Optimization of Total Carotenoid Production by *Halorubrum* Sp. TBZ126 Using Response Surface Methodology

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**Abstract**

Carotenoids are one of the most diverse and broadly distributed classes of pigments in nature with a high number of biotechnological applications. Carotenoids have a broad range of functions, especially in relation to human health and their role as biological antioxidants. The increasing demand for consumption of natural carotenoids has raised interest in their bio-production. The objective of the present study was the analysis of environmental factors (temperature, pH and salinity) through response surface methodology (RSM) on the total carotenoid production of *Halorubrum* sp. TBZ126. In addition the effect of light was evaluated. Five levels of temperature, pH, and salinity were selected based on central composite design (CCD) and RSM to reach the optimum values for the cell growth and carotenoid production. Bio-production was carried out in an orbital shaker using a 10% (v/v) inoculum, and agitation at 120 rpm for 9 days in a non-illuminated environment. Dry cell weight was determined and total carotenoid was estimated by spectrophotometer. The production of biomass ranged from 0.04 to 0.84 g/l and the total carotenoid from 0.15 to 10.78 mg/l. The optimum conditions for cell growth and total carotenoid production in *Halorubrum* sp. TBZ126 cultures, were temperature 31ºC and 32ºC, pH 7.51 and 7.94 and NaCl (w/v) 18.33% and 20.55%, respectively. In conclusion, employing RSM design and under the light exposure as an inducing factor, carotenoid production by *Halorubrum* sp. TBZ126 was elevated by 45%. Additionally, TBZ126 could produce carotenoids at lower concentrations of NaCl (as low as 2.5%), in the absence of sodium acetate without elevating magnesium sulfate concentration.

**Keywords:** Carotenoid; *Halorubrum*; Light; Optimization; Salinity; Temperature

**Introduction**

Carotenoids are natural pigments synthesized by bacteria, fungi, algae, and plants, and more than 750 different carotenoids have been isolated from natural sources [1]. These pigments are generally hydrophobic compounds which will therefore have a tendency to be associated with lipid or in hydrophobic structure such as membranes. Animals cannot synthesize carotenoids, so their presence is due to dietary intake [2]. Carotenoids are important as nutraceutical compounds and natural lipophilic antioxidants. The antioxidative potential of carotenoids depends on their chemical properties, such as the number of conjugated double bonds, structural end groups, and oxygen-containing substituents [3].

The demand and market for carotenoids is anticipated to change with the discovery that carotenoids exhibit tumor suppressing activity and play an important role in the prevention of chronic diseases. In addition to their antioxidant properties, a number of unexpected biological effects of carotenoids, for example, in junctional communication and gene regulation, have been recently discovered and attributed to their tumor suppressing activity boosting the interest in evaluating the pharmaceutical potential of carotenoids [4]. The commercial demand of carotenoids is mainly met by chemical synthesis and to a minor extent by extraction from natural sources [3]. Food (i.e., plants or food processing wastes) and microorganisms are examples of carotenoids’ natural sources. Unlike microorganisms, the production of carotenoids from food has many disadvantages, such as season fluctuation, limited resources, competition with the food industry, and requirement of land beside complicated extraction and purification process. For instance, the carotenoids produced from plants contain mixtures of carotenoids, fats, oils, waxes, and unsaponifiable compounds. Microbial carotenoids are cell-bound pigments that are produced inside the cells and do not diffuse in the agar. Carotenoids give the microbial colonies their distinctive color (i.e., yellow, orange, pink, or red) [5].

Several algae (*Dunaliella*, *Dictyococcus* and *Haematococcus*), bacteria (many species of eubacteria in addition to halobacteria in archaeabacteria), some filamentous fungi (belong to lower fungi and Ascomycetes) and yeasts (*Cryptococcus*, *Phaffia*, *Rhodosporidium*, *Rhodotorula*, *Sporidiobolus*, and *Sporobolomyces*) are reported to produce carotenoids [6]. Nevertheless, the microbial production of carotenoids is still awaiting lot of challenges to reduce cost and simultaneously increase productivity possibly by increasing biomass production and/or carotenoid synthesis, for example by selecting a strain that grows fast, accumulates high amount of carotenoids, facilitates the extraction and purification processes of carotenoids, and has a lower cost production [5].

