

## Healthcare Resource Utilization and Cost Related to Nosocomial Pneumonia Caused by *Staphylococcus aureus* and *Pseudomonas aeruginosa* in France: A 2010-2011 Population-Based Cohort Study Using a National Claims Database

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### Abstract

**Background:** Nosocomial pneumonia is a leading cause of hospital-acquired infection. However, evidence is limited regarding resource utilization and healthcare costs associated with nosocomial pneumonia in France.

**Methods:** This retrospective case-control study used a nationwide hospital claims database for cases of nosocomial pneumonia caused by *Staphylococcus aureus* or *Pseudomonas aeruginosa*. Hospital stay costs were retrieved during index hospitalization and at 30-days and 90-days post-discharge. Cost was calculated using Diagnosis Related Group (DRG) codes and daily cost estimates.

**Results:** Of 7,793 patients discharged with *S. aureus* or *P. aeruginosa* pneumonia between January 2010 and December 2011, 1,453 and 1,449 cases were included, respectively. Cases were matched with controls in terms of DRG root, age, gender, Charlson comorbidity score, and hospital region. Cases demonstrated significantly higher Charlson comorbidity scores ( $p < 0.01$ ) and almost four times higher mean index hospitalization duration ( $p < 0.001$ ), critical care unit stays ( $p < 0.001$ ), mechanical ventilation procedures ( $p < 0.001$ ), and mortality ( $p < 0.001$ ) compared to matched controls. Univariate analysis indicated significantly higher cost of treating cases compared to controls in terms of DRG (*S. aureus* cohort: €21,540 vs. €6,426; *P. aeruginosa* cohort: €20,732 vs. €6,172;  $p < 0.001$ ) and daily valuation costs (*S. aureus* cohort: €28,063 vs. €5,976; *P. aeruginosa* cohort: €30,827 vs. €5,819;  $p < 0.001$ ). Multivariate analysis showed that nosocomial pneumonia increased mean DRG costs at 90 days by €13,500 to €16,700 for *S. aureus* cohort, and by €13,300 to €20,200 for *P. aeruginosa* cohort.

**Conclusions:** Nosocomial pneumonia due to *S. aureus* or *P. aeruginosa* was associated with considerable patient morbidity and hospital costs in France.

**Keywords:** Pneumonia; Nosocomial infection; *Staphylococcus aureus*; *Pseudomonas aeruginosa*; mortality

### Background

Nosocomial infections are an increasing cause of concern worldwide, responsible for substantial morbidity, mortality, and expense. In 2008, the average healthcare cost for nosocomial infections in Europe was estimated by the European Commission to be €5.48 billion per year [1,2]. A single-center French cohort study in 2008 estimated the additional direct medical costs associated with nosocomial infections to be €3.2 million per year.

Prolonged hospitalization alone accounted for 69% of the total charges [3]. The importance of nosocomial infections to public health and healthcare costs, as well as the increasing concern around the growth of antibiotic resistance, has led to a number of prevention efforts and recommendations [4].

Nosocomial pneumonia is the leading cause of mortality due to hospital-acquired infections, with mortality rates reported to be as

high as 30% [5]. The onset of nosocomial pneumonia increases hospital length of stay by an average of 7 day to 10 days, and in the case of ventilator-associated pneumonia, it has been estimated to cost between \$10,000 and \$40,000 per case [1].

In France, hospital-acquired pneumonia is the second most common nosocomial infection (16.7% of all nosocomial infections in 2012), 44.3% being acquired in critical care unit [2]. The two most frequent causative microorganisms are *Pseudomonas aeruginosa* (18.1%) and *Staphylococcus aureus* (14.7%).

There are limited published data on resource utilization and annual healthcare costs in patients who develop *S. aureus* or *P. aeruginosa* nosocomial pneumonia in France. Our objectives were to describe the healthcare resource utilization and costs related to hospital stays and during 90 days of follow-up among patients with or without a record of nosocomial pneumonia caused by *S. aureus* or *P. aeruginosa*.

## Methods

### Data source

This national population-based matched case-control study used data collected from the French hospital claims database–Program of Medicalization of Information Systems (PMSI) [6]. All admissions to French public and private hospitals who are covered by the national insurance are included in the PMSI database, hence the high coverage of the database which is close to 93% of all hospitalizations in France. All hospitals are legally obliged to report activity for collation, otherwise remuneration for the procedures performed is withheld by the local health authority [7]. The PMSI is based on the systematic collection and computerized processing of minimal standardized medical and administrative information, allowing classification of each hospital stay in a homogeneous patient group similar to the Diagnosis Related Group (DRG). Each DRG is associated with a homogeneous hospitalization group and its corresponding cost in Euros, which is used to determine reimbursement by the French Health Insurance [8,9].

The medical information includes the primary diagnosis having led to patient's admission, related and associated diseases, and medical and surgical procedures performed during hospitalization. The administrative information includes patient's demographic data (date of birth, gender), medical ward codes representing specific costs, and month of admission and duration of stay. Diagnoses are coded according to International Classification of Diseases, 10th revision (ICD-10), and medical and surgical procedures are coded according to the French Common Classification of Medical/Surgical Procedures (CCAM).

In each French hospital, a physician specialized in coding conducted regular quality control over what was recorded in the database. Data included in the database are for hospitalization only; hence, the 30-day and 90-day post-discharge estimates of care do not include outpatient care costs. Hospitalization data were extracted by Structured Query Language querying from the PMSI database produced by the Technical Information Agency on Hospitalization [6]. The PMSI data are totally anonymized; thus, the study did not require an ethics committee approval.

### Cases and controls

We identified all patients with a first hospital stay between 1st January 2010 and 31st December 2011 who had nosocomial pneumonia caused by *S. aureus* or *P. aeruginosa*. Patients with multiple hospital stays during the observation period were only included once (i.e. for the first observed stay).

