

## Links between Autonomic Dysfunction and Metabolic Syndrome

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### Abstract

The autonomic nervous system (ANS) plays a key role in the control of a number of vital functions including cardiovascular, endocrine/neurovascular, gastrointestinal, genitourinary, pupil and thermoregulatory functions. Its abnormalities have been associated with early mortality, sudden death, silent myocardial infarction, gastrointestinal diseases.

The manifestation of the ANS dysfunction in several human diseases is underestimated. Evidences exist on the important role of ANS dysfunctions in different clinically relevant conditions, including diabetes mellitus, chronic functional constipation, scleroderma, thalassemia major.

Beside the classical evaluation in patients with diabetes mellitus, little is known about the effects of metabolic factors on ANS dysfunction. The metabolic syndrome (MetS) includes a cluster of frequent abnormalities (impaired fasting glycaemia, dyslipidemia, arterial hypertension and increased visceral adiposity) predisposing to the atherosclerotic changes and increased cardiovascular mortality. Early signs of autonomic dysfunction are often found in subjects with MetS even in the absence of diabetes. Epidemiological studies demonstrated that diabetics display a cardiovascular risk which is twice that of sex- and age-matched non-diabetic population. Manifestations of such a high cardiovascular risk of subjects with DM are the frequent silent myocardial infarctions (MI)s of diabetics which are often due to impaired cardiovascular autonomic function. Only recently major attention has been given to the interactions between impaired glucose tolerance (IGT) and cardiovascular autonomic dysfunctions. When increased waist circumference (one of the features of the MetS) and IGT are both present, cardiovascular autonomic dysfunction also occurs. Some adipokines (e.g. adiponectin) seem to play a role in cardiovascular risk and autonomic dysfunction. This review will therefore focus on some subtle aspects linking ANS dysfunction and MetS.

**Keywords:** Cardiovascular; Metabolic syndrome; Autonomic neuropathy; Adipokines

**List of Abbreviations:** ANS: Autonomic Nervous System; CAF: Cardiovascular Autonomic Function; CAN: Cardiovascular Autonomic Neuropathy; CT: Cough Test; CVD: Cardiovascular Disease; DB: Deep Breathing; DCCT: The Diabetes Control and Complications Trial; DM: Diabetes Mellitus; EDIC: Epidemiology of Diabetes Interventions and Complications; IGT: Impaired Glucose Tolerance; HRV: Heart Rate Variability; LS: Lying to Standing; MI: Myocardial Infarction; MetS: Metabolic Syndrome; OSAS: Obstructive Sleep Apnea Syndrome; PH: Postural Hypotension; PNS: Parasympathetic Nervous System; SNS: Sympathetic Nervous System; SST: Sweat Spot Test; VS: Valsalva Manoeuvre

### Introduction

Epidemiological studies unequivocally show that subjects with metabolic syndrome (MetS) as well as subjects with Diabetes Mellitus (DM) are at increased risk of cardiovascular diseases (CVD).

Subjects displaying a cluster of factors predisposing to the Atherosclerotic Cardiovascular Disease might be included in the syndrome named Syndrome X [1-3], (Table 1) and show a risk for stroke and coronary heart disease which is threefold higher as compared with that of controls [4]. Diabetics display a risk for CVD which is twice that of sex- and age-matched non-diabetic population and they frequently experience silent myocardial infarctions (MI)s [5,6]. Clinically unrecognized MIIs might be the consequence of an impaired cardiovascular autonomic function which finally evolves to an overt cardiovascular autonomic neuropathy (CAN).

As far as the links between DM and MetS are concerned, subjects with Type 2 DM obligatory have one of the diagnostic criteria of MetS (glycaemia  $\geq$  100 mg/dl), but they do not always show the other

diagnostic features for the MetS. However, in subjects with DM, cardiovascular risk becomes higher when clinical features of the MetS are present along with DM [7,8]. When an impaired balance between the sympathetic and parasympathetic regulation of the cardiovascular function arises, a worsening of the prognosis of Diabetes already occurs.

In this review we will report recent updates on the link between autonomic dysfunction and the presence of MetS with respect to screening tests, and coexistence of several metabolic abnormalities.

