Effect of the Little Ice Age on Climate and Vegetation Recorded by n-Alkanes and Glycerol Dialkyl Glycerol Tetraether Proxies

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Abstract

Organic matter preserved in sediments originates from aquatic and terrestrial sources in a basin. In the absence of anthropogenic influence, variation in its composition may be related to changes in climate and vegetation composition. Here, a 400 yr sedimentary record from the Barigui river was investigated using n-alkane distributions and glycerol dialkyl glycerol tetraether (GDGT) indices to assess any environmental changes which occurred in the transition from the Little Ice Age to modern times. Three stages were observed: (i) between 1600 and 1730 AD, the climate was warmer and was less humid, and herbaceous plants overlapped with woody plants; (ii) between 1730 and 1800, the temperature gradually decreased and the level of precipitation remained low, hence, woody plants predominated; (iii) the last stage was from 1800 to the present day, when the temperature and precipitation increased, resulting in optimum conditions for the blooming of phytoplankton and aquatic plants.

Keywords: Climatic changes; Little ice age; n-Alkane distributions; GDGT proxies

Introduction

The period which was subjected to much colder winters followed by warmer periods is known as the little ice age (LIA). It documented as being between about 1300 and 1870 (Mann, 2002). However, climate change could vary geographically [1]. The period is divided into two phases; the first was around 1300 until the late 1400s and the second warmer period was in in the 1500s [2]. The period between 1600 and 1850 marks its height and was characterized by changes in the hydrological cycle and perturbation of the ecosystem [2-4].

Even although there much evidence for the LIA, the causes and mechanism are still under debate. The only certainty is that it was a global event. Most studies have focused on the northern hemisphere [3-5], and there are few studies demonstrating the occurrence of the event in the southern hemisphere. For instance, in South America [6,7], advance in glaciers in the Andes was demonstrated [8], as well as aridity in the lowlands of Argentina [9] and Venezuela [8], and there is evidence from mountain glaciers suggesting increasing glaciation in Patagonia [10]. In Brazil, some studies have demonstrated change in vegetation composition during the LIA and sea level regression in northeastern and southeastern Brazil [11-13].

At present, paleoclimate can be reconstructed due the widespread occurrence of biomarkers multi-proxy data derived from them. The spatial and temporal character of such putative climate epochs revived the discussion and understanding of and mechanism for the LIA, as well as of the implications for climate change in the future.

Typically, n-alkanes are derived from different sources (algae, photosynthetic bacteria and higher plants [14]). Different distribution patterns of n-alkanes in sediments provides information about different climatic environments and hence is widely used to understand the evolution of vegetation and reconstruct environmental changes [14,15]. The magnitude of the anthropogenic impact on the climate and the environment was recently measured from biomarker distributions [4,14]. Yet, some biomarkers can be more specific in their information than n-alkanes. For example, increasing attention has been driven to glycerol dialkyl glycerol tetaether (GDGT) compounds, polar basic constituents of the cell membrane and comprising a polar head group bonded to a non-polar hydrocarbon [16]. The structures of GDGTs are temperature dependent. When temperature increases, cyclopentane moieties are formed within the isoprenoid chain [17]. With more cyclopentane rings, the membrane is kept in a liquid crystalline state and, as a consequence, proton permeation is reduced.

According to Weijers et al. [18] there are some environmental controls on the distributions of GDGTs, so two indices were proposed for quantifying the degree of methylation (MBT) and cyclization (CBT), both being effective in palaeoenvironmental studies to reconstruct mean annual air temperature (MAAT). Since branched GDGTs are transported into water bodies, they become part of the sedimentary archive. Their distribution, expressed in the methylation index of branched tetraethers and cyclisation ratio of branched tetraethers (MBT-CBT), can be used to explain and assess past soil pH and temperature change on land, and in geological or recent times, including the last glacial- interglacial transition [18-20].

In this work, n-alkanes and GDGTs have been used to reconstruct and assess the LIA period in South Brazil. Information about changes in the composition of vegetation was obtained from n-alkane distributions And GDGTs provided information about mean air temperature over time.