Nosocomial pneumonia was defined using a combination of ICD-10 codes. There is no single ICD-10 diagnosis code that refers to nosocomial infections. We considered any combination of a diagnosis code specifying pneumonia and infection by the bacteria of interest with the ICD-10 supplemental factor nosocomial condition (code Y95) to be a nosocomial pneumonia of interest. The *S. aureus* and *P. aeruginosa* cohorts constituted of patients coded with pneumonia due to staphylococcus (code J15.2) and pseudomonas (code J15.1), respectively, as primary, related, or associated diagnosis.

Patients were excluded if they were less than 18 years of age, had a previous nosocomial condition recorded within 90 days prior to index hospitalization, had both *S. aureus* and *P. aeruginosa* infection during

or within 90 days after discharge from the index hospitalization, or had a DRG code indicative of a recording error (90Z00Z). Additionally, patients with cystic fibrosis (Codes E84.x and P75) were excluded from the *P. aeruginosa* cohort.

We matched one control for every case from all patients hospitalized during the same period who did not have nosocomial *S. aureus* or *P. aeruginosa* infection diagnoses. Controls were individually matched to cases by DRG root [10], age ( $\pm 1$  year), gender, Charlson comorbidity score ( $\pm 1$ ) [11,12], and hospital region. However, only few of the cases had a match in the PMSI national population-based claims database on all of these criteria. Indeed, the cases and controls are fundamentally different patients, especially in terms of their comorbidities. Including only cases for which we would have found perfect matches in the study would have caused a selection bias due to the exclusion of a large number of cases. Hence, the matching process used an optimized step-by-step algorithm to identify candidates by decreasing order of matching criteria. The algorithm first tried to identify controls who met all of the matching criteria.

If no match was found, then it released a criterion (the least relevant criterion) at each subsequent step until a matching control was found with only one criterion remaining (see Supplementary Table 1).

This approach avoided leaving many cases without any matched control, as would have occurred if matching on all criteria were required. Once a control was identified, his/her record was deleted from the list of potential control patients to avoid reuse of the same control and facilitate handling of potential confounding factors such as age, gender, and initial medical condition.

### Data collected

Data collected were age, gender, region of hospitalization, type of hospital, month and year of hospital admission, length of stay, entry mode, diagnoses using ICD-10 codes from 90 days before to 90 days after discharge from index hospitalization, type of ward (including critical care unit or intensive care unit [ICU]), medical and surgical procedures according to the French CCAM classification [9], re-hospitalizations, drugs administered from the list of expensive drugs, and all-cause in-hospital mortality. In France, drugs used in hospital can be either included in hospital-stay fees based on DRG tariffs or belong to the list of expensive drugs defined as hospital-only costly drugs that are additionally charged to health insurance.

In the French system, the ICU houses patients of intermediate acuity-between the critical care unit and the general ward. Critical care units generally manage and care for patients at high risk of developing, or already suffering from, multiple organ dysfunctions (vs. failure of a single organ in ICU) and/or under mechanical ventilation and/or neuro-sedated (vs. no intubated/ventilated patients in ICU, except in some cases where the hospital has an exemption, but only for managing such patients over a duration shorter than two days).

### Data analysis

The outcomes of interest were the total hospital-related healthcare resource utilization and costs per patient for the index hospitalization and post-discharge periods (30 days or 90 days post-discharge), and total all-cause readmission rate and all-cause in-hospital mortality rate among patients with or without record of nosocomial pneumonia caused by *S. aureus* or *P. aeruginosa*. Total healthcare resource utilization cost was defined as the cost of hospitalization plus the

hospital cost of post-discharge periods (30 days or 90 days post-discharge). These two costs were first assessed separately and then combined.

The occurrence and number of re-hospitalizations were evaluated and described by the cumulative number of hospital stays in days over the follow-up period of 30 days and 90 days post-discharge from index hospitalization. Hospitalization costs were estimated by two methods: DRG costs valuation and daily costs valuation. In the first method, assumption was made that DRG cost reflects the real cost of hospital stay. The French classification of DRG considers four severity levels for each DRG class, permitting cost estimation by DRG severity levels regardless of the length of stay. Costs related to each DRG can be obtained from officially published source for both public and private hospitals [13]. In the PMSI database, the classification algorithm used to obtain the relevant DRG for each stay takes into account the severity score related to a diagnosis of nosocomial infection. Consequently, the algorithm can lead to a more or less severe and costly DRG, depending on the type of infection.

The second method takes into account the impact of the length of stay on the total cost, using the National Mean Length of Stay. For stays where the National Mean Length of Stay was <2 days, the DRG cost was used; in other situations, the cost was split into costs linked to the duration of stay and fixed costs. Costs linked to the duration of stay included clinical expenses such as expenses for continuous monitoring, critical care, intensive care (including medication cost), and logistics and accommodation fees.

Qualitative variables were described by count and percentage in each category. Missing values were not imputed; missing data are inherent in the data source, an administrative database. Quantitative variables were described by their mean, standard deviation, median, 1st and 3rd quartile, and minimum and maximum.

Quantitative variables were compared using ANOVA (comparison of means) under normality assumption; otherwise Wilcoxon/Mann-Whitney or Kruskal-Wallis test (non-parametric approach) was used. Qualitative variables were also compared using chi-square test or Fisher exact test. All statistical tests were two-sided with a significance level of 5%, and p-values were not corrected for multiplicity.

Multivariate analyses were performed to identify cost drivers in terms of DRG costs and daily valuation costs, cumulatively at 90 days after discharge from index hospitalization. The costs were log-transformed before analysis, after checking the shape of distribution of the log-transformed variable and excluding strong violations of normality. All statistical tests and confidence interval computing were made on the log-transformed variables.

