Genetic Bleeding Risk Score (GBRS) for Patients on Oral Anticoagulant Therapy

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Abstract

Aims: The present study focussed on deriving and validating a ‘genetic bleeding risk score’ (GBRS) based on genetic and non-genetic factors associated with bleeding in patients on long term anticoagulation therapy.

Patients and Methods: Patients on warfarin (n=53) or acenocoumarol (n=257) long-term therapy were genotyped for twenty one SNPs in six genes. Two GBRSs were developed and validated.

Results: The incidence rate was 16.86 and 4.46 per 100 person-years for minor and major bleeding respectively. The novel GBRS (positive predictive value = 83.3%, specificity = 97.4%) comprised of four parameters; age >65 years, F5 rs6025, VKORC1 rs9934438 and CYP2C9 rs1057911.

Conclusions: The present study is the first to devise and validate a genetic based scoring system for predicting bleeding among first time users of oral anticoagulants.

Keywords: Bleeding; Oral anticoagulants; Hemorrhage; Adverse drug reactions; Genetic bleeding risk; CYP2C9; VKORC1; Risk score; Acenocoumarol; Warfarin

Introduction

Natural dicoumarol was first identified in year 1940 in mouldy hay as a cause of serious hemorrhagic diathesis in cattle [1]. Further research led to the development of warfarin, a coumarin derivative that was initially promoted as a rat poison and first showed success in prophylaxis of deep vein thrombosis in year 1941 [2]. It is now the most widely used anticoagulant in the treatment and prevention of thrombosis. Despite its common usage, oral anticoagulant (OAC) therapy is associated with significant bleeding complications. Several hospital based studies in India [3,4] and the world [5,6] have ranked anticoagulant-induced bleeding as the most common cause amongst 5% - 6.9% of hospital admissions that occur due to adverse drug reactions.

Both, genetic (CYP2C9 and VKORC1 variants) [7-12] and non genetic factors (drug-drug interactions, additional medical conditions, age, history of bleeding) [13,14] are known to contribute towards bleeding or hemorrhage in patients on oral anticoagulant therapy. Several pharmacogenetic dosing algorithms for warfarin [15-18] and acenocoumarol [19-21] have been developed so far. A systematic review and meta analysis [22] aimed to investigate the efficacy of genotype-guided dosing of warfarin in reducing bleeding events and over-anticoagulation included three randomised clinical trials [23-25] that compared pharmacogenetic dosing with a standard dose control algorithm in patients starting warfarin for the first time. None of the above studies showed a statistically significant difference in bleeding rates between the two groups. This is possibly because these studies attempted to predict bleeding using a pharmacogenetic ‘dosing’ algorithm originally derived by analyzing dosage in patients, rather than a true bleeding prediction algorithm that, on the other hand should ideally be derived by analyzing bleeding outcomes in patients. Hence, it would be unreasonable to discount the role of genetic variants in predicting the risk of bleeding.

Although, a few bleeding risk prediction scores are available [13,14,26-28], most are derived from the white population and more importantly, none have evaluated the predictive significance of genetic risk factors so far. The HEMORRHAGES score formulated by previously recognized bleeding risk factors from the literature includes CYP2C9 variants as one of the variables in their score index; however its predictive usefulness in the cohort was neither evaluated nor validated due to non availability of DNA [28]. The present study focussed on deriving and validating a ‘Genetic bleeding risk’ (GBR) score based on genetic and non-genetic factors associated with bleeding (both minor and major) in patients on long term anticoagulation therapy. Apart from variants in CYP2C9 and VKORC1 genes, variants in APOE, ABCB1 (MDR1), CYP4F2, F5 and F2 were also analysed in the current study.

Coumarin derivatives interfere with the recycling of vitamin K in the liver. Vitamin K is involved in the carboxylation of the precursor proteins for the coagulation factors II, VII, IX and X. In the presence of coumarin derivative, the activity of these components is lowered thereby inhibiting coagulation. The transport of vitamin K to the liver is dependent on apolipoprotein E (APOE). The prevalence of

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APOE isoforms (ε2, ε3, ε4; distinguished by two non synonymous polymorphisms; rs7212 and rs2292358) varies by race, and each isoform has varying ability to facilitate clearance of vitamin-K-rich lipoproteins from plasma [29]. The ε4 allele has been associated with higher warfarin dose among African Americans and Italians, but not Caucasians [30,31]. However, none of the studies have analysed the association of APOE isoforms with anticoagulant-induced bleeding.

The intestinal bioavailability of oral anticoagulant drugs and their transport in cellular systems is dependent on the efflux pump P-glycoprotein, encoded by the adenosine triphosphate-binding cassette (ABCB1) gene (multidrug resistance gene, MDR1) [32,33]. The synonymous 3435T variant (closely linked to 2677T>G and 1236T>C) has been frequently observed among patients requiring low dose of warfarin [34]. Another study [35] reported that ABCB1 2677GG/3435CC haplotype was associated with lower dose, while the 2677TT/3435TT and 2677GT/3435TT haplotypes were associated with higher dose of acenocoumarol. These reports suggest that an assessment of these variants could be useful for predicting P-gp-dependent adverse drug reactions with oral anticoagulants.

Genome wide association studies have recognized CYP4F2 rs2108622 (Val433Met) as a significant contributor of inter individual variation in coumarin dose requirement, however its effect size is smaller than that of CYP2C9 and VKORC1 variants [36,37]. Protein CYP4F2 catalyzes many reactions involved in drug metabolism. It is hypothesized that CYP4F2 might interfere in the vitamin K recycling or could be involved in the metabolism of acenocoumarol as the rs210862 polymorphism is associated with varied levels of FII, FVII, FIX, and FX after acenocoumarol therapy [37]. There are no studies until now that have analyzed the rs210862 variant in correlation with anticoagulant-induced bleeding.