Study area and sampling

The watershed of the Barigüi River lies on the First Parana Plateau, in the metropolitan region of Curitiba, South Brazil, between 25°13′24″ and 25°38′23″ south and 49°15′00″ and 49°22′29″ west, facing a general north-south direction toward the cities of Almirante...
Tamandare, Curitiba, and Araucaria (Figure 1). The river is 67 km long, draining a watershed of 279 km², and with 120 km² of drainage located in the municipality of Almirante Tamandare, 144 km² in the municipality of Curitiba and 15 km² in the municipality of Araucaria. The pattern of drainage is predominantly dendritic, Froehner, et al.

Areas with dense terrestrial vegetation appear mainly to the north of the watershed, while aquatic macrophytes are present throughout the river, and limnological conditions vary along its length. The forest vegetation consists basically of caducifolia, subtropical trees of *Araucaria angustifolia*, Bracatinga (*Mimosa scabra*), and tropical and subtropical rainforest [21]. Species of Myrtaceae, Lauraceae, Aquifoliaceae and Flacourtiaceae are also found. Herbaceous pteridophytes are predominant and consist of Poaceae, Cyperaceae and Dryopteridaceae, in addition to scrubs of the Solanaceae and Asteraceae [22]. Regardless of aquatic plants, three families of macrophytes are generally found in southern Brazil: Haloragaceae (*Myriophyllum aquaticum*), Onagraceae (*Nymphoides indica*) and Pontederiaceae (*Eichhornia azurea*) [23].

In the northern watershed, where a small village was settled, the predominant land use is still rural and there are only scattered urban areas. In the middle of the watershed, which contains part of the capital of the state (city of Curitiba), the occupation is preponderantly urban, with predominantly residential use, trade and services [24].

The weather in the area is subtropical humid and mesothermal, with warm summers, severe frosts in the winter and no dry season. The annual precipitation is quite well distributed and the mean air temperature in the last decade was 17.8°C [25].

The core (see below) was collected at 25°23'55.81” latitude and 37°35'33.84” longitude, in a municipal park (Tingui Park). The study
area was selected due to previous monitoring campaigns, which define the area as reliable for collection and encompass the most significant data [21,24]. The Barigui River watershed corresponds to 35% of the city of Curitiba (total area). The selected site has been strongly influenced by urbanization, because the river flows from north to south through the city and hence has considerable potential to represent environmental shifts over time.

In 2011, a 100 cm sediment core (PT) was drilled using a small gravity corer with a 6 cm internal diameter (i.d.) barrel. It was extruded and sectioned at 2 cm intervals. The sections were stored at 4°C in an ice box. In the laboratory sub-samples were frozen at -20°C and later lyophilized prior to analysis [25].

**Material and Methods**

**Chronology**

$^{210}$Pb and fallout $^{137}$Cs dating were performed on bulk sediment, which had been ground to <63 µm, using an EG and G ORTEC low background gamma spectrometer (hyper-pure Ge, model GMX25190P) following the method described by Figueira et al. [26]. Precision and accuracy were evaluated using three certified reference materials, IAEA-326 (soil), IAEA-327 (soil) and IAEA-385 (marine sediment), to determine the radionuclides of interest. The activity concentration obtained for the certified radionuclides were close to the sediment), to determine the radionuclides of interest. 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The purpose of obtaining indices like MBT and CBT was the determination of past temperature, in other words, calculate the MAAT profile since 400 years ago to the present (Figure 4b). Until 1730, MAAT remained around 14°C and then decreased. A minimum could be observed in 1800 (10.2°C), followed by a sudden rise to 13.4°C in 1850. After then, an increase to 17.3°C in modern times was observed. Two unusual drops in temperature, in 1890 and 1970, were apparent.

According to Peterse, et al. [19], MBT is controlled by temperature and soil pH, whereas CBT is related only to the pH. Moreover, Peterse et al. [20] observed that the MBT-CBT ratio should only be used at sites with a catchment area where sufficient soil formation takes place and the soil thereby contains substantial amounts of branched GDGTs. To estimate the input of allochthonous terrigenous isoprenoid GDGTs, Weijers et al. [34] suggested using the BIT index. It is based on the relative abundance of crenarchaeol plus three main branched GDGTs produced by anaerobic soil bacteria. Values of the index range from 0 to 1. Values close to unity indicate soil organic matter input, whereas low values are associated with different organic material input, except for soil source. Here, the BIT index values were >0.96, accounting for a substantial soil input.