Results were then retransformed to the original unit for interpretation. Potential cost drivers, identified among patients' demographics, and clinical characteristics and factors present at admission were tested one by one using a mixed general linear model, with cost as dependent variable and hospital as random variable, as the costs of patients in the same hospital may be correlated.

Data within the same hospital (same "finest" code) were considered to be correlated, while group and other covariates were considered as fixed variables in this multilevel analysis to account for potential hospital effects. All variables significant at the 5% level were then tested in a global model; independent predictive factors were selected using a

descending stepwise method at the 5% level and included in the final multivariate analysis, allowing for determination of the least squares means of costs with their 95% confidence interval and adjusted p-value of comparison between groups.

## Results

### Characteristics of cases and controls

From 1<sup>st</sup> January 2010 to 31<sup>st</sup> December 2011, 7,793 patients were discharged with a nosocomial infection from *S. aureus* or *P. aeruginosa*; 1,799 had *S. aureus* pneumonia, 1,958 had *P. aeruginosa* pneumonia, and 375 had both *S. aureus* and *P. aeruginosa* pneumonia (exclusion criterion). Table 1 summarizes the included and excluded flow of nosocomial pneumonia cases, which led to the inclusion of 1,453 and 1,449 nosocomial cases of *S. aureus* and *P. aeruginosa* pneumonia, respectively. During the same observation period, 2,902 patients (1,453 controls for the *S. aureus* cohort and 1,449 controls for the *P. aeruginosa* cohort) without a nosocomial *S. aureus* or *P. aeruginosa* infection but presenting the same characteristics as the nosocomial infection population regarding age ( $\pm 1$  year), gender, DRG root, Charlson comorbidity score ( $\pm 1$ ), and region of hospital were included.

Eligible	Case subjects with nosocomial pneumonia caused by	
	<i>Staphylococcus aureus</i> N=1799	<i>Pseudomonas aeruginosa</i> N=1958
Not included n (%)	346 (19.2)	509 (26.0)
Reasons for non-inclusion n (%)		
Nosocomial infection within 90 days before index hospitalization	19 (1)	24 (1)
Age < 18 years	72 (4.0)	53 (2.7)
Both infections during or within 90 days after index hospitalization	235 (13.1)	414 (21.1)
Error in DRG code	48 (2.7)	37 (1.9)
Cystic fibrosis	NA	8 (0.4)
Included n (%)	1453 (80.8)	1449 (74.0)
DRG=Diagnosis Related Group; NA = Not Applicable		

**Table 1:** Reasons for exclusion of cases from study population.

As shown in Table 2, the majority (approximately 68%) of patients was male among cases and controls in both the analysis cohorts; the median age was similar in the *P. aeruginosa* cohorts (65 years) and in the *S. aureus* cohorts (63 years). The mean Charlson comorbidity scores indicated a significantly higher possibility of pre-existing comorbidities among cases compared to the controls for *S. aureus* (5.5 vs. 5.2;  $p=0.007$ ) as well as *P. aeruginosa* cohort (5.9 vs. 5.4;  $p<0.001$ ). Also, the mean number of hospitalizations in the year prior to index hospitalization was significantly higher among cases compared to controls for both the cohorts ( $p<0.001$ ).

Parameters		Staphylococcus aureus analysis cohort			Pseudomonas aeruginosa analysis cohort		
		Case N=1453	Control N=1453	p	Case N=1449	Control N=1449	P
Gender	Male n (%)	990 (68.1)	991 (68.2)	0.968*	995 (68.7)	998 (68.9)	0.904*
	Female n (%)	463 (31.9)	462 (31.8)		454 (31.3)	451 (31.1)	
Age (years)	Mean (SD)	61 (17)	61 (17)	0.998**	64 (15)	64 (15)	0.943**
	Median	63	63		65	65	
	25; 75 percentile	51; 75	51; 74		55; 76	55; 76	
Charlson comorbidity index	Mean (SD)	5.5 (3.4)	5.2 (3.4)	0.007**	5.9 (3.3)	5.4 (3.1)	<0.001**
	Median	5	5		6	5	
	25; 75 percentile	3.0; 8.0	3.0; 7.0		4.0; 8.0	3.0; 7.0	
Number of previous hospitalizations in past year	Mean (SD)	2.71 (7.80)	1.15 (4.95)	<0.001**	2.90 (6.86)	1.51 (7.41)	<0.001**
	Median	1	0		1	0	
	25; 75 percentile	0.00; 2.00	0.00; 1.00		0.00; 3.00	0.00; 1.00	
Time (months) from first previous hospitalization within the pre-index period to index hospitalization	Mean (SD)	3.98 (4.35)	2.27 (4.02)	<0.001**	4.40 (4.37)	2.39 (4.16)	<0.001**
	Median	2	0		3.02	0	
	25; 75 percentile	0.00; 7.98	0.00; 3.02		0.00; 8.05	0.00; 3.02	

SD=Standard Deviation; No missing data; \* =Chi2 Test; \*\*=ANOVA

**Table 2:** Characteristics of populations.

The most common primary reasons for the index hospitalization were respiratory, circulatory, and injury (see Supplementary Table 2).

Patients with nosocomial infection caused by *P. aeruginosa* were numerically more likely than those with *S. aureus* to have been admitted for respiratory system disease (34.0% vs. 27.0%), while the admissions due to circulatory system diseases (18.8% vs. 13.5%) and injuries (17.7% vs. 8.9%) were numerically higher in the *S. aureus* cases compared to the *P. aeruginosa* cases.

Regardless of the bacterial species, patients with nosocomial pneumonia were significantly more likely to have been admitted for neoplasm (*S. aureus* cohort:  $p < 0.001$ ; *P. aeruginosa* cohort:  $p = 0.004$ ) or abnormal clinical and laboratory findings (*S. aureus* cohort:  $p = 0.001$ ; *P. aeruginosa* cohort:  $p < 0.001$ ) as compared to the matched controls.