The most common inherited predispositions to thrombophilia are Factor V Leiden (FVL, coagulation factor V) and the prothrombin (coagulation factor II) rs1799963 mutation which result in activated protein C resistance or elevated concentrations of prothrombin (the immediate precursor of thrombin) respectively [38]. Factor V Leiden mutation (rs6025) was observed to play a prohemorrhagic role among patients on anticoagulant therapy in a study by Castori et al. [39]. There is a dearth of further studies analysing the association of FVL in bleeding with oral anticoagulants.

Patients and Methods

Setting and outcomes

The study was conducted at the Sir Ganga Ram Hospital, a tertiary health care centre in New Delhi, India. The research protocol was approved by the Ethics Board Committee of Sir Ganga Ram Hospital and is in accordance with the ethical standards of Declaration of Helsinki (World Medical Association). Patients were enrolled from the outpatient clinic of Department of vascular surgery and inpatients from the Department of Cardiac surgery. All participants gave written informed consent. Primary outcome of the present study was drug-induced bleeding. The ‘case’ definition in the present study was the patient who develops bleeding during oral anticoagulation therapy and with oral anticoagulants along with other drugs (drug-drug interaction). Choice of the anticoagulant for the patients and management of anticoagulation therapy was carried out by the respective clinicians. All patients were started on a standard dosing scheme of acenocoumarol (ACITROM, 2 mg per day from days 1 to 3) or warfarin (WARF, 5 mg per day from days 1 to 4) with a target INR range of 2-3. Doses were adjusted on the basis of the INR of the patient thereafter. Prothrombin times and International normalized ratios (INRs) were evaluated once every 1 to 4 weeks depending on the stability of the INR and anticoagulation level. All study participants were followed up for approximately 15 months from the time of their first initiation dose until the end of study period or withdrawal of oral anticoagulation therapy.

Cohort

Patients ≥ 18 years of age, initiated (first time) on oral anticoagulant therapy and anticipated long term (>2 years) treatment duration were eligible. Since the aim of the study was to recognize novel genetic and non-genetic bleeding predictors, patients with known obvious risk factors such as abnormal kidney function (e.g., chronic dialysis, renal transplantation), abnormal liver function (eg, liver cirrhosis), history of bleeding and malignancy were excluded. Of 1483 patients visiting the clinic during the study period, only 310 (20.9%) patients fit the eligibility criteria and were enrolled in the study. The rest of the patients either lacked a clinical indication for long-term oral anticoagulation therapy (437, 29.5%), or were previously on anticoagulation therapy (680, 45.9%), or were less than 18 years of age (4, 0.27%), or had comorbid conditions such as cancer, renal disease or history of bleeding (52, 3.5%). Of those on acenocoumarol therapy (n=257), 20% of patients (n=51) were randomly selected to form the validation cohort (CohortVal), while the rest served as the derivation cohort for acenocoumarol (CohortAC; n=206) and warfarin (CohortWF; n=53). Warfarin was less commonly used; hence validation cohort for the same was not available. Four ml of peripheral blood was collected from the participating patients during their first clinic visit. For the period of follow-up the patients were regularly assessed for their INR values, change in dosage, concomitant use of drugs and bleeding complications. Since it is known that variable dietary intake of vitamin K can have profound effects on the risk of bleeding, patients had been therefore counselled regarding the same. They were advised to maintain a stable intake of vitamin K-containing foods in their diet. Patient compliance to diet and adherence to therapy was checked during every follow up.

Assessment of bleeding

Bleeding complications were initially classified as minor (requiring no additional testing, referral, or outpatient visits), or major (requiring medical or surgical intervention, major blood loss requiring blood transfusion of two units or more). For the purpose of developing a bleeding prediction score, both minor and major bleeding episodes were pooled to enable the forecast of any type of bleeding among OAC users. The rationale for this is that although majority of bleeding is clinically mild, patients with minor bleeds have a significantly increased relative risk (2.9) of subsequent major bleeding as compared to those without any minor bleeding [40,41]. Hence, detection of minor bleeding in addition to major bleeding is clinically crucial as well. Univariate analyses were performed separately in patients on acenocoumarol (CohortAC) and warfarin (CohortWF) in addition to the pooled derivation cohort on both types of anticoagulants (CohortACWF).

Selection of candidate SNPs

Twenty one SNPs in seven different genes were selected for analysis. The method of selection of SNPs in CYP2C9 and VKORC1 is detailed in supplementary material (Supplemental material 1).

Genotyping methods

CYP2C9, VKORC1, CYP4F2: In addition to the three common
variants associated with coumarin response: CYP2C9*2 (rs1799853/430C>T/ p.Cys144Arg in exon 3), CYP2C9*3 (rs1057910/ c.1075A>C/ p.Leu359Leu in exon 7) and VKORC1-1639G>A (rs9923251/g.5388G>A in upstream promoter region), the above mentioned variants were genotyped by resequencing in the remaining patients as well. CYP4F2 rs2108622 (c.1297G>A/ p.Val435Met in exon 11) was also genotyped. All of the above SNPs in the CYP2C9, VKORC1 and CYP4F2 genes were analyzed by means of allele-specific PCR or amplification refractory mutation system (ARMS) PCR using special primers designed with BatchPrimer3 [42-44] (primers available on request).