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Received April 17, 2014; Accepted June 16, 2014; Published June 23, 2014


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Microorganisms classified under the domain archaea are gaining tremendous attention for their ecological distribution, biochemistry, physiology, molecular biology and biotechnological applications [7]. Among the three major groups of archaea, i.e., the methanogens, the thermophilic sulfur utilizers, and the extreme halophiles, the latter group has the most metabolic diversity [8]. Most of halophilic archaea in the family of Halobacteriaceae are brightly red-orange because of their red membrane consisting of carotenoid pigments [9]. The pink-red color of the hypersaline environments is caused by bacterioruberin and other bacterioruberin derivatives present in the family of Halobacteriaceae and also β-carotene derived from Dunaliella salina [10,11].

Among the red-colored metabolites of extremely halophilic archaea, bacterioruberin is considered the major component of the C0 carotenoids. Bacterioruberin is known to contain 13 pairs of conjugated double carbon bonds, endowing biological tissues with effective hydroxyl free-radical scavenger power and singlet oxygen quenching activity. This pigment can protect Halobacteria from fatal effective hydroxyl free-radical scavenger power and singlet oxygen conjugated double carbon bonds, endowing biological tissues with resistance to oxidative DNA damage from radiography, UV-irradiation, and H2O2 exposure. Bacterioruberin also has other equally important roles for membrane fluidity, including its function as a water barrier and responsibility for the permeability of oxygen and other molecules, thus enhancing bacterial survival in hypersaline and low-temperature environments [12].

According to the literature, the types of carotenoids and their relative amount may vary depending on the cultivation medium, temperature, pH, salinity (for halophilic (micro)organisms), rate of aeration, luminosity, inducers chemical agents and so on [13-20]. Thus, the development of optimization studies regarding the effect of temperature, pH and salinity on the production of carotenoids is necessary to reduce costs and improve yields [21]. Indeed it is necessary to understand both the factors that determine the most efficient cell growth and those that determine maximum carotenoid production. Many studies have been undertaken to evaluate conditions for optimal carotenoid production, however, it is not possible to draw general conditions. Therefore conditions must be determined for each individual microorganism [22]. To our knowledge, there is no report regarding optimization of growth condition and carotenoid production by Halorubrum sp. TBZ126 and Halorubrum chaoviator as the most closely genetically related archaeon to it.

The main problem with the one-factor-at-a-time approach is that possible interactions between factors will go unnoticed, when in reality, interactions between factors are very common and important. Statistical experimental methods such as full or fractional factorial designs, central composite design (CCD), and response surface methodology (RSM) can be used in microbial processes to determine the main effects and interactions of the factors that play basic roles in the fermentation processes. On the other hand, RSM, a collection of statistical methods, is a useful tool that can be used to construct the model, design and test the trials, seek and optimize the significant factors, identify the optimum conditions, and establish the numerical correlations for the target responses. RSM has been successfully applied in various fields such as food manufacturing processes, medium composition and fermentation conditions. In recent years, these statistical design methods have also been applied to screen out and optimize the significant factors for carotenoid production by carotenogenic microbes. Also, various statistical experimental designs for optimization of fermentation parameters may allow more efficient and more economical carotenoid biosynthesis via microbial fermentations [23].

In the present work, following our previous study about identification of an extremely halophilic archaeon, Halorubrum sp. TBZ126 from Urmia Lake and analysis of its carotenoid profile [24], we aimed to optimize the culture conditions for both cell growth and total carotenoid production by Halorubrum sp. TBZ126. For this purpose, a statistical experimental design was employed rather than the one-factor-at-a-time approach. Therefore, the effects of various factors including temperature, pH and salinity could be simultaneously investigated. In addition the effect of light on cell growth and total carotenoid production in optimized condition was evaluated. The strain under study (Halorubrum sp. TBZ126) and Halorubrum chaoviator, the most closely genetically related archaeon to it, have not been studied before regarding to optimization of their cell growth and carotenoid production.

Materials and Methods

Microorganism

Halorubrum sp. TBZ126, an extremely halophilic archaeon isolated from Urmia Lake described previously [24], was employed in this study.