Individual comorbidities used to determine Charlson comorbidity score are summarized in (Supplementary Table 3).

Regardless of the bacterial species, a significantly higher occurrence of congestive heart failure, chronic pulmonary disease, cerebrovascular disease, hemiplegia/paraplegia, renal disease, and peptic ulcer disease was observed in the nosocomial pneumonia cohorts compared to the matched controls ( $p < 0.01$ ).

Furthermore, the occurrence of mild liver disease and moderate-to-severe liver disease was significantly higher in *S. aureus* cases compared to the matched controls ( $p < 0.05$ ), while a significantly

higher occurrence of peripheral vascular disease was reported *P. aeruginosa* cases compared to the matched controls ( $p = 0.021$ ).

Interestingly, the occurrence of malignancies and metastatic solid tumors was significantly lower among *S. aureus* cases compared to the matched controls ( $p < 0.05$ ).

### Characteristics of hospital stays

The hospital stay characteristics summarized in Table 3 indicate that more than half of the hospitalizations were reported in public hospitals (including university hospitals, regional hospitals, and any other public hospital) for cases and controls in both the analysis cohorts.

A majority of the patients were admitted from home among cases (*S. aureus* cohort: 80.3%; *P. aeruginosa* cohort: 81.3%) as well as controls (*S. aureus* cohort: 92.2%; *P. aeruginosa* cohort: 93.7%).

The number of critical care unit stays during index hospitalization was significantly higher for cases than controls in the *S. aureus* cohort (88.7% vs. 24.4%;  $p < 0.001$ ) as well as the *P. aeruginosa* cohort (91.1% vs. 23.7%;  $p < 0.001$ ).

The number of stays in ICU was also significantly higher among cases than controls in the *S. aureus* cohort (14.8% vs. 11.1%;  $p = 0.003$ ); however, the *P. aeruginosa* cohort reported only numerically higher number of ICU stays among cases compared to controls (10.6% vs. 8.8%;  $p = 0.090$ ).

Parameters		Staphylococcus aureus analysis cohort			Pseudomonas aeruginosa analysis cohort		
		Case N=1453	Control N=1453	p*	Case N=1449	Control N=1449	p*
Type of hospital-n (%)	Public University hospital	407 (28.0)	304 (20.9)	<0.001	313 (21.6)	226 (15.6)	<0.001
	Regional hospital	204 (14.0)	207 (14.2)		285 (19.7)	223 (15.4)	
	Other public hospital	778 (53.5)	745 (51.3)		769 (53.1)	765 (52.8)	
	Private	64 (4.4)	197 (13.6)		82 (5.7)	235 (16.2)	
Entry mode - n (%)	Hospital transfer	272 (18.7)	105 (7.2)	<0.001	254 (17.5)	87 (6.0)	<0.001
	Ward transfer	14 (1.0)	8 (0.6)		17 (1.2)	5 (0.3)	
	Home	1167 (80.3)	1340 (92.2)		1178 (81.3)	1357 (93.7)	
	Stay in intensive care unit - n (%)	215 (14.8)	161 (11.1)	0.003	154 (10.6)	127 (8.8)	0.09
	Stay in critical care unit - n (%)	1289 (88.7)	354 (24.4)	<0.001	1320 (91.1)	344 (23.7)	<0.001
Duration of hospitalization index	Mean (SD) days	46.79 (37.35)	12.86 (17.80)	<0.001	53.06 (44.18)	12.85 (16.14)	<0.001
	Median	38	8		44	8	
	25; 75 percentile	22.00; 59.00	4.00; 15.00		25.00; 68.00	4.00; 16.00	
Re-admissions within 30 days after discharge	n (%)	292 (20.1)	312 (21.5)		262 (18.1)	328 (22.6)	
Cumulative length of stay 30 days after discharge	Mean (SD) days	49.69 (38.07)	14.79 (18.75)	<0.001	55.61 (44.75)	14.62 (17.18)	<0.001
	Median	41	10		46	9	
	25; 75 percentile	25.00; 63.00	4.00; 19.00		28.00; 71.00	5.00; 18.00	
Re-admissions within 90 days after discharge	n (%)	465 (32.2)	491 (33.8)		415 (28.6)	534 (36.9)	
Cumulative length of stay 90 days after discharge	Mean (SD) days	53.80 (42.01)	17.68 (22.23)	<0.001	59.14 (47.00)	18.02 (21.32)	<0.001
	Median	42	11		48	11	
	25; 75 percentile	26.00; 69.00	5.00; 23.00		29.00; 76.00	5.00; 22.00	

SD=Standard Deviation; No missing data; \* =Chi2 or Fisher exact test; \*\*=ANOVA

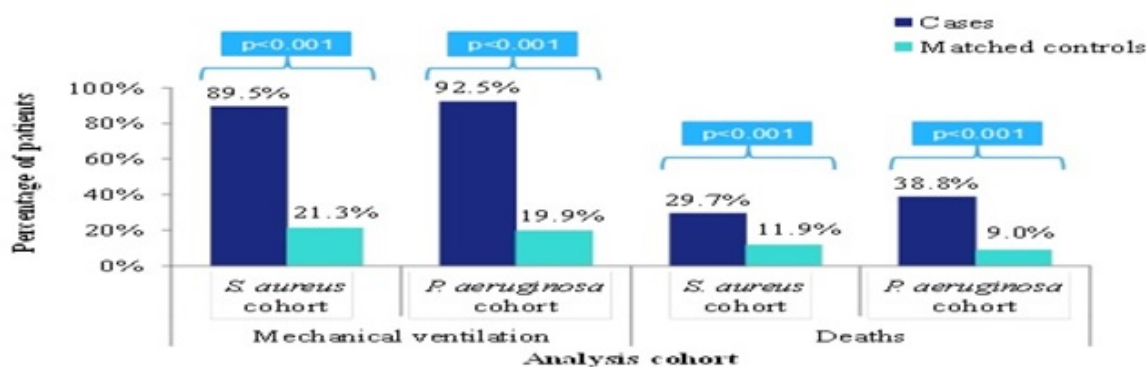
**Table 3:** Hospitalization characteristics.