**MDR1/ABCB1:** The three common polymorphisms in the MDR1/ABCB1 gene; rs1128503 (c.1236T>C/ p.Gly412Gly in exon 12), rs2032582 (2677T>G/A/ Ser893Ala/Thr in exon 21) and rs1045642 (3435C>T/ Ile1145Ile in exon 26) that are implicated in variable drug response were genotyped using previously published methods [45,46].

**APOE:** The APOE isoforms (ε2, ε3, ε4) that are distinguished by two non synonymous polymorphisms; rs7412 and rs229358 were detected using previously published primer sequences [47] for PCR followed by restriction enzyme HinfI cleavage of the amplified product to generate allele discriminating DNA fragments.

**F5 and F2:** Genotyping of factor V Leiden variant (rs6025/1691G>A) and prothrombin mutation (rs1799963/20210G>A) was carried out by restriction enzyme digestion of PCR-amplified DNA based on previously published protocols [48,49] with modifications.

Appropriate quality control was carried out with wild type and variant genotype control samples. Internal controls were used with each allele specific primer pair to check for DNA and PCR reaction quality. The first 20 samples genotyped for each of the above SNPs were confirmed by resequencing results additionally all genotypes were confirmed by repeating the test. PCR was carried by standard protocol using 10 pico moles of each primer.

**Statistical analysis**

All data analysis was performed with SPSS, version 16.0 (SPSS Inc., Chicago, IL). Assuming a bleeding incidence of 10% in individuals on oral anticoagulants and considering an absolute difference of 10% (from 5 to 15%) as being worth detecting the sample size needed to be at least 150 patients, with an α error of 0.05 and a statistical power of 0.9. Two by two contingency chi-squares were used to compare allele frequencies between groups. The expected genotype frequencies and the deviation from Hardy-Weinberg equilibrium were analyzed by Chi square test. The presence of any differences between the groups with (cases) and without bleeding (controls) was tested by Fisher exact test for categorical variables and by independent samples t test for continuous variables. All potential bleeding risk factors identified from the univariate analyses with a p value <0.05, were included in the multivariate logistic regression analyses. All comparisons were two-tailed. Variables with p<0.05 in the final model were considered to be significant contributors and were checked for interaction effects. Two independent genetic bleeding risk scores (GBRS) were designed to predict risk of bleeding based on significant factors from CohortAC and pooled CohortAC-WT. Based on their respective multivariate regression coefficients (Beta), scores were allotted for each of the bleeding risk factors in the final model. Scores were awarded to all patients (in derivation cohort) accordingly. Bleeding risk scores were then stratified into low and high risk. To measure the discriminative power of the scoring systems, receiver operating characteristic (ROC) graphs were plotted by taking the predictive probabilities as the test variable. The c-statistic (area under the curve, AUC) that reflects the concordance of predicted and observed bleeding episodes was evaluated for both regression models among different patient subgroups. An AUC <0.5 meant lack of discriminative power and AUC=1.0 meant perfect discriminative capacity of the score system.

**Validation of GBRS**

The GBRS was validated in an independent patient cohort on acenocoumarol therapy (n=51; Cohort4). Specific scores were awarded for the presence of risk factors from the model and added up based on which the patients were designated into the two risk categories; low and high. A ROC was plotted and the AUC (c statistic) was calculated to measure the predictive accuracy of the two models derived from Cohort4 and pooled Cohort4-WT. The sensitivity and specificity of GBRS to predict bleeding accurately was compared with that of a clinical bleeding risk score (CBRS) score. The CBRS was derived in a similar method as the GBRS, excluding the genetic variables. In addition, we report the AUC statistics in a subgroup analysis of individuals with OAC combined with an antiplatelet drug, with venous thromboembolism and deep vein thrombosis (DVT) as clinical indication for OAC.

**Results**

**Characteristics of patient population**

The study population had a mean age of 42.51 years (standard deviation, SD=17.36) and an average BMI of 25.82 (SD=5.8). The mean follow up period was 475.32 days (SD=172.57) during which an average of 17.04 (SD=5.31) INR measurements were recorded for each patient. The study population had 32.98% (SD=18) of INRs within the therapeutic range, 13.28% (SD=15.61) INRs >3.0 and 53.73% (SD=20.89) INRs <2.0. Among the 294 patients who stabilized on either anticoagulant, the average time taken to stabilize was 82.9 days (SD=65.31) and the mean stabilized weekly dose was 20.03 mg (SD=8.21) and 43.01 mg (SD=16.34) of acenocoumarol and warfarin respectively.

The demographic, clinical characteristics and clinical parameters of anticoagulation therapy in the two derivation cohorts (on warfarin and acenocoumarol) and validation cohort (on acenocoumarol) are detailed in Supplement Table 1. No significant difference was observed either between CohortAC and Cohort1-4 or Cohort3-WT and Cohort3-4. Some characteristics such as gender, clinical indications, certain concomitant drugs and follow up time were observed to differ in the patient groups on acenocoumarol (Cohort3-WT) and warfarin (Cohort1-4). The genotype and allele frequency of all SNPs are tabulated (Supplementary Table 2) and found to fit in Hardy Weinberg equilibrium. The rate of bleeding in patients was consistent with both anticoagulants (p=0.532). About one-third (n=26, 30.23%) of bleeding complications occurred in patients with low INRs (<3.0). During the study period, 68 (21.9%) patients presented with minor bleeding (mild nasal bleeds, mild bruising or minor oral bleeds) and 18 (5.8%) with major bleeding (major dermatologic bleeds, gastrointestinal bleeds or genitourinary bleeds). The incidence rate was 21.32, 16.86 and 4.46 per 100 person-years for any type of bleeding, minor bleeding and major bleeding respectively.

