Posterior Osteophyte Evolution and its Impact in Cervical Spondylosis: A Literature Review

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Abstract

Introduction: In cervical disc disease, the exact location of the posterior osteophyte with relationship to the bodies of the adjacent cervical vertebra at each disc space level, as observed during surgery under operating microscope and correlating it with the histopathological development of the posterior osteophyte has not been documented in literature. A detailed review of literature on the development of posterior osteophytes and its impact on cervical spinal cord is also being reviewed.

Materials and Methods: 1st Phase: In a prospective study conducted over first 5 years (2007-2012), intraoperative observations of 294 disc spaces in 201 patients who were operated for cervical disc disease using the standard anterior cervical microdiscectomy were analyzed. We observed under operating microscope 32 C3-C4 disc spaces, 62 C4-C5, 123 C5-C6, 74 C6-C7, 2 C7-D1 and 1 C2-C3 disc space during the study period.

2nd Phase: The above prospective study was extended into the 2nd phase over next 3 1/2 years (2009-2012), and clinico-radiological (MRI findings) - intraoperative observations of 118 disc spaces in 70 patients who were operated for cervical disc disease were analyzed. These observations were correlated with the histopathological characteristics of the excised disc material.

Results: 1st Phase study: At C3-C4 disc space, posterior osteophyte originates from the upper vertebral body of C3 in 78.13% [25/32] disc spaces. In mobile segments of C4-C5 in 70.96% [44/62] and C5-C6 in 84.55% [104/123] disc spaces, the osteophyte arises from posterior margins of both the vertebral bodies. At C6-C7, it arises from lower vertebral body of C7 in 71.62% [53/74] disc spaces.

2nd Phase: It was observed histopathologically and intraoperatively, that posterior osteophytes formation goes through three stages. Posterior osteophyte formation is of Fibrocartilage in 10.17% (12/118), Mixed variety 7.62% (9/118) and Bony type in 82.20% [97/118]. In patients who had Bony type, 89.4% had myelopathy, 75% had radiculopathy while 89.4% patients had hyperintense signal within the spinal cord.

Discussion: Our results show that there is a definite pattern in the formation of the posterior osteophyte within the cervical disc spaces. At junctional areas like C3-C4 and C6-C7 the posterior osteophyte originates from the relatively fixed vertebra like C3 and C7. In mobile segments like C4-C5 and C5-C6 the posterior osteophyte originates from both the bodies of adjacent vertebra. We also observed that the posterior osteophyte formation in cervical disc disease goes through the following three stages. 1st stage: Fibrocartilage, 2nd stage: Mixed type consisting of both the fibrocartilage and partially bony and 3rd stage: Bony type. These stages we feel evolve over a span of few years. Patients presenting with myeloradiculopathy and hyperintense signal within the spinal cord are likely to harbor bony posterior osteophytes compressing the thecal sac and requires surgical intervention. This is the first document of its kind in literature.

Detailed reviews of literature on experimental models showing the development of posterior osteophytes supporting our observations, the pathological and radiological impact of the posterior osteophytes on cervical spinal cord, natural history of cervical spondylotic myelopathy and fate of the posterior osteophytes after anterior fusion surgery are being dealt upon in this paper.

Keywords: Cervical disc disease; Posterior osteophyte; Cervical spondylosis; Cervical spondylotic myelopathy; Anterior cervical discectomy

Introduction

Cervical spondylosis refers to an osteoarthritic degeneration of the cervical spine. “Wear and Tear” due to motion and activity is the common etiology for the cause of degeneration to occur in cervical spine [1]. Various studies have shown that excessive motion and repetitive microtrauma accelerates degenerative changes [2-4]. These degenerative changes affect the canal diameter and sagittal mobility of the cervical spine which is pronounced when one has a congenitally narrow spinal canal [5-7]. The spondylotic degenerative changes usually begin at a single level in the lower spine which is the most mobile segment and over a course of time progresses to involve multiple spinal levels [8]. These changes which occur in the cervical spine may result in direct compressive and ischemic dysfunction of the spinal cord resulting in cervical spondylotic myelopathy [CSM]. Brain et al. [9,10] suggested that clinical picture occurring in CSM resulted from disc protrusion, osteophytes formation and associated soft tissue abnormalities.

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Development of the Posterior Osteophyte in Cervical Spondylosis

Increased mechanical stress on Sharpe's fibers inserted into the margins of vertebral bodies adjacent to an intervertebral disc undergoing resorptive changes is considered to be the pathogenesis of posterior osteophyte [11]. The posterior osteophyte begins to form and project posteriorly and postero-laterally into the spinal canal or intervertebral foramina, which progressively exert pressure on the spinal cord and cervical nerve roots [12,13]. In cervical spondylosis, the increased tension of the attachments of the anterior longitudinal ligament and posterior longitudinal ligament to bone leads to a periosteal reaction with bone growth in the form of osteophyte. The cephalo-caudal extent of the midline posterior osteophyte along the posterior margin of the vertebral body should also be included. In most instances, this extends only few millimeters from each body [14].