The mean index hospitalization duration was significantly longer for cases than controls in the *S. aureus* cohort (46.8 days vs. 12.9 days;  $p < 0.001$ ) and *P. aeruginosa* cohort (53.1 days vs. 12.9 days;  $p < 0.001$ ). Cumulative length of stay was also significantly longer among cases compared to controls at 30 days ( $p < 0.001$ ) and 90 days ( $p < 0.001$ ) post-discharge from index hospitalization.

When admitted for index hospitalization, cases were more likely to undergo surgery than controls (data not shown), with higher rates of tracheotomy (*S. aureus*: 22.2% vs. 1.5%; *P. aeruginosa*: 26.5% vs. 1.5%) and gastrostomy (*S. aureus*: 7.6% vs. 0.8%; *P. aeruginosa*: 6.7% vs. 0.9%). When surgery was the primary reason for hospitalization, other cases of surgery were similarly distributed between cases and controls;

this is expected because they were part of the DRG root used for matching cases and controls.

As shown in (Figure1), mechanical ventilation procedures were significantly more frequent during the index hospitalization for nosocomial pneumonia cases than the matched controls for *S. aureus* cohort (89.5% vs. 21.3%;  $p < 0.001$ ) as well as *P. aeruginosa* cohort (92.5% vs. 19.9%;  $p < 0.001$ ). Overall, there were similar number of procedures in cases and controls. However, the type of procedures differed, with up to 99% of cases receiving procedures affecting the respiratory and circulatory systems as compared to 66.6% to 69.7% of controls (Table 4).



**Figure 1:** Mechanical ventilation and deaths reported in case and control cohorts during index hospitalization.

Class of procedure, n (%)	Staphylococcus aureus analysis cohort			Pseudomonas aeruginosa analysis cohort		
	Cases N=1453	Controls N=1453	p*	Cases N=1449	Controls N=1449	p*
At least one	1450 (99.8)	1378 (94.8)	<0.001	1446 (99.8)	1368 (94.4)	<0.001
Respiratory system	1440 (99.1)	996 (68.5)	<0.001	1438 (99.2)	1010 (69.7)	<0.001
Circulatory system	1407 (96.8)	967 (66.6)	<0.001	1413 (97.5)	998 (68.9)	<0.001
Adjustments for the transitional French National Classification of surgical procedures	1215 (83.6)	902 (62.1)	<0.001	1227 (84.7)	901 (62.2)	<0.001
Digestive system	1193 (82.1)	536 (36.9)	<0.001	1226 (84.6)	582 (40.2)	<0.001
Procedures without topographical precision	979 (67.4)	363 (25.0)	<0.001	961 (66.3)	343 (23.7)	<0.001
Central, peripheral or autonomic nervous system	822 (56.6)	474 (32.6)	<0.001	677 (46.7)	315 (21.7)	<0.001
Additional anesthesia and additional procedures	728 (50.1)	354 (24.4)	<0.001	745 (51.4)	333 (23.0)	<0.001
Genitourinary system	621 (42.7)	216 (14.9)	<0.001	690 (47.6)	216 (14.9)	<0.001
Immune and hematopoietic systems	641 (44.1)	174 (12.0)	<0.001	758 (52.3)	213 (14.7)	<0.001
Lower limb musculoskeletal system	186 (12.8)	134 (9.2)	0.002	157 (10.8)	90 (6.2)	<0.001
Neck and trunk musculoskeletal system	226 (15.6)	142 (9.8)	<0.001	160 (11.0)	98 (6.8)	<0.001
Upper limb musculoskeletal system	156 (10.7)	80 (5.5)	<0.001	102 (7.0)	43 (3.0)	<0.001
Skin and mammary gland	93 (6.4)	66 (4.5)	0.034	91 (6.3)	54 (3.7)	0.002
Head musculoskeletal system	87 (6.0)	60 (4.1)	0.027	71 (4.9)	40 (2.8)	0.004
Musculoskeletal system without topographic details	41 (2.8)	22 (1.5)	0.021	34 (2.3)	17 (1.2)	0.023
Eye and annexes	44 (3.0)	31 (2.1)	0.16	33 (2.3)	30 (2.1)	0.799
Metabolism and endocrine system	10 (0.7)	12 (0.8)	0.831	13 (0.9)	15 (1.0)	0.85
Ear	8 (0.6)	5 (0.3)	0.58	10 (0.7)	5 (0.3)	0.3
Procedures relative to procreation, pregnancy and the newborn	4 (0.3)	1 (<0.1)	0.375	5 (0.3)	2 (0.1)	0.453

\*Chi<sup>2</sup> or Fisher exact test

**Table 4:** Patients with medical acts and procedures during hospitalization.

Approximately one-third of patients in each cohort had a discharge ICD-10 code for bacterial pathogens resistant to antibiotics (*S. aureus* cohort: 37.2%; *P. aeruginosa* cohort: 31.5%) (data not shown). The percentage of deaths during index hospitalization was significantly higher in the nosocomial pneumonia cases than in matched controls for *S. aureus* cohort (29.7% vs. 11.9%;  $p < 0.001$ ) and *P. aeruginosa* cohort (38.8% vs. 9.0%;  $p < 0.001$ ) (Figure 1). By 90 days of follow-up, an additional 3% of case and control patients had died in both analysis cohorts.