**Quality of anticoagulation in bleeders and non-bleeders**

Variations with respect to quality of anticoagulation therapy among the cases and controls in each cohort were analyzed. A linear positive relationship with bleeding was observed with over anticoagulation...
Variables added in bleeding risk indices based on literature review of known bleeding risk factors in other indices such as HAS-BLED [14], HEMORR2HAGES [28], Shireman et al [27] and Kuijer et al [26]. However, these already established risk factors were not analyzed in the current population due to the study criteria.

Derivation and evaluation of bleeding risk indices

Univariate analyses for bleeding complications revealed six bleeding predictors in the warfarin cohort, five in acenocoumarol cohort and nine predictors in the pooled cohort (Table 1). The final best fitting models derived by multivariate regression in cohort** (GBRS**)

<table>
<thead>
<tr>
<th>Variables**</th>
<th>Warfarin (n=53)</th>
<th>Aacenocoumarol (n=206)</th>
<th>Pooled (n=259)</th>
</tr>
</thead>
<tbody>
<tr>
<td>% *</td>
<td>Case N=18</td>
<td>Control N=35</td>
<td>P value</td>
</tr>
<tr>
<td>CYP2C9 rs1057911</td>
<td>55.6</td>
<td>5.7</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>CYP2C9 rs9332230</td>
<td>50.0</td>
<td>11.4</td>
<td>0.005</td>
</tr>
<tr>
<td>CYP2C9 rs9332172</td>
<td>50.0</td>
<td>22.9</td>
<td>0.045</td>
</tr>
<tr>
<td>CYP2C9 rs1067910**</td>
<td>38.9</td>
<td>17.1</td>
<td>0.081</td>
</tr>
<tr>
<td>CYP2C9 rs2298037</td>
<td>61.1</td>
<td>45.7</td>
<td>0.288</td>
</tr>
<tr>
<td>VKORC1 rs7294</td>
<td>44.4</td>
<td>45.7</td>
<td>0.930</td>
</tr>
<tr>
<td>VKORC1 rs55894764</td>
<td>0.0</td>
<td>2.9</td>
<td>0.469</td>
</tr>
<tr>
<td>VKORC1 rs9934438</td>
<td>22.2</td>
<td>14.3</td>
<td>0.469</td>
</tr>
<tr>
<td>ABCB1 rs2032582</td>
<td>50.0</td>
<td>62.9</td>
<td>0.368</td>
</tr>
<tr>
<td>F5 rs6025</td>
<td>38.9</td>
<td>5.7</td>
<td>0.005</td>
</tr>
<tr>
<td>Proton pump inhibitor</td>
<td>11.1</td>
<td>14.3</td>
<td>0.003</td>
</tr>
<tr>
<td>Antiplatelet</td>
<td>77.8</td>
<td>28.6</td>
<td>0.001</td>
</tr>
<tr>
<td>Statin$</td>
<td>0.0</td>
<td>28.6</td>
<td>0.011</td>
</tr>
<tr>
<td>Arterial occlusive disease</td>
<td>0.0</td>
<td>5.7</td>
<td>0.543</td>
</tr>
<tr>
<td>Age&gt;65 years; mean</td>
<td>16.7</td>
<td>2.9</td>
<td>0.108</td>
</tr>
</tbody>
</table>

** Unless indicated otherwise

** Only the variables that showed significant correlation in either one or more cohorts are included in this table.

Citations:


Table 1: Bleeding predictors in univariate analysis.

<table>
<thead>
<tr>
<th>Bleeding predictor variables</th>
<th>GBRS**</th>
<th>GBRSAC+WF</th>
<th>CBRSAC+WF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Factor V Leiden</td>
<td>GBRSAc+WF</td>
<td>B</td>
<td>1.12</td>
</tr>
<tr>
<td>Age &gt;65 years</td>
<td>1.42</td>
<td>4.14 (1.71-10.06)</td>
<td>1.60</td>
</tr>
<tr>
<td>VKORC1 rs9934438</td>
<td>0.82</td>
<td>2.27 (1.28-4.03)</td>
<td>0.74</td>
</tr>
<tr>
<td>VKORC1 rs55894764</td>
<td>2.47</td>
<td>11.86 (1.13-124.77)</td>
<td>1.13</td>
</tr>
<tr>
<td>CYP2C9 rs1057911</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Antiplatelet</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Cancer / malignancy$</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>History of bleeding$</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Hepatic or renal disease$</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

* Variables added in bleeding risk indices based on literature review of known bleeding risk factors in other indices such as HAS-BLED [14], HEMORR2HAGES [28], Shireman et al [27] and Kuijer et al [26]. However, these already established risk factors were not analyzed in the current population due to the study criteria.

Table 2: Best fitting model in two cohorts using forward stepwise method of multivariate logistic regression.