Experimental design and process optimization

CCD methodology was exploited to optimize the culture conditions for both cell growth and total carotenoid production by Halorubrum sp. TBZ126. A 21 factorial experimental design with 6 replicates at the center point and 6 axial points, thus a total of 20 experiments was conducted. The center point replicates were selected to verify any change in the assessment procedure, as a measure of exactitude property. The basal medium (marine broth [24]) was prepared with 15 combinations of the independent variables (pH and salinity). Five levels of temperature, pH and salinity were selected to reach the optimum values for the cell growth and carotenoid production. These values were: temperatures 15, 22, 32.5, 43, and 50°C (levels -1.68, -1, 0, 1, and 1.68 respectively), pH values of 4, 5.2, 7, 8.7, and 10 (levels -1.68, -1, 0, 1, and 1.68 respectively), and salinities (% w/v of NaCl) 2.5, 8.8, 16.25, 24, and 30 (levels -1.68, -1, 0, 1, and 1.68 respectively). The experimental results of the central composite design were fitted with a second-order polynomial equation by a multiple regression technique. The quality of fit of the second-order model equation was expressed by the coefficient of determination R2 and its statistical significance was determined by p-value. The response or dependent variables studied were total carotenoids (mg/l), cell mass (g/l) and carotenoid production as mg/g.

Preparation of inoculum

The 6 days old culture, at the logarithmic stage of growth, having an optical density (600 nm) of 0.65, was used as the inoculum in all experiments. These cultures were used as inoculum at 10% (v/v) for all the experiments. These cultures were used as inoculum at 10% (v/v) for all the experiments. The marine broth [24] was prepared with 15 combinations of the independent variables (pH and salinity). All experiments were conducted in 250 ml erlenmeyer flasks containing 90 ml of the growth medium. After inoculation, the flasks were incubated with shaking at 120 rpm in dark for 9 days. It is noteworthy that dissolved oxygen availability cannot be evaluated in shake flask cultivation systems. Additionally, Debelsius and colleagues measured oxygen solubility in natural samples with salinity values ranging from 0% to 133% and...
temperatures ranging from 8 to 35°C. They concluded that for salinity values of less than 40%, variation in oxygen availability is minor [25].

Growth curve and determination of cell mass

As time is an independent variable and must be kept fixed in all experiments, determination a fixed time for the trials was done by drawing growth curve of *Halorubrum* sp. TBZ126 growth in general condition (temperature 30°C, pH 7.5 and 25% salinity) that performed in our previous paper [24]. Therefore aliquots (1 ml) of the cultures were used to measure growth in terms of optical density (O.D.) at 600 nm of samples withdrawn aseptically from the flasks, with a spectrophotometer (Shimadzu UV-1800 Series, Kyoto, Japan). The experiment was carried out in triplicate.

Cell mass [dry cell weight (g DCW/l)] was determined as described previously [26,27]; 1 ml of culture sample in a pre-weighed tube centrifuged at 10,000 rpm for 5 min by the Beckman Coulter Allegra X-22R centrifuge. The supernatant was removed, the cells were washed with saline 1% and dry cell weight was measured by drying the wet pellet to a constant weight in an 80°C oven.

Pigments extraction and analysis

The extraction of carotenoids from the cell and determination of total carotenoids (spectrophotometrically) have been already described in our previous work [24]. In brief, 100 ml of marine broth cultures were centrifuged at 8,000 rpm for 10 min at 4°C. The supernatant was separated and a mixture of acetone-methanol (7:3 v/v) [21] containing butylhydroxytoluene (BHT) (0.1%; as antioxidant) was added to the pellet. The pelleted cells were then frozen and thawed using liquid nitrogen to facilitate extraction and followed by centrifugation at 10,000g for 10 min at 4°C. Successive extractions carried out until both solvent and cells were colorless. The solvent was evaporated under a stream of nitrogen and the pigments were dissolved in 10 ml of acetone (containing 0.1% BHT). Samples were wrapped with aluminum foil to protect them from light. Extraction solution UV-VIS spectra were recorded at 200-700 nm using a spectrophotometer (Shimadzu UV-1800 Series, Kyoto, Japan). The approximate content of total carotenoids was according to the formula described previously [24].