Table 5 summarizes the expensive drugs received by patients during index hospitalization stay; in France, expensive drugs refer to the

hospital-only costly drugs that are charged to health insurance in addition to hospital stay fees based on DRG tariffs ("liste T2A"). Significantly more cases received expensive treatments during index hospital stay than controls (*S. aureus*: 19.1% vs. 5.4%; *P. aeruginosa*: 25.4% vs. 5.9%;  $p < 0.001$  for both comparisons). The use of expensive drugs was significantly higher among cases compared to matched controls for each class of drugs ( $p < 0.01$ ), except for the anti-neoplastic and immunomodulating agents and drugs for musculoskeletal system.

Class of expensive drug	n (%)	<i>Staphylococcus aureus</i> analysis cohort			<i>Pseudomonas aeruginosa</i> analysis cohort		
		Case N=1453	Control N=1453	p*	Case N=1449	Control N=1449	p*
At least one expensive drug		277 (19.1)	79 (5.4)	<0.001	368 (25.4)	86 (5.9)	<0.001
Anti-infectives for systemic use	All	132 (9.1)	22 (1.5)	<0.001	242 (16.7)	25 (1.7)	<0.001
Anti-mycotics for systemic use		117 (8.1)	16 (1.1)	<0.001	201 (13.9)	15 (1.0)	<0.001
Immune sera and immunoglobulins		23 (1.6)	7 (0.5)	0.005	49 (3.4)	14 (1.0)	<0.001
Blood and blood-forming organs	All	167 (11.5)	53 (3.6)	<0.001	179 (12.4)	50 (3.5)	<0.001
Anti-hemorrhagics		105 (7.2)	33 (2.3)	<0.001	111 (7.7)	30 (2.1)	<0.001
Anti-anemic preparations		48 (3.3)	21 (1.4)	0.001	65 (4.5)	17 (1.2)	<0.001
Antithrombotic agents		21 (1.4)	0 (0.0)	<0.001	21 (1.4)	4 (0.3)	0.001
Anti-neoplastic and immunomodulating agents	All	19 (1.3)	8 (0.6)	0.051	27 (1.9)	17 (1.2)	0.171
Musculoskeletal system	All	3 (0.2)	6 (0.4)	0.507	8 (0.6)	3 (0.2)	0.226
No missing data							
*Chi <sup>2</sup> or Fisher exact test							

**Table 5:** Patients receiving treatments belonging to the list of expensive drugs during index hospital stay.

### Costs analysis

Costs associated with nosocomial pneumonia were three times higher than those without a hospital-acquired infection, whether calculated relative to DRGs or to daily costs (Table 6). In the *S. aureus* cohort, the mean costs of index hospitalization were significantly higher for cases compared to controls in terms of DRG cost (€21,540 vs. €6,426;  $p < 0.001$ ) as well as daily cost (€28,063 vs. €5,976;  $p < 0.001$ ).

Similarly, in the *P. aeruginosa* cohort, significantly higher mean costs were reported for cases compared to matched controls in terms of DRG cost (€20,732 vs. €6,172;  $p < 0.001$ ) and daily cost (€30,827 vs. €5,819;  $p < 0.001$ ). After 30 days and 90 days, the cumulative costs had increased by at least €1,500 for cases and €1,000 for controls; however, the majority of costs in this analysis of hospitalizations were incurred during the index hospitalization.

Univariate analysis		<i>Staphylococcus aureus</i> analysis cohort		<i>Pseudomonas aeruginosa</i> analysis cohort	
		Case N=1453	Control N=1453	Case N=1449	Control N=1449
DRG cost estimation					
At hospital discharge	Missing	3% (n=44)	1.7% (n=25)	3.9% (n=56)	2.9% (n=42)
	Mean	21539.5	6425.6	20731.5	6171.7
	95% CI	20842.2; 22260.1	6117.0; 6749.7	20063.0; 21422.3	5869.5; 6489.5

	p value	<0.001	<0.001		
After 30 days follow-up	Missing	3.2% (n=47)	2.1% (n=31)	4.3% (n=63)	3.2% (n=47)
	Mean	22956.5	7320.3	22159.1	7101.2
	95% CI	22212.9; 23724.9	6971.8; 7686.3	21437.8; 22904.6	6752.3; 7468.1
	p value	<0.001	<0.001		
After 90 days follow-up	Missing	3.3% (n=48)	2.4% (n=35)	4.4% (n=64)	3.9% (n=57)
	Mean	24417.5	8255.9	23537.4	8190.8
	95% CI	23610.8; 25251.7	7849.9; 8682.8	22756.6; 24345.0	7776.8; 8626.8
	p value	<0.001	<0.001		
Daily cost estimation					
At hospital discharge	Missing	3% (n=44)	1.7% (n=25)	3.9% (n=56)	2.9% (n=42)
	Mean	28063.1	5975.9	30827.2	5819
	95% CI	26962.0; 29209.2	5663.8; 6305.2	29590.8; 32115.2	5508.6; 6146.8
	p value	<0.001	<0.001		
After 30 days follow-up	Missing	3.2% (n=47)	2.1% (n=31)	4.3% (n=63)	3.2% (n=47)
	Mean	29746.1	6908.5	32537.6	6760.6
	95% CI	28598.8; 30939.3	6550.9; 7285.7	31251.1; 33877.1	6401.4; 7140.0
	p value	<0.001	<0.001		
After 90 days follow-up	Missing	3.3% (n=48)	2.4% (n=35)	4.4% (n=64)	3.9% (n=57)
	Mean	31458.2	7843.4	34275.6	7900.8
	95% CI	30229.5; 32736.8	7422.1; 8288.7	32915.0; 35692.5	7467.8; 8359.0
	p value	<0.001		<0.001	
CI=Confidence Interval; DRG supplementary =Diagnosis Related Group					

**Table 6:** Univariate analysis for cost estimations (in Euros).

### Multivariate analysis of costs

Out of all pre-specified potential cost drivers, nine variables were identified as having an independent influence on DRG costs: gender, cerebrovascular disease, dementia, peptic ulcer disease, diabetes with chronic complication, any malignancy, metastatic solid tumor, age at hospitalization, and Charlson comorbidity index. For daily costs, only six of the variables were identified as having an independent influence, with gender, cerebrovascular disease and malignancy dropped from the model.

