially: sirolimus (SRL) (previously known as rapamycin) and its derivative everolimus [43]. PSIs form a complex with the intracellular binding protein FKBP-12 and inhibit the activity of mTOR, a serine/threonine kinase which functions within the cell as a transducer of information from growth factors and energy sensors [44]. This causes upregulation of the cyclin-

dependent kinase inhibitor p27<sup>kip1</sup>, leading to inhibition of cell cycle progression at the G1 to S phase. Everolimus also blocks interleukin-2 (IL-2) and IL-15 driven proliferation of hematopoietic stem cells and vascular smooth muscle cells by inhibiting the activation of p70 S6 kinase [45,46].

In a multi-center trial involving 634 patients, Eisen et al. [47], showed decreased progression of intimal thickness by IVUS in patients treated with everolimus when compared with azathioprine at 12 months, when given along with cyclosporine and steroids [47]. In a study by Mancini et al. [48] cardiac transplant patients were randomly assigned to SRL or MMF/ azathioprine at their annual cardiac catheterization and followed annually thereafter. As compared to the control group, the SRL group showed significant reduction in the primary end-point of death, need for angioplasty or bypass surgery, myocardial infarction, and a >25% worsening of the catheterization score [48]. In de novo cardiac transplant recipients, Keogh et al. [49] compared SRL with azathioprine in a randomized open-label study and demonstrated that SRL-treated patients had significantly reduced intimal thickness and increased coronary lumen diameters by IVUS at 6 months and 2 years after transplantation when compared to azathioprine-treated patients [49]. Finally, in a recent multicenter randomized trial comparing MMF to everolimus after heart transplantation, the incidence of CAV (defined as an increase in MIT from baseline to month 12 of > 0.5 mm) was 12.5% with everolimus versus 26.7% with MMF, and the difference remained significant irrespective of sex, age, diabetic status, donor disease, and across lipid categories [50].

In an observational study of 29 cardiac transplant recipients who were switched from calcineurin inhibitors (CNI) to SRL for renal dysfunction compared to 40 patients who were continued on CNI, an increase in the mean plaque volume and plaque index was seen with three-dimensional IVUS in patients receiving CNI after a year, but not in those who were switched to SRL [51]. This appears to be an attractive strategy, especially because CNIs stimulate fibrogenic growth factor and cause endothelial dysfunction [52]. However, concern exists regarding increase in acute rejection with CNI discontinuation [53]. Thus this strategy may only be applicable to patients who are further out from their transplant with no significant rejections, and have significant vasculopathy.

In addition to the effect of SRL on coronary anatomy, favorable effects on coronary physiology have also been demonstrated. In a small study of 27 patients, SRL therapy was associated with improved coronary artery physiology at the level of both the epicardial artery and the microvasculature, early after cardiac transplantation. There was a significant improvement in coronary flow reserve (CFR) and index of microcirculatory resistance (IMR) in the SRL group at 1 year after transplantation, but no change in the MMF group; while fractional flow reserve (FFR) declined in the MMF group but remained unchanged in the SRL group. The changes in epicardial coronary physiology may result from SRL's effect on plaque progression, while the improved microvascular function may be due to its effects on vascular remodeling and reactivity [54].

Interestingly, data analyzed from >1000 patients in 3 trials of de novo cardiac transplant recipients revealed that everolimus was associated with a lower incidence of CMV infection compared with azathioprine and MMF [55]. This may be an indirect mechanism of reduction of CAV by everolimus.