- **GBRS**: Genetic bleeding risk score
- **CBRS**: Clinical bleeding risk score

Table 3: Scores assigned to the bleeding predictor variables in the three bleeding risk indices.
and pooled cohort AC+WF (GBRSAC+WF, CBRSAC+WF) are presented in Table 2. As the multivariate regression coefficient (B) value reflects the relative weight of each factor in the final model (Table 2), the scores allocated were based on these values (Table 3). Three important known risk factors of anticoagulant-induced bleeding (cancer/malignancy, history of bleeding and hepatic or renal disease) were added in the final bleeding risk indices based on literature review of bleeding risk factors in other indices such as HAS-BLED [14], HEMORRHAGES [28], Shireman et al. [27] and Kuijer et al. [26]. The risk scores for these three factors were allocated based on the weightage given to them in previous bleeding risk scores. These factors could not be analyzed in the present cohort due to the study criteria. Formulation of risk stratification of score values was done by computing scores for all patients in the derivation cohorts and plotting ROC graphs to determine their discriminative powers. With the ascending order of risk (low to high), a consistent increase in the per cent of bleeders was recorded with all three prediction algorithms, however the CBRS showed least percentage of actual bleeding among the patients with high bleeding risk score (Table 4). The bleeding risk prediction scores resulted in significant AUCs with both GBRSs (Table 5). As expected, the GBRSAC showed enhanced AUC values in Cohort AC while GBRSAC+WF showed superior AUC plots in its derivation cohort AC+WF as compared to that in other cohorts. Of the two genetic algorithms, GBRSAC+WF was observed to be more accurate in its bleeding prediction in patients on warfarin, patients with DVT, and patients who were on concomitant antiplatelet therapy. Both GBR scores performed similarly in the cohort AC, patients with clinical indications other than DVT and patients on OAC without concomitant antiplatelet therapy. 

Validation

In the validation cohort, 13 (25.5%) patients experienced bleeding (Supplementary Table 2). The high and low risk groups determined by the three prediction algorithms in the validation cohort (Table 6) revealed that GBRSAC+WF demonstrated the lowest baseline risk of bleeding among the low risk group (17.8%) and correctly identified the highest proportion of bleeders (83.3%) among the high risk groups. The GBRSAC+WF proved to be the best prediction algorithm for bleeding as it had the highest sensitivity (38.5%), positive predictive value (83.3), negative predictive rate (NPR; 82.2%) with lowest false positive rate (FPR; 16.7%) and false negative rate (FNR; 17.8%) as compared to the other two indices. The clinical bleeding risk score (CBRS) performed poorly with the lowest sensitivity (15.4%) and PPV (40.0%) with highest FPR (60%). Due to the limited number of clinical factors in the CBRS, it’s AUC (0.611; CI 0.438-0.585) was much lower than those observed with genetic algorithms; GBRSAC (0.719; CI 0.544-0.894) and GBRSAC+WF (0.757; CI 0.600-0.914).

Discussion

The study adds new knowledge with regards to important genetic and non-genetic predictors of bleeding risk. Integration of these bleeding predictors in the routine anticoagulation management could help caution the clinician against prescription of high doses in the high risk patients. The high risk patient group may also benefit from close and frequent monitoring of INR, lower intensity of anticoagulation (low target INR) or an alternate new oral anticoagulant. These measures can effectively reduce the number of bleeding episodes, thus sparing the patients from adverse outcomes and reducing the economic burden of hospital admissions due to anticoagulant related ADRs. The present study is the first to devise and validate a genetic scoring scheme for predicting bleeding among first time users of oral anticoagulants. The GBRS uses only some variables that are easily obtained from new patients (age, history of malignancy/cancer, bleeding, hepatic or renal disease), along with two or three genetic markers (GBRSAC and GBRSAC+WF respectively). The addition of genetic variables was observed to increase the prediction sensitivity by two-folds as compared to use of clinical and demographic variables alone (Table 6). Although, the sample size of warfarin users was small (n=53) and a separate algorithm could not be derived, the GBRSAC+WF derived from the pooled Cohort AC+WF proved to effectively distinguish bleeders from non-bleeders among warfarin users (Table 5). The GBRSAC+WF was observed to be the best scoring scheme by all statistical measures (Table 6) as well as the preferred score for use in patients on either types of coumarin derivatives, patients with DVT as clinical indication and patients on concomitant antiplatelet therapy (Table 5). The GBRSAC+WF also showed lower FNR and higher PPV when validated in an independent cohort on acenocoumarol therapy (Table 6) as compared to that observed in the derivation cohort (Table 4). The overall better performance of the GBRSAC+WF over GBRSAC may be due to the higher frequency of CYP2C9 rs1057911 variant allele (0.105) in the study population as compared to VKORC1 rs58894764.

### Table 4: Bleeding risk stratification of the two genetic bleeding risk scores (GBRS) and Clinical Bleeding risk score (CBRS).

<table>
<thead>
<tr>
<th>Predicted risk</th>
<th>GBRSAC</th>
<th>GBRSAC+WF</th>
<th>CBRSAC+WF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Score</td>
<td>N</td>
<td>Actual Bled (%)</td>
<td>N</td>
</tr>
<tr>
<td>Low</td>
<td>0-1</td>
<td>189</td>
<td>22.2</td>
</tr>
<tr>
<td></td>
<td>Low</td>
<td>2-3</td>
<td>&gt;3</td>
</tr>
</tbody>
</table>

The GBRSAC was derived from the patients on acenocoumarol (N=206), while GBRSAC+WF and CBRSAC+WF were derived from the pooled cohort (N=259).