### Screening Tests for Autonomic Dysfunction

In the Rochester Diabetic Neuropathy study no correlation was often found between autonomic symptoms and autonomic cardiovascular tests in subjects with Type 2 Diabetes [9,10]. Therefore an analysis of cardiovascular reflexes with tests which are sensitive, and non-invasive represent the only way to confirm the diabetic CAN. The cardiovascular reflexes are automatic responses in which heart and vascular functions are modified by stimulating different receptors involved in the control of heart rate, circulating blood volume, blood vessel diameter (vasodilation and vasoconstriction).

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Central obesity (necessary)
Plus any two of the following conditions:
2. Elevated triglycerides (>150 mg/dl)
3. Decreased HDL cholesterol (<40 mg/dl in males; <50 mg/dl in females)
4. Elevated arterial blood pressure (>130/85 mmHg)
5. Elevated fasting blood glucose (>100 mg/dl)

**Table 1:** Diagnostic criteria for the metabolic syndrome according to International Diabetes Federation.

Test	PNS	SNS	Both PNS/SNS
CT	+		
DB	+		
HRV			+
LS	+		
PH		+	
SST	+		
VS			+

Abbreviations: CT: Cough Test; DB: Deep Breathing; HRV: Heart Rate Variability; LS: Lying To Standing; PH: Postural Hypotension; PNS: Parasympathetic Nervous System; SNS: Sympathetic Nervous System; SST: Sweat Spot Test; VS: Valsalva Manoeuvre;

**Table 2:** Sympathetic and parasympathetic screening tests.

The tests based on cardiovascular reflexes include Beat-to-beat heart rate variation (DB), heart rate changes after standing (LS), heart rate response to Valsalva maneuver (Vs), heart rate changes induced by cough (cough test, CT), systolic blood pressure response to upright position (PH) [11,6,12-14], (Table 2). All tests are usually performed with portable computerized systems that are used for step-by-step performance of several cardiovascular tests for autonomic neuropathy. Tests are performed after an overnight fast but never after overnight hypoglycaemia. It was unequivocally demonstrated that hypoglycaemia blunts vagal baroreflex sensitivity and sympathetic response to hypotension.

Before each test, subjects are instructed to refrain from smoking and drinking coffee for at least 8 h, to lying in the supine position for at least 30 minutes and a basal ECG is registered.

For DB, a parasympathetic function test, a 1min-ECG is performed when the subject is lying supine and deeply breathes 6 times per minute. The expiration/inspiration R-R ratio is calculated and compared with that found in age-matched control subjects.

For LS, a parasympathetic function test, the patient is invited to stand suddenly and the R-R interval is measured at beats 15 and 30 after standing and the 30/15 ratio is calculated.

For VS, the patient exhales for 15 min into the mouthpiece of a manometer exerting a pressure of 40 mmHg and the ratio between the longest and the shortest R-R interval is measured.

For PH assessment, supine systolic blood pressure is measured after the patient is lying down for 30 min and orthostatic blood pressure after the patient is suddenly standing for 2 minutes. Orthostatic hypotension is diagnosed when the fall in systolic blood pressure levels is  $\geq 30$  mmHg or that of diastolic BP fall was  $\geq 10$  mmHg in response to the postural change from the supine to the upright position [15]. Orthostatic hypotension is known to reflect sympathetic dysfunction [16] (Table 2).

CT, a parasympathetic test function, evaluates the cough-mediated increase in heart rate. During the test the patient is in the supine position, ECG is performed when patient breathed for 15 seconds

(basal) and again when he coughed 3 times. The R-R ratio between the shortest R-R interval after the last cough and the mean R-R interval during regular respiration is calculated [13]. For each test the range of normal values is changing with age.

Another method to screen autonomic dysfunctions is to analyze heart rate variability [17]. It is measured in the resting position either over a period or for 24 hours. The time-domain and frequency-domain indices of heart rate variability are usually analyzed and power spectral analysis in the low frequency spectrum (0.05-0.15 Hz) and in the high frequency spectrum (0.15-0.5 Hz) and then the low frequency/high frequency ratio might be calculated by specific software. In normal subjects the LF components prevail during the day while the components of the HF spectrum are predominant during the night. The explanation is that the sympathetic activity (responsible for the low frequency components), is mainly present during the day, while the vagal activity is predominant during night [7].