Although, the data are reliable for palaeoenvironmental studies, or MAAT reconstruction, it is necessary to consider the analytical error for both CBT and MBT indices, reflected in MAAT values. To minimize errors, a local validation is recommended [18]. Recent MAAT values here were compared with in situ measurements in 2002 to 2011 [25] and the difference ranged from 1.5 to 1.8°C higher than the MAAT reconstructed in here. A standard error of up to ±5°C was reported between reconstructed and measured MAAT [17,18].

Discussion

n-Alkane distribution

The distribution was dominated by mid-long chains (>C27; Figure 3), with C31 and C37 dominant. In general, aquatic sources, such as algae and photosynthetic bacteria are dominated by C15, C17, and C19 [35]. Aquatic macrophytes commonly maximize at C27, C29, and C31 [36], whereas vascular plants contain high proportions of C25, C29, and C31 in their epicuticular wax [35]. The profile provided a clear guide to a terrestrial contribution of organic matter. Algae and photosynthetic bacteria have had a minimal contribution along the core.

Although non-emergent macrophytes (submerged and floating leaves) display enhanced abundances of mid chain n-alkanes (C21, C23, and C25), emergent macrophytes may have a distribution similar to those of terrestrial plants, typically dominated by long chains (>C27; [37]). Thus, Ficken et al. [36] formulated a proxy ratio (Paq) to discriminate the relative contribution of non-emergent/emergent macrophytes and terrestrial vegetation in the mid-chain distribution, using the relative proportion of mid chain to long chain homologues, as shown in Eq. 5:

$$P_{aq} = \frac{C_{23} + C_{25}}{C_{23} + C_{25} + C_{29} + C_{31}}$$

(5)

Where $P_{aq}$<0.1 corresponds to terrestrial plants, 0.1-0.4 to emergent macrophytes and 0.4-1 to submerged/ floating macrophytes. Here the values ranged from 0.15 to 0.43 (avg. 0.23), indicating a mixed input, whereby emergent macrophytes were the predominant source of middle chains, with less contribution from submerged/floating macrophytes.
have demonstrated that the concentration of long chain \( n \)-alkanes \((C_{27}, C_{29}\) and \( C_{31}\) \)) coincides well with pollen information in the same sequence [42,43,15]. Thus, long chain \( n \)-alkanes could reflect temperature and precipitation change, assuming that the vegetation cover is sensitive to climatic change and plays an active role in the climate system via feedback mechanisms [15]. In this study, \( C_{27}, C_{29}\) and \( C_{31}\) were the predominant \( n \)-alkanes.

Woody plants are much more resistant to low temperature and humid conditions and are sources for \( C_{27}\) and \( C_{29}\), while herbaceous plants are the main source of \( C_{31}\) and are more sensitive to cold weather and arid weather. Thus, changes in the composition of terrigenous plants can be associated with changes in climate and hydrology conditions. According to Zhang et al. [44], Lin et al. [14] and Sun et al. [15] the ratio \( C_{27}/(C_{27}+C_{29})\) reveals the proportion of woody and herbaceous plants, and correlates with temperature and precipitation. The ratio shows that the values increase until 1730 and then gradually decrease (Figure 4c). After 1840, oscillations in the ratio can be attributed to human intervention, such as deforestation [27,28].

The biomass of aquatic plants (emergent and submerged/floating) is influenced by temperature, sunlight intensity, nutrient supply and dissolved inorganic carbon (DIC). In the Barigui River, due to the high biomass of aquatic macrophytes, their main source of nutrients is the sediment surface [23]. Thus, the nutrient supply in surface sediments is a limiting factor and a significant amount of nutrients is necessary for their growth. The main type of DIC used by aquatic macrophytes is \( HCO_3^-\). Due to small amount of DIC necessary for macrophyte photosynthesis [45], the water of Barigui River is sufficient to supply aquatic plant development and DIC is not a limiting factor [46]. With regard to sunlight, although its intensity under water is important for aquatic plants, the river is shallow. Nevertheless, their germination, flowering and dormancy are restricted by temperature. Thus, nutrient supply and temperature are obvious limiting factors for aquatic macrophytes in the river. Higher nutrient supply, on the other hand, is often associated with higher precipitation via run-off into the river [4,14,23,28]. Thereby, the sum of mid chain \( n \)-alkanes \((C_{21}+C_{23}+C_{25})\) from aquatic plants could be associated with temperature and precipitation. In this study, the values were constant, until early 1800, when a sharp rise occurred in 1820, followed by a decrease until 1850. From a palaeoenvironmental viewpoint, this can be interpreted as an increase in temperature/precipitation in this period (Figure 4d). Significant oscillations were observed after 1850 until the present.