Effect of light

As mentioned above, all experiments conducted in dark. Thus for investigation the effect of light on cell growth and carotenoid production, TBZ126 strain was incubated in the orbital shaker (Shaking Incubator VS-8480, Korea) under constant white fluorescent light (36-Watt Dulux lamp). As time is an independent variable and must be kept fixed in all experiments. 9 days of incubation was being kept fixed in all experiments. Results of cell mass [dry cell weight (g DCW/l)] were included in Table 1. Accordingly, 9 days of incubation was being kept fixed in all experiments. Results of cell mass [dry cell weight (g DCW/l)] were included in Table 1.

Optimization of the medium

RSM was used to optimize environmental conditions (temperature, pH and salinity) for enhancing cell growth and total carotenoid production using a CCD. Cell growth (g/l) and total carotenoid production (mg/l) levels were recorded from each experiment. The CCD and the yields are shown in Table 1. The p values were used to check the significance of each coefficient. Lack of fit was significant.
at the 95% level (p<0.05). The models were then applied to statistical analysis (ANOVA) to neglect all terms that were statistically nonsignificant (p>0.05) (Tables 2 and 3). The reduced equations were expressed as follows:

\[ Y_1 = -72.32 + 2.28x_1 + 9.54x_2 + 0.85x_3 - 0.04(x_1^2) - 0.68(x_2^2) - 0.03(x_3)^2 \]

Where \( Y_1 \) and \( Y_2 \) are the predicted response for biomass (g/l) respectively and the coefficients are obtained from regression analysis.
test variables. The smaller the $p$-value, the more significant was the corresponding coefficient. Lack of fit was not significant at the 5% level ($p>0.05$).

The estimated coefficients and the corresponding $p$ values indicate that $X_1$ (Temperature), $X_2$ (pH) and $X_3$ (salinity) were significant terms for both cell growth and carotenoid production. By substitution of factor levels into the regression equation, the maximum predictable response for biomass and total carotenoid production was calculated and experimentally verified.

According to CCD results, temperature 31°C and 32°C, pH 7.51 and 7.94, and 18.33% and 20.55% salinity were the optimum conditions for cell growth and total carotenoid production, respectively, by *Halorubrum* sp. TBZ126 with a confidence level of 95% (at level $\alpha=0.05$). Based on the statistical analysis, only the interaction between pH and salinity had significant effect ($p<0.05$) on cell growth. The 3D-surface plots representing the interaction effects of all independent variable on biomass and total carotenoid production are shown in Figures 2 and 3 respectively.

**Validation of the optimal conditions**

CCD based experimental results showed that the optimum conditions for biomass and carotenoid production were 31°C and 32°C, pH 7.51 and 7.94, and 18.33% and 20.55% salinity, respectively. To assess the validity of the predicted optimum conditions, additional series of batch trials in 3 replicates were conducted. Experimental results indicated that the highest biomass (0.9 ± 0.01 g/l) and carotenoid production (11.71 ± 0.01 mg/l) are obtained at the predicted points. Additionally, the biomass and total carotenoid content obtained from the experiments are very close to those estimated using RSM (0.87 g/l and 11.69 mg/l) at the optimal conditions. The good agreement between the experimental and estimated responses verifies the existence of maximum points and the accuracy of the mathematical models.

**Effect of light**

Total carotenoid and biomass increased in cells grown under light...
exposure in optimized condition for cell growth and total carotenoid production when compared with that of cells grown under dark (Table 4). The carotenoids were extracted from the cells of TBZ126 strain and the main compounds were measured by HPLC. The results (Figures 4 and 5) showed that the main carotenoids were identified as bacterioruberin, lycopene and β-carotene, which contents in total carotenoids were 96.65%, 1.36% and 1.99%, respectively, in optimized condition for cell growth and 98.01%, 0.89% and 1.1%, respectively, in optimized condition for total carotenoid production.

**Discussion**

The production of carotenoids from biological sources has been an area of extensive investigation in the last decade. Carotenoids have significant commercial interests, which are used as coloring agents in neutraceuticals, pharmaceuticals, cosmetics and foods [13,20,28]. In the present study the CCD was applied to find the optimal level of various factors including temperature, pH and salinity in order to improve cell growth and total carotenoid production of *Halorubrum* sp. TBZ126. In addition the effect of illumination on cell growth and total carotenoid production in optimized condition was studied.