Sympathetic skin response (SSR) and Quantitative pupillography have been also recently used to screen autonomic fuction in children and adults, as elsewhere reported [18-22].

### Impaired Autonomic Function in Metabolic Syndrome and Diabetes

Because of CAN, diabetics might not only experience silent myocardial infarctions but also silent hypoglycaemia and a high ASA risk during major surgery. Autonomic nervous system is anatomically poorly accessible and few direct physiological tests are available to study cardiovascular autonomic function (CAF). Therefore, some indirect clinical tests are used as screening tests which detect impaired CAF on the basis of heart responses to a simple stimulus [23]. In subjects with abnormal screening tests, the diagnosis might be completed with more sensitive techniques, but indirect screening tests help to select candidate subjects for more sophisticated analyses [23]. The diagnosis of CAN is usually made on the basis of the criteria of Ewing recently revised by Spallone et al. [17], but often when two tests are already impaired and the diagnosis of CAN is made might be too late to reverse the prognosis. Viceversa, sometimes early parasympathetic neuropathy may improve. Of note, in a longitudinal study [24], Gottsäter et al. demonstrated that after 7-10 years a subgroup of patients who were diagnosed with parasympathetic neuropathy, did not fulfil the criteria for the diagnosis anymore because of improved metabolic control. Therefore in a recent study, we thought to consider as an early deficit of CAF the detection of at least one pathological test. CAF was analysed by utilizing five different tests in a cohort of relatively young subjects with T2D. To each abnormal test we gave a score to establish a grading of severity of impaired CAF. In our cohort, the occurrence of 2 abnormal tests was rare, but the prevalence of at least one abnormal test was as high as 33.9%. In two multicenter studies and a population study of type 2 diabetics, the prevalence of CAN was 16-22% [25,26], and slightly lower than the prevalence found in our study. A plausible explanation is that the authors used only 2 (i.e., DB, LS) or 3 (i.e., DB, LS, PH) screening tests, whereas in our cohort, 5 tests (i.e., DB, LS, CT, VS, PH) were invariably performed in triplicate, likely increasing the sensitivity of tests. Concerning MetS in our young cohort, 65% subjects had MetS according to IDF, but the prevalence of MetS among subjects showing at least one abnormal test for CAF was more than 85%. A significant positive correlation between impaired CAF and MetS was confirmed with two different models of multivariate analysis. It was previously assessed an association between parasympathetic dysfunction (pathologic cardiac response to DB) and some features of the MetS according to WHO [27]. However, our report demonstrates

that, MetS according to the criteria of IDF, is associated with a higher occurrence of an early deficit of CAF in a relatively young cohort of type 2 diabetics. In the same cohort we also analyzed the possible associations between the single components of MetS and the detection of an early deficit of CAF.

We found a significant correlation between the occurrence of at least one pathologic test for CAF and overweight (BMI >25), which supports the negative role played by the excess of body fat on cardiovascular risk. Overweight also has a negative effect on glycaemic control. In this line of evidence in our study we demonstrate a significant association between high HbA1c values (HbA1c >7.0%) and the occurrence of at least one abnormal test [8]. HbA1c is an established parameter to assess mean glycemia over the preceding 3 months.

Many studies have already demonstrated that either an acute or a chronic poor glycaemic control might facilitate the appearance of CAN [28-30]. These data suggest a long term benefit of intensive therapy on microvascular complication explained by the treatment group differences in mean HbA1c levels over time. Moreover, in EDIC study patient with CAN showed an increase in left ventricular mass and mass-to-volume ratios compared with diabetics without CAN (p 0.0001 for each), changes consistent with left ventricular concentric remodeling that were independent of age, sex, and other traditional cardiovascular risk factors. From different meta-analysis the median value of mortality after 5 years was around 25% in diabetics with CAN and 4% in diabetics without CAN. If the diagnosis of CAN was based on the occurrence of 2 abnormal tests the relative risk of mortality was 3.5 [31,32]. Subjects from EDIC were recently analysed to test the association between testosterone levels and CAN, but "Testosterone levels" were not "associated with CAN among men with type1 diabetes" [33].