Once adjusted with these factors, the costs could be compared between cases and controls to identify the additional costs of nosocomial pneumonia (Table 7). Nosocomial pneumonia increased mean DRG costs at 90 days by €13,500 to €16,700 for *S. aureus* cohort, and by €13,300 to €20,200 for *P. aeruginosa* cohort. All these costs were higher when excluding patients who died during the first hospital stay.

Multivariate analysis	Estimates	Staphylococcus aureus analysis cohort		Pseudomonas aeruginosa analysis cohort	
		Case N=1453	Control N=1453	Case N=1449	Control N=1449
All patients					
Cumulative DRG cost at 90 days	LS Means	20813.80	7329.14	20880.47	7593.66



	95% CI	17478.40 24785.69	6196.18 8669.26	17500.10 24913.80	6395.72 9015.98
	Difference	13484.66	13286.81		
Cumulative daily costs at 90 days	LS Means	22662.67	5985.53	26766.40	6520.08
	95% CI	18612.18 27594.64	4939.59 7252.95	21973.57 32604.62	5374.27 7910.19
	Difference	16677.14	20246.32		
Death during considered hospitalization excluded					
Cumulative DRG costs at 90 days	LS Means	24391.46	7724.32	22401.98	7108.22
	95% CI	19779.52 30078.76	6317.76; 9444.04	17911.74 28017.86	5732.43 8814.20
	Difference	16667.14	15293.76		
Cumulative daily costs at 90 days	LS Means	27023.29	6201.67	26756.56	5501.58
	95% CI	21435.47 34067.73	4950.60 7768.89	21057.57 33997.93	4362.82 6937.55
	Difference	20821.62	21254.92		
CI=Confidence Interval; DRG=Diagnosis Related Group; LS=Least Squares					

**Table 7:** Multivariate final analysis of costs estimation (in Euros).

## Discussion

This population-based study is the first to our knowledge to describe the healthcare utilization of adult subjects with nosocomial pneumonia due to *S. aureus* or *P. aeruginosa* in France. The PMSI was ideal as a national hospital claims database for this study, with a very high coverage of the French population. This permitted identification of a large number of subjects with the nosocomial pneumonias of interest in a recent timeframe from both public and private hospitals across 23 cities in France; this also permitted identification of enough controls.

An increase in hospital cost and lengths of stay have been highlighted in cases of nosocomial infection, including nosocomial pneumonia, by the American Thoracic Society (ATS) and the Infectious Diseases Society of America (IDSA) [14,15-17]. Our study confirms that nosocomial pneumonia due to *S. aureus* or *P. aeruginosa* is associated with considerable patient morbidity and substantial hospital costs in France, with significantly longer lengths of hospital stay observed among patients with nosocomial pneumonia due to *S. aureus* or *P. aeruginosa* as compared to matched controls without nosocomial infection ( $p < 0.001$ ). In addition to the cost incurred due to prolonged hospital stay, nosocomial pneumonia cases were associated with a significantly increased use of mechanical ventilation ( $p < 0.001$ ) and critical care unit stays compared to the matched controls ( $p < 0.001$ ). Notably, the total cost of treatment is also increased due to significantly higher administration of at least one expensive (liste T2A) drug among cases compared to the matched controls ( $p < 0.001$ ).

These findings are consistent with those previously reported in other countries. In a matched-cohort analysis of patients with ventilator-associated pneumonia (VAP) in the United States (US), Kollef and colleagues observed that VAP patients were in hospital for an average of 32.6 days vs. 19.5 for matched controls ( $p < 0.0001$ ) [18]. In a

retrospective study using data from US Nationwide Inpatient Sample database, patients with nosocomial pneumonia following intra-abdominal surgery were hospitalized a mean of 17.1 days compared to 6.1 days for those who did not acquire pneumonia ( $p < 0.001$ ) [16]. This compares to a mean index hospitalization duration of approximately 50 days compared to 13 days for cases and controls, respectively, in our study.

Prompt diagnosis can reduce the mortality and morbidity of patients with nosocomial infection [19]. Care should be taken to detect and prevent nosocomial infection early because despite the high treatment cost, it was observed in our study that death occurred during the index hospitalization in a significantly higher proportion of cases compared to the matched controls ( $p < 0.001$ ) [16]. Increased mortality has been previously associated with nosocomial pneumonia: a study by Guzman-Herrador and colleagues demonstrated that nosocomial pneumonia is independently associated with mortality in hospitalized patients [20,21], and a systematic review of VAP studies found the odds of mortality to be 2.03 higher in patients with VAP compared to those without VAP [22]. The ATS/ IDSA 2005 guideline reported an estimated mortality rate of 33% to 50% for patients with VAP, which was consistent with our observed mortality of 29.7% in the *S. aureus* cohort and 38.8% in the *P. aeruginosa* cohort [14].