Based on the results of the design, temperature 31°C and 32°C, pH 7.51 and 7.94 and NaCl (w/v) 18.33% and 20.55% were the optimum conditions for cell growth and total carotenoid production respectively, by *Halorubrum* sp. TBZ126. The maximum yields were demonstrated by confirmatory experiment of the optimal medium in 9 days incubation that the predicted values agreed with the experimental values well. The interaction effects of pH and temperature on biomass production are shown in Figure 2a. As seen, temperature and pH value elevation up to the optimum point increased the biomass production to a maximum level. However, the trend reversed following further increase in temperature and pH. This observation can be seen for the effects of salinity and temperature (Figure 2b), salinity and pH (Figure 2c) on biomass production by *Halorubrum* sp. TBZ126. The same behavior was observed for carotenoid production with regard to variation in temperature and pH, temperature and salinity and also for pH and salinity as illustrated in Figure 3. The Figures show that the maximum point for both biomass and carotenoid production is located inside the experimental region. In addition, according to the shape of all plots, maximum points (i.e. optimum conditions) are located inside the experimental regions implying accuracy of the experiments [29].

The effect of environmental factors such as temperature, pH and salinity of the culture medium in the production of total carotenoids and biomass by various microorganisms was evaluated by other authors [30-37].

Temperature is one of the most important environmental factors affecting the growth of microorganisms. It causes changes in many biosynthetic pathways, such as carotenoid biosynthesis [31]. Many of

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<td>Growth optimum</td>
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<td>Total carotenoids (mg/l) (Mean ± SD)</td>
<td>11.39 ± 0.11</td>
<td>16.35 ± 0.01</td>
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<td>Biomass (g/l) (Mean ± SD)</td>
<td>2.13 ± 0.006</td>
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Table 4: Effect of illumination on the biomass (g/l) and total carotenoid production by *Halorubrum* sp. TBZ126.

**Figure 4:** A) Reverse-phase liquid chromatography of the major carotenoids of *Halorubrum* sp. TBZ126 in optimized condition for cell growth under light. Column: 100-5 C18 column (300 by 4.6 mm, Knauer, Germany). Eluent: acetonitrile-dichloromethane-methanol (70:20:10 v/v/v), 20 mM ammonium acetate, and 0.1% triethylamine. Flow rate: 0.8 ml/min. Detection: 470 nm. Peak identities: peak 1, bacterioruberin; peak 2, all-trans-lycopene; peaks 3, 13-cis-lycopene; peak 4, all-trans-β-carotene. B) Mass spectra (ESI mode) of major carotenoids fractions from *Halorubrum* sp. TBZ126. Bacterioruberin (a), lycopene (b) and β-carotene (c). C) UV-VIS spectrum of total carotenoid extract from *Halorubrum* sp. TBZ126 in optimized condition for cell growth under light with typical characteristics of three fingered (1, 2 and 3) and two cis maxima (4 and 5) which corresponded to bacterioruberin [24].

The extremely halophilic archaea are mesophilic or neutrophilic and minor group of them have temperature optima of 50°C or greater [38]. Biomass and total carotenoid production by *Halonorubrum* sp. TBZ126 occurred in the range of 15 to 50°C with optimum point at about 32°C. The ability of *Halonorubrum* sp. TBZ126 to grow and produce carotenoids at higher temperatures, for example, over 32°C, is regarded as a positive point to its industrial application because it reduces the cooling cost.

The pH of growth medium was another important factor, affecting the biomass and total carotenoid production by *Halonorubrum* sp.- TBZ126. It has been reported that pH significantly influences cell growth and total carotenoid production in a lot of microorganism [7]. Most of the extremely halophilic archaea display optimum growth in pH values near 7. *Halonorubrum* chaviator, which has 100% 16S rRNA sequence similarity with *Halonorubrum* sp. TBZ126, grows optimally at pH of 7.4. A small group of the validly published extremely halophilic archaea show optimum growth in pH of 8.5 or greater, and therefore are considered as haloalkaliphiles [38]. In the present work, the biomass and total carotenoid production by *Halonorubrum* sp. TBZ126 occurred between pH 7 and 10. It is found that biomass and total carotenoid production in pH 10 was about 93% and 90% respectively, compared to data reached from optimum conditions.