Interestingly, improving the glycaemic control also counteracts the early deficit of CAF or stops its progression (DCCCT 1993). In studies utilizing heart rate variability as an index of CAF, mild CAF abnormalities improved if HbA1c values decreased from 9.5% to 8.4% [34,35].

A strong association was found between the duration of Diabetes and CAN [36]. Both PH and decreased heart rate variability are more frequent and severe 5 years after the diagnosis of Diabetes [36]. Unexpectedly, we found no association between CAF score and the duration of Diabetes, however, the subjects of our cohort [8], probably had a better metabolic memory than that of subjects from previous studies, not only because they were younger than those considered in previous studies, but also because they experienced a program of education to healthy life-style together with insulin and/or oral anti-diabetic agents of last generation since the onset of Diabetes.

Several factors associated with MetS might account for sympathetic over-activity. Excess of abdominal body (central obesity) is one of the obligatory component of MetS and increased central/visceral fat results in overproduction of inflammatory adipokines which also play a role in some pathogenic pathways inducing autonomic impaired balance and enhancing cardiovascular risk. The two hormones leptin and adiponectin (both originating from visceral adipose tissue), appear to be involved as well, since increased circulating levels of leptin and decreased circulating levels of adiponectin stimulate sympathetic overflow.

Several reports show that a higher cardiovascular risk is present in subjects displaying a cluster of factors predisposing to the Atherosclerotic Cardiovascular Disease and included in the syndrome named MetS (Table 1) [2,3]. Subjects with T2D always have one

of the diagnostic criteria of (glycaemia  $\geq$  100 mg/dl), but do not obligatorily show other diagnostic features for MetS. Interleukin-6 is a multifunctional cytokine that plays a central role in inflammatory responses.

In both MetS and Diabetes C-reactive protein (CRP) and interleukin-6 (IL-6) play a pathogenetic role. C-reactive protein (CRP) and interleukin-6 (IL-6) are strongly interrelated since CRP is produced in the liver in response to IL-6 in the acute-phase of inflammation. Both CRP and IL-6 are inflammatory markers and they have been found to be inversely related with reduced heart rate variability in a study concerning more than 200 male twins who had never had any symptom of coronary artery disease. Nonetheless, diabetic polyneuropathy correlates with CRP and IL-6 levels [36], the possibility exists that impaired autonomic function might induce chronic low-grade inflammation, but it has also been suggested that inflammation might induce cardiovascular dysfunction [36].

Early in the development of autonomic dysfunction, there was loss of heart rate variability (HRV), and this correlated with an increase in circulating markers of inflammation, such as C-reactive protein (CRP) and IL-6. Of great interest to us was the loss of HRV as well as the loss of sympathetic/parasympathetic balance, even before the advent of inflammation. Cardiac autonomic imbalance also correlated with markers of adipose tissue-derived inflammation (adiponectin-to-leptin ratio) and this was seen early in type 2 diabetes patients.

An increased sympathetic tone and decreased vagal response usually account for an impaired autonomic balance. In isolated adipocytes, an increased sympathetic tone is usually associated with inflammation since after  $\beta$ -adrenergic stimulation the levels of IL-6 are increased [37]. In several studies increased levels of acetylcholine and/or electrical stimulation of vagal nerve endings were associated with a reduced release of cytokines from inflammatory cells (macrophages) [38].

In central Obesity increased circulating leptin levels are present which might account for the activation of sympathetic nervous system found in MetS. Human leptin is a protein of 167 amino acids. It is mainly synthesized in the adipocytes of white adipose tissue, and there is a direct correlation between the total amount of fat in the body and the circulating levels of leptin [39,40].