The sum of short chain \( n \)-alkanes \((C_{15}+C_{17}+C_{19})\), which represent primary productivity, followed the same pattern as mid chain \( n \)-alkanes (Figure 4e), since algal and some photosynthetic bacterial biomass are also influenced by nutrients and temperature [14].

**MAAT and vegetation cover variation**

The results could separated into three stages (Figure 4). The stages are characterized by environmental changes since 1600 expressed in the \( n \)-alkane distributions and MAAT reconstruction (Figure 4). The stages seem to be a consequence of the LIA, in which the Earth became, on average, slightly colder [2]. The existence of three episodes of the LIA is generally accepted: at around 1600, 1770 and 1850, each separated by slight warming intervals [12], although the worldwide effects of might differ in time and magnitude.

Thus, in the first stage, between 1600 and 1730, it seems that vegetation resurfaced from adverse climatic conditions before 1600 (first minimum of the LIA). The MAAT around 14°C indicates a slight warming, reflected in the type of vegetation. Herbaceous plants steadily

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**Table 3:** N-Alkane distribution in the core sediment. (□) short chain \( n \)-alkanes, (■) middle chain \( n \)-alkanes, (▲) long chain \( n \)-alkanes.

The carbon preference index (CPI): Eq. 6, was used to better understand and reconstruct the sources of carbon content in the core.

\[
\text{CPI} = \frac{2(C_{21}+C_{23}+C_{25})}{C_{20}+2(C_{22}+C_{24}+C_{26})+C_{28}} \tag{6}
\]

The CPI index varied from 3.4 to 7.4. Higher plants retain water in their leaves by biosynthesis of epicuticular wax with long chain \( n \)-alkanes, while algae synthesize short \( n \)-alkanes, so CPI values > 5 are attributed to a terrigenous source, while those close to unity can be associated with petroleum contamination [38].

**Environmental significance of \( n \)-alkane variation**

The Barigui River watershed is on the first plateau of the Parana state such that the declivity decreases from north to south. Significant anthropogenic influence in the area, such as deforestation and fecal pollution, started after 1840 with a rise in occupation [27,28]. Thus, before 1840 temperature and precipitation were the main factors controlling the development of terrigenous vegetation [39].

Palaeoenvironmental variation indicated by changes in vegetation are generally reported from pollen assemblages [40,41]. Some studies...
increased and predominated until 1730, superimposed on woody plants, according to the \( \frac{C_{29}}{C_{27} + C_{29}} \) ratio (Figure 4c). Such behavior was also observed by Behling et al. in an area with similar characteristics to our study area (south Brazil). In the period between 1520 and 1770, *Weinmannia* ssp., an endemis grass plant, became a common species in the Araucaria forest, suggesting a shift to warmer climate conditions on the highlands, consistent with the change observed here. Despite the variation in long chains, mid and short chains the \( n \)-alkane distribution (Figure 4d and 4e) reveals that aquatic plants and phytoplankton development were restricted by temperature and likely by a scarce supply of nutrients due to less humid climatic characteristics consistent with the LIA period.