<table>
<thead>
<tr>
<th>Cohorts</th>
<th>N</th>
<th>% bled</th>
<th>GBRSAC</th>
<th>GBRSAC+WF</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>(95% CI)</td>
<td></td>
<td>(95% CI)</td>
</tr>
<tr>
<td>Either oral anticoagulant (OAC)</td>
<td>259</td>
<td>73 (28.2)</td>
<td>0.640</td>
<td>(0.561-0.719)</td>
</tr>
<tr>
<td>Acenocoumarol</td>
<td>206</td>
<td>55 (26.7)</td>
<td>0.671</td>
<td>(0.583-0.760)</td>
</tr>
<tr>
<td>Warfarin</td>
<td>53</td>
<td>18 (33.9)</td>
<td>0.567</td>
<td>(0.400-0.735)</td>
</tr>
<tr>
<td>Deep vein thrombosis</td>
<td>132</td>
<td>41 (31.1)</td>
<td>0.676</td>
<td>(0.570-0.781)</td>
</tr>
<tr>
<td>Other clinical indications</td>
<td>127</td>
<td>32 (25.2)</td>
<td>0.607</td>
<td>(0.487-0.726)</td>
</tr>
<tr>
<td>OAC + Antiplatelet</td>
<td>157</td>
<td>53 (33.8)</td>
<td>0.618</td>
<td>(0.521-0.715)</td>
</tr>
<tr>
<td>OAC - Antiplatelet</td>
<td>102</td>
<td>20 (19.6)</td>
<td>0.657</td>
<td>(0.556-0.828)</td>
</tr>
</tbody>
</table>

GBRS - genetic bleeding risk score; Area under the curve (AUC) denotes the proportion of cases that were accurately distinguished into the correct risk categories of bleeding. The AUC in bold font showed significantly higher values with GBRSAC+WF, OAC (Oral anticoagulant)

### Table 5: A comparison of the discriminative power of the two logistic equations calculated as the area under curve (AUC) in different groups within the cohort.
variant allele (0.010) (Table 2) included in the GBRSC-WF and GBRSC respectively. Although the sensitivities of both GBRSCs are modest, the high specificity (Table 6) suggests that the scores are able to correctly identify patients who are not likely to bleed. This low sensitivity could be due to the unequal proportions of cases and controls in the study (27.7% cases and rest served as controls).

Older age (>60/65 years) has been consistently associated with increased bleeding risk in literature and therefore included in most bleeding risk stratification schemes [13,26-28] as well as the present scoring scheme. Concomitant use of antplatelet drugs, that was identified as a significant risk factor for bleeding (in univariate analyses) in the present study has also been identified frequently in previous studies [27,50,51]. There are mixed reports of the role of comorbidities in anticoagulation-related bleeding. Although hypertension, diabetes [51], and stroke [13,14] have been included in some other bleeding risk scores, a large systematic literature review of 41 studies that evaluated the association of diabetes, hypertension, older age, chronic heart failure and cerebrovascular disease with anticoagulation related bleeding [52] observed low strength of evidence for all these factors except older age which showed moderate strength of association. A similar trend is reflected in the present study as well. Our findings did not show increased bleeding in females as has been reported previously [26,27,51]. Few other factors that have been correlated with bleeding in literature, such as history of stroke [13,14] and smoking [51] failed to replicate a similar relationship in our cohort. The higher number of INR measurements and longer time taken to stabilize among bleeders implies that those who bled required more frequent INR monitoring (possibly due to fluctuating INRs) as compared to controls. This is parallel to the direct correlation of the proportion of out-of-range INRs (>3.0, <2.0) and the risk of bleeding. Although 'labile INRs' (therapeutic time in range <60%) is one of the bleeding predictors in the HAS-BLED score index [14], we did not analyse it in the present study as the GBR score was primarily aimed for coumarin-naive patients.

CYP2C9 variants have been previously associated with 'major' or 'severe and life threatening' bleeding events (but not with minor bleeding) in patients on acenocoumarol [53] and warfarin [10,12,54]. The present study showed no significant increase in the likelihood of bleeding among the *2 or *3 carriers, akin to a few other studies with warfarin [34,55]. This may be due to inclusion of all types of bleeding (minor and major) in the derivation of GBRSC. Also, the frequency of CYP2C9*2 and *3 variants in the Asian-Indian population is reported to vary from other ethnic populations [56]. However, a significant association of *3 allele was observed specifically with major bleeding (n=18). But, due to the small numbers of major bleeding events, the validity of this association is questionable in the present population. A synonymous variant (rs1057911) reported to be in strong linkage validity of this association is questionable in the present population. (n=18). But, due to the small numbers of major bleeding events, the association of *3 allele was observed specifically with major bleeding to vary from other ethnic populations [56]. However, a significant *2 and *3 variants in the Asian-Indian population is reported CYP2C9 warfarin [34,55]. This may be due to inclusion of all types of bleeding (minor and major) in the derivation of GBRSC. Also, the frequency of CYP2C9*2 and *3 variants in the Asian-Indian population is reported to vary from other ethnic populations [56]. However, a significant association of *3 allele was observed specifically with major bleeding (n=18). But, due to the small numbers of major bleeding events, the validity of this association is questionable in the present population.

Polymorphisms in VKORC1; rs9934438 (1173>C>T) and rs55894764 were significantly associated with acencomuaro-induced bleeding but not with warfarin. However, 1173>C>T withstood multivariate regression analyses and showed significant predictability in both genetic algorithms (GBRSC-WF, GBRSC-WF). Despite the small sample size of warfarin users in the current study, the absence of correlation of VKORC1 variants with warfarin-induced bleeding has been reported previously as well [12]. A high haemorrhagic risk (but not statistically significant) has been reported with 1173>C>T variant in warfarin-users [12]. Another study [11] observed increased bleeding in Phenprocoumon users who carried the 1173>T allele. Thus, the present study is the first report of significant association of VKORC1 1173>C>T with acencomuaro-induced bleeding.