Very recently it was unequivocally demonstrated that Leptin responsive neurons exists in the nucleus of the solitary tract (NTS) which is one of the main regulatory sites of the sympathetic nervous system. Interestingly, the long form of the leptin receptor (Ob-Rb) has been detected in NTS of Sprague-Dawley rats and leptin injection in NTS elicits sympathoexcitatory responses through the stimulation of Ob-Rb and mediate chemoreceptor afferent information to specific areas of the rostral ventrolateral medulla (RVLM) which are involved in the reflex control of arterial blood pressure [41]. Hyperleptinemia is associated with increased fat mass and human obesity. Interestingly, leptin is known to play a role in food intake regulation and energy balance at the level of several areas of the hypothalamus which are also involved in the switching on of the sympathetic nervous system. In animal models displaying leptin-resistance (db/db mice), diabetes and obesity are associated with distraught circadian rhythm of blood pressure [42]. To support the idea that leptin effects were mediated by the activation of the sympathetic nervous system there are studies demonstrating that intravenous infusion of leptin induces an increase in both arterial blood pressure and heart rate which is completely blunted by  $\beta$ -adrenergic blockers [43,44].

However, hyperleptinemia can be considered a marker of increased sympathetic tone and leptin insufficiency is associated with reduced sympathetic activity.

Not only low-grade inflammation but also hypo-adiponectinemia ensue in MetS and obesity. Adiponectin is a secretory protein uniquely encoded by adipocytes in different mammal species. Adipose tissue is richly innervated by both sympathetic and parasympathetic nerve endings and an impaired balance between the two autonomic branches has been claimed to influence adiponectin and cytokine secretion thus changing the inflammatory state of pathologic conditions characterized by visceral fat accumulation (e.g. metabolic syndrome, diabetes) [45].

Little is known about the effect of adiponectin in the regulation of sympathetic nervous system. However Adiponectin injection in the hypothalamic paraventricular nucleus depolarizes parvocellular neurons controlling both autonomic function and endocrine pathways [46]. Adiponectin plays a hypotensive effect either by modulating the NPY neurons of the NTS or stimulating the renal sympathetic nerve endings [46, 47]. By contrast in conditions characterized by an increased sympathetic tone (e.g. cold exposure) serum adiponectin levels are suppressed [48]. In subjects with Type 2 Diabetes a direct correlation exists between increased vagal activity and adiponectin circulating levels [49].

Recent evidences suggest that a transient autonomic imbalance occurs before diabetes and/or overt autonomic neuropathy. New insights in the relationships between glucose tolerance and autonomic function have been recently pointed out. In subjects with impaired glucose tolerance (IGT), heart rate variability is already impaired. Heart rate analysis represents a non-invasive method to test cardiovascular autonomic function. However, some other studies demonstrate that in subjects with impaired glucose tolerance neither heart rate variability nor cardiovascular reflex tests (deep breathing, heart rate response to Valsalva maneuver, blood pressure response to standing up quickly) are sometimes able to discover a mild initial form of autonomic neuropathy which might be early detected with sympathetic skin response (SSR) that evaluates postganglionic sympathetic sudomotor function. In patients with IGT the amplitude of the SSR of two different limbs (right arm and leg) is often lower than that found in healthy subjects [50]. These data support the view that sympathetic sudomotor function might be impaired before cardiovascular autonomic function but unfortunately the above mentioned studies did not directly analysed the cardiovascular risk in subjects showing impaired SSR and it is possible that impaired SSR does not correlate with any other metabolic abnormality except for glucose tolerance.

In normalweight and normotensive individuals short-term hyperinsulinemia, which is one of the features of pre-diabetes, was already able to induce a decrease in heart rate variability [53]. Hyperinsulinemia is one of the features of pre-diabetes and the possibility exists that the early impairment of the cardiovascular reflex function occurring in both IGT and hyperinsulinemia/insulin-resistance may be the cross-bridge between the increased cardiovascular disease risk and the early changes in glucose tolerance [53].

### **Autonomic Imbalance associated with Cardiovascular Risk, Metabolic Syndrome and Obstructive Sleep Apnea Syndrome**

Subjects with MetS show an impaired function of the autonomic system. In different reports central obesity, insulin resistance and increased levels of high blood pressure which represent the features of the MetS, are accompanied by an increased sympathetic tone [54,55].

Before overt diabetes, several subjects might experience pre-diabetes such as impaired glucose tolerance and hyperinsulinemia. In both conditions circadian regulation of cardiac autonomic function is compromised. In lean normotensive individuals [56], demonstrated that 2-day hyperinsulinemia reduced heart rate variability during night and blunted the nocturnal lowering of the arterial blood pressure.