The second stage is defined between 1730 and 1800. It encompasses a steady decline toward the second lowest temperature registered by the LIA (ca. 1770) reported by Pereira et al. [12]. Within 150 yr (1650 to 1800) the mean temperature dropped by 4.2°C (Figure 4b). This temperature defines the time of minimum temperature in the LIA registered in 1800 (Figure 4b). According to Mann et al. [1], the minimum in the LIA in the Pacific and Atlantic oceans occurred around 1800, coinciding with our data. The pattern of temperature decline is also reflected in the \( \frac{C_{29}}{C_{27} + C_{29}} \) ratio (Figure 4c), which also declines, indicating low temperature and less humid climatic characteristics in this stage. In anomalous climatic conditions, woody plants tend to be more resistant than herbaceous plants. Results from Machado et al. reported, for the same core, a slight increase in the sterols derived from higher plants in the sediments can be related to the deposition of dead and biodegraded biomass, probably because of decline in the temperature and less humid conditions observed in the second stage. Pereira et al. [12], also observed similar shifts in vegetation composition in southeast Brazil in the same periods the first and second stages of this study. Initially, a reduction of the forests and an increase of the input to the river, which is associated with the warming, results in a rise to 1850 reflecting terrestrial and submerged aquatic plant blooming (Figure 4d and 4e), due to warming and greater precipitation from 1800, also reported for Rio de Janeiro and Amazonia. An increase in precipitation affects runoff and consequently leads to higher nutrient input to the river, which is associated with the warming, results in a rise in primary productivity (phytoplankton and aquatic macrophytes). In this period the temperature increased roughly until the present (Figure 4b), but presented two significant decreases. The first was in 1890, also reported by Pereira et al. for southern Brazil and Mann et al. for the Atlantic and Pacific oceans. The second, around 1970, led to one of the coldest winters in the history of the region, including a snow event [48], which is rare due to the warm-temperate regional climate.

It is impossible to associate the \( n \)-alkane distribution of terrestrial and aquatic vegetation as a response to climatic changes in the PT core area after 1850, due to an anthropogenic influence in the study area from 1840, such as deforestation and bush fires [27,28] Although evidence of change in vegetation composition based on \( n \)-alkane distributions is limited by anthropogenic influence, warming observed here is in accord with local measurements from 1970.

### Consideration of the LIA in South America

Most of the conventional records for the LIA were obtained from the northern Hemisphere, especially from Europe and North America [3-5]. The findings in this study corroborate several studies in Brazil [11-13,47] and some parts of South America [7,49], portraying the cooling and decrease in precipitation during the LIA, which showed a climatically anomalous period between 1550 and 1850. However, these findings contrast with an increase in precipitation in South America as reported by Peng and Miller [50] and Haug et al. [51]. The cause of the LIA remains uncertain and the cooling constitutes a meaningful climate event with significant historical consequences, which varied with time and space. Today, the intertropical convergence zone (ITCZ) migration and southern oscillation (SO) [52] significantly influence the climate in South America. During the LIA climate shifts in the northern Hemisphere continents led to changes in climate in the whole world, including the ITCZ which due winds strengthening, shifted southward and low latitude continental areas became more arid [6]. In such conditions, other current of air masses were affected, diversifying the climate changes on a global level [53,6]. Thus, although studies of the effects of these climate shifts in South America remain limited, they seem to be linked to regional atmospheric factors, which may have contributed to anti-correlated findings in South America [54].

Despite questions about diversity in its effect and magnitude, the LIA period raises questions about the current increase in global average temperature. Currently, there is in debate the possibility of the Earth’s climate change being a recovery from the LIA and that human activity is not the decisive factor in present temperature trends, although this idea is not widely accepted.

![Figure 4: Temporal variability of ∑ \( n \)-alkanes (µg.g\(^{-1}\)) (a), MAAT (°C) (b), \( n \)-alkane proxies from long chains (c), middle chain (d) and short chains (e) in PT core.](image-url)
Conclusions

The evolution of vegetation and palaeoenvironmental changes in the south of Brazil since 1600 were revealed from n-alkane distributions and GDGT indices. Several studies reported in the literature and measurements in situ support these changes. Three distinct stages were observed in terms of changes in climate and vegetation, which correspond to the LIA period. The first (1600-1730) revealed slight warming (ca. 14°C) and less humid conditions i.e. there seems to have been a recovery in the minimum temperatures before 1600. In this period, herbaceous plants overplanted woody plants, becoming predominant. Then, in the second stage (1730-1800) the temperature decreased gradually towards 10.2°C in 1800. Concomitantly, woody plants dominated herbaceous plants due to a decline in temperature and drier conditions. In the third stage, (1800-present) the end of the LIA was evident by way of warming and higher precipitation levels reflected in blooming of phytoplankton and submerged aquatic plants.

Although some LIA palaeoenvironmental information has been reported for the south of Brazil, studies are scarce and this study provides new information about climate and vegetation evolution during the LIA and the transition to the modern times.

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