Factor V Leiden mutation, a known genetic risk factor for thrombophilia [38] was unexpectedly observed to increase bleeding risk among patients on anticoagulant therapy in the current study. Similar observation was made by Castori et al. [39]. Although, the exact mechanism by which FVL could cause bleeding is not known, some previous observations seem to support its prohemorrhagic role. A high frequency of the FVL mutation was reported in cases of hemorrhage-related preterm delivery [61] and intraventricular hemorrhage in preterm infants [62,63]. The relatively hypercoagulable state in normal pregnancy and the protein C and S deficiency among the preterm infants is analogous to the state of anticoagulated patients taking coumarin derivatives. It is hypothesized that FVL thrombophilic mutation may aggravate this hemostasis shift and heighten the risk of clots in such patients and may someway facilitate the rupture of delicate blood vessels, resulting in hemorrhage [61]. Another (less likely) explanation could be linkage disequilibrium of the FVL mutation with unknown genetic variants that can alter the bleeding propensity while on oral anticoagulants [62-64]. Previous studies have shown that about 9.7% of FVL carriers may also have a combination of two or more variant

<table>
<thead>
<tr>
<th>Algorithm</th>
<th>Predicted risk</th>
<th>Bleeding N (%)</th>
<th>No bleeding N (%)</th>
<th>Sensitivity</th>
<th>Specificity</th>
<th>FPR</th>
<th>FNR</th>
<th>PPV</th>
<th>NPV</th>
</tr>
</thead>
<tbody>
<tr>
<td>GBRSC-WF</td>
<td>Low</td>
<td>9 (19.6)</td>
<td>37 (80.4)</td>
<td>30.8</td>
<td>97.4</td>
<td>20.0</td>
<td>19.6</td>
<td>80.0</td>
<td>80.4</td>
</tr>
<tr>
<td></td>
<td>High</td>
<td>4 (80.0)</td>
<td>1 (20.0)</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>GBRSC-WF</td>
<td>Low</td>
<td>8 (17.8)</td>
<td>37 (82.2)</td>
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</tr>
<tr>
<td></td>
<td>High</td>
<td>5 (83.3)</td>
<td>1 (16.7)</td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>GBRSC-WF</td>
<td>Low</td>
<td>11 (23.9)</td>
<td>35 (76.1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>High</td>
<td>2 (40.0)</td>
<td>3 (60.0)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

GBRS - genetic bleeding risk score, CBRS - clinical bleeding risk score, FPR -false positive rate, FNR -false negative rate, PPV-positive predictive value, NPV -negative predictive value, AUC -area under the curve in receiver operator plot.

Table 6: Validation of the bleeding prediction models in an independent cohort on acencomuaro therapy.
CYP2C9/VKORC1 alleles [65], rendering them at very high risk of anticoagulation-induced bleeding.

The ABCB1 2677 TT and GT haplotypes previously associated with higher acenocoumarol dose [35] was observed to have a protective effect against bleeding complications in the current study. Although this is the first report documenting the protective effect of ABCB1 2677 variant, a previous study [34] documents lesser prevalence of ABCB1 variants among patients with warfarin-induced bleeding.

Pharmacokinetic drug interactions leading to bleeding with warfarin or acenocoumarol could occur with drugs that inhibit CYP2C9 or CYP2C19, affecting the drug concentrations, and subsequently enhancing its pharmacologic effect. From the pharmacodynamics perspective, drug interactions could also occur with drugs that interfere with platelet aggregation or synthesis of clotting factors, resulting in a synergistic effect. In the present study, all cases where concomitant drugs were observed to increase the likelihood of bleeding, respective patient records were analysed comprehensively for confirming the hemorrhagic role of drug-drug interactions. Use of Naranjo’s probability scale [66] indicated ‘probable’ (scores 5-8) or ‘possible’ (1-4) relationships of the respective drug in combination with coumarin in all suspected cases of drug-drug interaction.

Co-administration of proton pump inhibitors (PPIs, Pantoprazole and Rabeprazole) has occasionally been associated with potentiation of acenocoumarol [67,68] and less commonly with warfarin [69-71]. However, until now bleeding was not analyzed as an outcome with co-administration of PPI in OAC users. The current study suggests a moderately significant role of interaction of PPIs with acenocoumarol but not with warfarin. As PPIs are essentially metabolized by CYP2C19 (and sometimes CYP2C9 and CYP3A4) which is partially involved in the metabolic clearance of the potent R-acenocoumarol [72], the resulting inhibition of CYP2C19 may reduce the clearance of acenocoumarol [73], leading to toxicity as observed in current study. On the other hand, the potent S-enantiomer of warfarin is metabolised by CYP2C9, which is inhibited to a lesser degree than CYP2C19.

Concomitant use of statin with OAC is reported to increase the anticoagulant response ensuing elevated INRs, dose reductions (10%-27%) and/or toxicity [74-76]. This is known to be caused by inhibition of CYP3A4 enzyme by statin and displacement of coumarin drug from protein binding site. On the other hand, a large study on warfarin users with atrial fibrillation has reported that long-term statin use (>1 year) may be associated with a decreased risk of bleeding [77]. Our findings reiterate the protective effect of statin against bleeding complications among patients on long term warfarin use. However, this significant effect was not observed with acenocoumarol. It is likely that different generic drugs vary the role of statin interaction in bleeding with anticoagulants. Nonetheless, the small sample size of warfarin cohort limits the validity of the finding and will need to be replicated in a larger cohort on warfarin therapy before any conclusions or extrapolations can be drawn from the finding.