It might be argued that the early impairment of the cardiovascular reflex function may represent the link between cardiovascular disease and early changes in glucose tolerance [55]. The cardiovascular reflex function includes a group of reflexes in which heart and circulatory functions changes in response to variations in heart rate, vascular tone, blood volume, or other variables.

Several studies demonstrate an increased activity of the sympathetic nervous system (SNS) in hypertension and insulin resistance which are components of the metabolic syndrome and/or Syndrome X. Central obesity is an obligatory component of the MetS according to the International Diabetes Federation (IDF) and it is also associated with SNS hyperactivity. However, it is difficult to establish whether the impaired function of SNS is one of the causes of or one of the comorbidities of such a syndrome.

In a study involving more than 1000 subjects, an impaired function of the ANS seemed to be already present in subjects showing only one of the abnormalities of the MetS, even before the appearance of insulin resistance [56].

Therefore it is reasonable to believe that an impaired autonomic balance might be associated with the early negative changes of gluco-metabolic control and might play a potential role in switching on the pathogenic pathways which lastly produce diabetes [57].

Very often the excess of central fat is not only associated with diabetes and/or but also with obstructive sleep apnea syndrome (OSAS). This feature is clearly evident in subjects with severe forms of obesity undergoing bariatric surgery. The increased circulating levels of CO<sub>2</sub> which are present in subjects with OSAS might be themselves responsible for an increased low-grade inflammatory state which favor sympathetic overactivity. To support the idea that fat excess is associated with autonomic dysfunction, Blüher's group recently demonstrated that overweight and obese children without diabetes or impaired glucose tolerance already showed a decrease in both parasympathetic and sympathetic activity.

### **Lifestyle and Sympathetic Autonomic Dysfunction**

Few studies considered the dietary habits of subjects showing impaired cardiovascular autonomic function (CAF). In a recent paper from our group we screened for cardiovascular autonomic neuropathy 180 subjects with type 2 diabetes with mean age of 48 years and we also analyzed whether any relationship existed between the nutritional habits of our cohort and the occurrence of any deficit of the autonomic function [8]. Interestingly, subjects with impaired CAF more often had MetS as compared with subjects with normal CAF but also eat a higher amount of saturated fat (higher amount of cheese and fat meat) as compared with diabetics who did not show any sign of abnormal CAF and MetS. Unexpectedly, subjects with impaired CAF and MetS chose a western-style diet even if they were living in a mediterranean area [8]. Some data already exist about subjects with MetS who showed an improvement in their chronic low-grade inflammatory state (reduction in serum concentrations of C-reactive protein, interleukin-6, insulin-resistance, and improved endothelial function score) after experiencing a mediterranean-style diet (high content of whole grains, fruits, vegetables, nuts, and olive oil). This dietary model seems to be

more effective than a balanced low-fat diet [58]. These data support the idea that lifestyle intervention program might reduce the risk of impaired autonomic function as already demonstrated by the Diabetes Prevention Program (DPP) where a low-glycaemic index and low-fat diet plus moderate exercise reduced the risk of autonomic neuropathy of one forth [59]. Regular exercise as well as dietary intervention program might also prevent autonomic dysfunction. In type 2 Diabetes, chronic aerobic activity (three-month exercise) restored heart rate variability, baroreceptor sensitivity and vagal activity [60]. However exercise can be significantly increased only in early cardiac autonomic neuropathy, by contrast dietary intervention might be applied in any kind of autonomic dysfunctions and also in the presence of resting tachycardia, which is the extreme manifestation of severe autonomic neuropathy.

## Conclusion

In conclusion, cardiovascular autonomic dysfunction is usually considered as a complication of diabetes, by contrast recent data point out its role at the very beginning of the cascade of events inducing the chronic low-grade inflammation which represents the “*primum movens*” of both pre-diabetes and MetS. An emerging insight is the early impairment of autonomic function in childhood obesity in the absence either of overt diabetes or impaired glucose tolerance. However lifestyle intervention might improve and/or delay autonomic neuropathy and/or MetS either in childhood or adulthood.

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