Concentration of NaCl was the third and most important factor affecting cell growth and total carotenoid production by TBZ126. It has been found that the NaCl concentration is the most important factor in determining halobacterial growth. In addition, it has also been found that the NaCl requirement for halobacterial growth is specific and NaCl cannot be replaced by other solutes [39]. In the present study biomass and total carotenoid production by *Halonorubrum* sp. TBZ126 found in the range of 2.5 to 30% NaCl (w/v) with optimum point in 18.33% and 20.55% respectively. As can be seen from Figures 2 and 3 and Table 1, in the same condition of pH and temperature, maximum production of carotenoid was estimated near center point of design (i.e., 16.25%).

Experimental based predicted optimum point derived from analysis with Minitab software was calculated as 20.55% NaCl. It means that the maximum carotenoid production point was very close to estimated point and both of the points are lower than 30% meaning that maximum total carotenoid production is obtained in less than 30% NaCl; i.e. in 20.55% NaCl. These results are in agree with previous studies [40,41] reporting elevation in synthesis of bacterioruberin by halocarchae in response to stress caused by low concentrations of NaCl. In the other hand similar to *Haloferax mediterranei* [40], *Halonorubrum* sp. TBZ126 has ability to survive when the salt concentration falls below 10%; but unlike *H. mediterranei* which doesn’t produce carotenoid in 5% NaCl [40] unless magnesium sulfate concentration increases from 2% to 8% [41], our isolate can produce carotenoids in 2.5% NaCl in the absence of sodium acetate and without any increase in magnesium sulfate concentration (0.324%).

Light is an important factor to considerate during the production of microbial carotenoids; hence, it improves carotenogenesis. Microorganisms need to prevent themselves from the light that causes damage, and carotenogenesis is a photoprotective mechanism [42]. Our study indicates that *Halonorubrum* sp. TBZ126 synthesize higher amounts of biomass and total carotenoids in the light than in the dark. Using optimization with RSM and light as an inducing factor in this study, total carotenoid production showed 45% increase compared to the un-optimized medium reported previously [24].

Figure 5: A) Reverse-phase liquid chromatography of the major carotenoids of *Halonorubrum* sp. TBZ126 in carotenoid production optimized condition and under light exposure. Column: 100-5 C18 column (300 by 4.6 mm, Knauer, Germany). Eluent: acetonitrile-dichloromethane-methanol (70:20:10 v/v/v), 20 mM ammonium acetate and 0.1% triethylamine with flow rate of 0.8 ml/min and detection at 470 nm. Peak identities: peak 1, bacterioruberin; peak 2, all-trans-ß-carotene; peaks 3, 13-cis-lycopene; peak 4, all-trans-ß-carotene. B) UV-VIS spectrum of the total carotenoid extract from *Halonorubrum* sp. TBZ126 in optimized condition for carotenoid production and under light exposure with typical characteristics of three fingered (1, 2 & 3) and two cis maxima (4 & 5) maximum absorbance.

Conclusions

In conclusion the present study reports a considerable increase in pigmentation of TBZ126 following optimization of the factors using RSM where 45% elevation was observed in pigmentation compared to general condition. The main produced carotenoid was found to be bacterioruberin. Although, the optimum conditions for maximum production of total carotenoids differ from optimum points for
maximum biomass production, however, the optimal levels of the 3 major growth medium factors for cell growth are very close to those of carotenoid biosynthesis. TBZ126, which is naturally adapted to higher NaCl concentrations (about 20%), could produce carotenoids at lower concentrations of NaCl (as low as 2.5%), without elevating magnesium sulfate concentration and supplementation of sodium acetate. There should be many other factors affecting pigmentation of TBZ126 such as shaker speed, type and concentration of nutrient components. A thorough understanding of the regulation and pathway of pigment production will allow us to develop defined bioprocess for enhanced production of the desired pigment especially bacterioruberin.

References