In an IVUS based study of early (from 3-6 weeks up to 1 year post-transplant) and late (from 1 to 5 years post-transplant) CAV, both everolimus and statins were associated with lower risk of developing

markers of early CAV (increase in maximal intimal thickness). While statins were protective against late CAV development, everolimus lost its protective effect on CAV 1 to 5 years after transplant, suggesting that immune-mediated injury plays a greater role in development of CAV early after transplant, while metabolic factors predominate later [56]. This was further explored in other studies. Arora et al. [57] compared the morphologic progression of CAV using virtual histology (VH) in patients receiving maintenance immunosuppression with everolimus versus calcineurin inhibitor (CNI). VH analysis revealed a significant increase in calcified and necrotic component among everolimus patients compared to controls. This increase was most prominent in patients who were >5 years post-heart transplant and was accompanied by a significant increase in levels of von Willebrand factor and vascular cell adhesion molecule [57]. In a similar study, compared with continued CNI therapy, SRL attenuated plaque progression in recipients with early conversion from CNI to SRL (<2 years post-transplant), but contributed to increases in necrotic core and dense calcium volume in those with late conversion (>6 years post-transplant) [58]. These studies suggest that the maximum benefit of PSIs lies in prevention rather than treatment of CAV.

Unfortunately, PSIs are associated with significant side-effects which may necessitate their discontinuation in many patients. In a large cohort of maintenance heart transplant recipients taking a PSI, 16% withdrew treatment in the first year, and 25% had stopped PSI due to severe adverse events by the fourth year [59]. These adverse effects include but are not limited to [60], peripheral lymphedema [61], debilitating aphthous ulceration [62], wound dehiscence and impaired wound healing [63], hyperlipidemia [64], pneumonitis and anemia [66].

ISHLT guidelines give a class II a recommendation to substituting MMF or azathioprine with a PSI in patients with established CAV [33].

## Future Trends

While several pharmacologic strategies are available for prevention of CAV, treatment strategies are limited. Focal coronary lesions can be treated percutaneously with stenting, but the ultimate treatment for diffuse CAV is re-transplantation which is neither the most feasible nor the safest option for most patients. Thus there is a need to expand the drug armamentarium for prevention and treatment of CAV. Some of the pharmacologic strategies explored in animal models are discussed below.

Memory T ( $T_{mem}$ ) cells are activated T cells that persist after the initial T cell response and provide continual immune protection to the host. Most infiltrating T cells in coronary arteries from patients with CAV express the phenotype of  $T_{mem}$  cells, suggesting that these cells may play an important role in the development of CAV [67]. OX40 (CD134) is a member of the tumor necrosis factor receptor (TNFR) superfamily. The OX40-OX40L signaling pathway has been found to play a key role in the survival and homeostasis of  $T_{mem}$  cells [68]. Wang et al. [69] demonstrated that CD40L deficient  $T_{mem}$  cells induce CAV in cardiac allografts, and blockage of the OX40 signaling pathway using anti-OX40L mAb reduces  $T_{mem}$  cell development and prevents CAV in a mouse cardiac transplantation model. Thus, the OX40 pathway may have a potential for prevention of CAV in cardiac transplant recipients [69].

In animal studies, cholesterol-rich nanoemulsions (LDE) resembling LDL combined with paclitaxel (LDE-paclitaxel) injected intravenously were demonstrated to reduce intimal width and reduce

destruction of the media [70]. This may be a promising strategy for further exploration in clinical studies.

The oxidative stress associated with ischemia-reperfusion of cardiac allografts leads to cytokine production and expression of pro-inflammatory adhesion molecules. This is one of the most important alloantigen-independent factors associated with CAV and various strategies to ameliorate this oxidative stress have been studied. Antioxidants such as riboflavin [71] and superoxide dismutase-mimetics [72] have been found to decrease oxidative stress and reduce the incidence of CAV in murine models of cardiac transplantation. Peroxisome proliferator-activated receptors  $\gamma$  (PPAR- $\gamma$ ) receptor agonists such as pioglitazone also reduce oxidative stress and have been shown to reduce CAV [73].

Despite the evidence from animal studies, none of these pharmacologic strategies has made it to clinical trials. Hopefully some of these strategies will eventually be added to the clinical armamentarium for tackling CAV.

## Conclusion

CAV remains a vexing problem in cardiac transplantation, with prevention being better than treatment. Drug therapy for CAV has modest efficacy and is limited by toxicity. Further research is needed in this area to tackle CAV and prolong graft survival.

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