By DRG code, using univariate analysis, the cost per index hospitalization for cases was approximately €21,000 for cases compared to less than €6,500 for the controls ( $p < 0.001$ ). Similar significant high cost was associated with nosocomial infections compared to matched controls in terms of daily costs. Costs for cases were in fact higher when assessed using the daily cost estimation method compared to the DRG cost. The trend continued through 90 days post-discharge from index hospitalization. By multivariate analysis, at 90 days after discharge from the index hospitalization, costs

per case of *S. aureus* pneumonia were €20,814 and €22,662 using the DRG and daily cost methods, respectively; for *P. aeruginosa*, comparable figures were €20,880 and €26,766. By either method, control case costs were less than €8,000. Our observation of higher costs for patients with nosocomial pneumonia is also consistent with the published literature in a French population of nosocomial pneumonia following head and neck cancer surgery [23] and a US population of nosocomial pneumonia following intra-abdominal surgery [16], as compared to matched populations without nosocomial infections. In our analysis, *P. aeruginosa* pneumonia had slightly higher costs than *S. aureus* pneumonia patients. This may be due to the longer length of stay observed and higher use of expensive anti-infectives in the *P. aeruginosa* pneumonia cohort.

It is noteworthy that one-third of patients in both pneumonia cohorts of our study had antibiotic-resistant infections, potentially contributing to higher costs. The presence of antimicrobial resistance increases the risk of inappropriate therapy [24,25]. Resistant infections may lead to treatment failures and the need to use second or third-line antibiotics, increased length of stay, and/or mortality. Studies have also demonstrated that healthcare resource utilization typically rises with failure of initial empiric therapy [24-27].

A study on referral patterns between hospitals for nosocomial infections in the Netherlands highlighted that patients referred through the Dutch National Health Care Networks form a bridge between hospitals and provide a path that can facilitate the spread of hospital-acquired infections, such as methicillin-resistant *S. aureus*, between hospitals [28]. Our study also demonstrated a higher proportion of hospital-transferred patients among cases compared to the controls (*S. aureus* cohort: 18.7% vs. 7.2%; *P. aeruginosa* cohort: 17.5% vs. 6.0%). This suggests a plausible route of spreading infection between hospitals through transferred patients, thereby necessitating proper hospital infection control measures for such referred patients.

Strengths of this study include the data source, which represents all hospitalizations in French public and private hospitals and is tied to reimbursement, thereby ensuring completeness of data. Thus, this retrospective study took into account the countrywide French adult population as a representation of nosocomial pneumonia due to *S. aureus* or *P. aeruginosa*; no selection bias was possible, as there was no sampling (all identified cases were included). The study's sample size was also very large, contributing to the ability to find controls. An additional strength is the evaluation of costs by two different approaches, wherein one approach was independent of the length of stay, while the other approach was based on the total length of stay and on the use of specific medical resources (i.e. costly drugs, expensive devices, emergency room referrals), which are not specifically time-dependent. It was assumed that if the actual length of stay is longer than the national mean length of stay associated to a DRG, the daily cost estimation is closer to actual expenses for a given disease. Our study demonstrated that this was the case for nosocomial pneumonia, thereby warranting accuracy of the cost estimates.

There are also limitations to our analysis. First, the lack of a specific ICD-10 code for nosocomial pseudomonas or staphylococcal pneumonia may have affected correct identification of all cases. In addition, subjects and cases could not be fully matched, with differences in specific comorbidities evident at index hospitalization; there was a significantly increased likelihood that cases suffered from congestive heart failure, chronic pulmonary disease, cerebrovascular disease, hemiplegia/paraplegia, renal disease, or peptic ulcer disease as compared to controls at the time of index hospitalization. Finally, only

hospitalization costs were included in the analysis, with outpatient costs not represented. This may lead to an under-estimation of the total cost for nosocomial infections through 90 days post-discharge. However, the objective of this study was not to calculate the overall cost of nosocomial infections, but to evaluate the additional hospitalization related cost represented by these infections when compared to matched controls. In addition, the analysis through 90 days post-discharge represents costs for a greater number of days for cases than for controls, given the longer hospital stay for cases; however, since the majority of cost was incurred during index hospitalization, these factors would not alter the conclusion considerably.

It should also be noted that the PMSI database does not provide the date of admission. In this database, hospitalizations are presented by the month of discharge and the duration of stay in days. As a result, the exact dates of admission and discharge are unknown. Although this limitation is pertinent for both sub-groups of patients and its effect on the comparison tables is limited, the number of follow-up days was calculated after the month of discharge and, therefore, is not precise. Finally, the PMSI database is an administrative claims database that is designed for payment and not for analytical purposes. Thus, it may be subject to potential coding bias, inconsistencies, and missing data, thereby leading to under-coded and under-estimated nosocomial infections.

Our study highlighted the considerable hospitalization cost and morbidity burdens associated with cases of nosocomial pneumonia caused by *S. aureus* and *P. aeruginosa*, the two most common bacterial causes of nosocomial pneumonia. Although it cannot be concluded with certainty that the significantly higher costs among cases ( $p < 0.001$ ) are due to the nosocomial pneumonia alone, we tried to match controls with cases based on demographic and baseline disease characteristics, making it likely that the increased cost was attributable to nosocomial infection. Cases had longer initial stays with greater complexity of care; greater use of medical procedures (notably, mechanical ventilation); greater use of treatments included in the list of expensive drugs; and longer hospital stays upon readmission after discharge from the index hospitalization compared to the controls.

In our analysis, all cases of pneumonia were nosocomial infections and, therefore, were potentially preventable. By virtue of multivariate analysis, the study was able to identify potential factors driving the occurrence of nosocomial pneumonia in France. Hospitals should, therefore, consider focusing on the factors associated with development of nosocomial pneumonia, including those identified through our analysis, to target infection prevention efforts and new therapies on patients at highest risk of developing nosocomial pneumonia. The increasing growth of resistance and relative dearth of new effective antibiotics underlines the urgent need of measures and recommendations to better control nosocomial infection occurrences. In line with the snapshot of the French situation presented in this study, evidence across other geographies can help consolidate the cost burden of nosocomial pneumonia and identify potential preventable factors on a global scale.

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HSJ, JF, and KR analyzed and interpreted the data, and edited the paper; and all authors reviewed and approved the final version of the manuscript for submission.

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