**Limitations**

a. The present study is limited in not analysing some already established bleeding risk factors such as alcohol abuse [14,27,28] due to insufficient data. Also, history of previous bleeding [13,14,27,28], liver and renal disease [14,28] were not analyzed in the present cohort as they were a part of the exclusion criteria to enable us to focus the study on exploring the genetic predictors of bleeding. Exclusion of the above recognized non-genetic risk factors from the study may make it difficult to judge the true relative effect of genetic factors in a clinical population. Therefore, we present a more extensive bleeding risk stratification scheme that incorporates the above factors along with the novel genetic risk factors reported in the present study (Table 3). Three important known risk factors of anticoagulant-induced bleeding (cancer/malignancy, history of bleeding and hepatic or renal disease) were added in the final bleeding risk indices based on literature review of bleeding risk factors in other indices. Although these additional factors could not be analyzed in the current population, several studies have reported their important contribution to anticoagulant-induced bleeding in patients. Thus, this enhances the predictive power and scope of the current GBRS.

b. The study assumed a drug class effect for statins, antiplatelets and PPIs because of the exploratory nature of our study and the small frequency of patients on each type of generic drug. Preparation-specific protective or adverse effects could occur. For example, literary evidence of interaction of different types of statins with warfarin indicates that the warfarin interaction potential is more pronounced for simvastatin [74-76] than for atorvastatin or other statins [78]. Statins were observed to be one of the most commonly used drugs in the current study (n=62, 23.9%), however due to the limited number of patients on therapy with different generic forms of statin, we could not analyze their independent effect on bleeding outcome. Therefore, we cannot exclude the possibility that the effects we observed with statins may differ according to individual statin preparations.

c. Small sample size of patients on warfarin as compared to acenocoumarol limits the strength of bleeding risk factors that were observed exclusively in the cohort[67]. Larger studies analyzing bleeding complications with warfarin will be required to confirm some of the current findings.

d. Some dissimilarity in bleeding predictors was observed with the type of OAC i.e. warfarin and acenocoumarol (Table 1). Additionally, the quality of anticoagulation with the long-acting warfarin and the rapid-acting acenocoumarol differed in some aspects. The mean proportion of INR within therapeutic range was significantly greater among patients on warfarin than those on acenocoumarol (Supplemental Table 4). Occurrence of bleeding events appears to be higher in warfarin users but the difference was not statistically significant. This may be due to the longer mean follow up in the warfarin cohort. Also, the per cent of non-therapeutic INR (<2.0) was lower with warfarin than acenocoumarol with borderline significance. A comparative study of quality and hemorrhagic risk with warfarin and acenocoumarol revealed that patients treated with acenocoumarol had a higher risk of presenting with an INR ≥ 6, however no statistically significant differences were reported in therapeutic stability [79]. At present we have no clear explanation for risk differences between the two coumarin anticoagulants. More likely, the difference in bleeding predictors may be explained by the diverse pharmacokinetics of acenocoumarol and warfarin. The two coumarin derivatives have variable maintenance dose (lower for acenocoumarol), plasma concentration (lower with acenocoumarol), plasma clearance (faster with acenocoumarol), terminal elimination half life (shorter with acenocoumarol) and elimination kinetics (biphasic for acenocoumarol) [80].
Most importantly, the pharmacogenetic variability among the coumarins is likely to cause differential protein-drug binding and different drug-drug interactions that may in turn attribute to variation in genetic bleeding predictors with the two coumarin anticoagulants.

Conclusions

Genetically determined pharmacokinetic and pharmacodynamic capacity in an individual can dramatically alter the toxin and metabolite levels from those normally expected, which is crucial for drugs with a narrow therapeutic index, like acenocoumarol and warfarin. Genetic screening for bleeding predictors using simple scoring method have the potential to remove some of the scientific uncertainties in toxicity cases and can greatly reduce the economic burden of adverse drug reactions. However, the cost versus benefit of introducing such a form of genetic prediction will need to be further studied depending on the population incidence of bleeding and the cost of the rapid genetic test. It has been reported that a 6.9% improvement in the time spent within therapeutic range significantly reduced major hemorrhage by one event per 100 patient-years of treatment [81]. Hence, predictive bleeding scoring index along with improvement in the quality of anticoagulation by careful INR monitoring, proper management guidelines and patient education regarding concomitant drugs, vitamin K diet and signs of bleeding can decrease the incidence of bleeding complications.

Executive Summary

- The incidence rate was 21.32, 16.86 and 4.46 per 100 person-years for any type of bleeding, minor bleeding and major bleeding respectively.
- Six bleeding predictors were identified in patients on long-term warfarin therapy (CYP2C9 - rs1057911, rs9332230, rs9332172; F5 rs6025, antiplatelet and statin drugs).
- Five bleeding predictors were identified in patients on long-term acenocoumarol therapy (VKORC1 - rs55894764, rs9934438; ABCB1 rs2032582, proton pump inhibitors and age ≥ 65 years).
- Genetic Bleeding Risk Score (GBRS)\(^{AC-WF}\) identified 78.9% of bleeders as the 'high risk' group and demonstrated an area under the curve (AUC) of 0.855 in patients on warfarin, 0.706 in patients on either oral anticoagulant and 0.802 in patients with deep vein thrombosis. GBRS\(^{AC-WF}\) had a specificity of 97.4%, false positive rate of 16.7% and false negative rate of 17.8%.
- The GBRS was validated to perform better than the Clinical (non-genetic) Bleeding Risk Score (CBRS). The sensitivity increased two-folds with GBRS\(^{AC-WF}\) as compared to CBRS.

Conclusions

- Genetic screening for bleeding risk using the current simple scoring method has the potential to remove some of the scientific uncertainties in toxicity cases.
- Predictive bleeding score along with improvement in the quality of anticoagulation by careful INR monitoring, proper management guidelines and patient education regarding concomitant drugs and signs of bleeding can decrease the incidence of bleeding events. This can greatly reduce the economic burden of adverse drug reactions.

Financial Disclosure/Conflict of interest

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References