Pattern of Development of Cervical Posterior Osteophyte in Human Beings and its Surgical Importance

In cervical disc disease, prior knowledge of the exact location of the posterior osteophytes within the intervertebral disc space at each disc space level as observed during surgery under operating microscope would greatly aid the surgeon in achieving good decompression in symptomatic cases subjected to surgery. Srinivasan et al. [15] in a study involving 201 patients 294 disc spaces operated using standard anterior cervical microdiscectomy [16] observed that there is a definite pattern in the formation of the posterior osteophytes within the cervical disc spaces (Table 1). Among 201 patients with cervical disc disease who were prospectively studied, in 294 disc spaces the characteristics of the disc space were surveyed under operating microscope. This included the presence or absence of posterior osteophyte and the site of origin of the posterior osteophyte from the body of vertebra. Percentage analysis was applied for analysis of data (Table 1). Results of 1st phase of study showed that at C3-C4 disc space, posterior osteophyte originates from the upper vertebral body of C3 in 78.13% [25/32] cases. In mobile segments of C4-C5 in 70.96% [44/62] and C5-C6 in 84.55% [104/123] disc spaces, the osteophyte arises from the posterior margins of both the vertebral bodies. At C6 –C7, it arises from the lower vertebral body of C7 in 71.62% [53/74] disc spaces (Table 1).

The C4-C5 and C5-C6 disc space is generally considered to have the greatest range of motion especially in the sagittal plane [17,18]. In these segments it was observed that the posterior osteophytes originates from the bodies of both the vertebra in the majority of cases (Figure 1-3) (Table 1). At junctional areas like C3-C4 and C6 –C7 the posterior osteophyte originates from the relatively fixed vertebra like C3 and C7 (Table 1). The C3 and C7 vertebral bodies are labelled as relatively fixed vertebra, since C3 articulate with the C1-C2 vertebrae which are structurally different and they in turn articulate with the fixed skull and lower down C7 articulates with dorsal vertebra which is fixed by the chest cage and hence are relatively immobile vertebral bodies [17,18].

The surgical importance of this is the edge of the posterior osteophytes lies in the center within the C4-C5, C5-C6 intervertebral disc spaces while it is close to the inferior C4 body at C3-C4 disc space and close to the C6 superior body at C6-C7 disc space. Hence when the surgeon starts to remove the posterior osteophytes either by drilling or curetting the most important step is to identify the edge of the posterior osteophytes within the intervertebral disc space. At C4-C5, C5-C6 disc spaces since the edge lies in the center, one has to curette upwards to remove the posterior osteophytes arising from the superior body and then come back and curette downwards towards the inferior body to excise the inferiorly placed posterior osteophytes. While at C3–C4 disc space in majority of cases since the edge of the osteophytes is close to the body of C4 one has to angulate the operating microscope upwards and has to start curetting upwards from the edge of the osteophytes towards the C3 body. At C6-C7 disc space it is vice versa and the operating microscope has to be angulated inferiorly towards C7 body since the edge of the osteophytes is close to the C6 body and the curetting should be downwards towards C7 body. This also applies when one uses high speed microdrill for removing the posterior osteophytes.

Indiscriminate drilling of the osteophytes leads to detachment of the osteophytes from the body, after which it is extremely difficult to

<table>
<thead>
<tr>
<th>Disc Space/ Number of Discs</th>
<th>Upper Vertebra</th>
<th>Lower Vertebra</th>
<th>Both Vertebra</th>
</tr>
</thead>
<tbody>
<tr>
<td>C2-C3 [1]</td>
<td>100% [1/1]</td>
<td>0.00% [0/1]</td>
<td>0.00% [0/1]</td>
</tr>
<tr>
<td>C5-C6 [123]</td>
<td>9.75% [12/123]</td>
<td>5.69% [7/123]</td>
<td>84.55% [104/123]</td>
</tr>
<tr>
<td>C6-C7 [74]</td>
<td>6.75% [5/74]</td>
<td>71.62% [53/74]</td>
<td>21.62% [16/74]</td>
</tr>
<tr>
<td>C7-D1 [2]</td>
<td>0.00% [0/2]</td>
<td>100.00% [2/2]</td>
<td>0.00% [0/2]</td>
</tr>
</tbody>
</table>

Table 1: Phase 1: Showing the percentage of origin of the posterior osteophyte at each cervical intervertebral disc space level in 294 disc spaces in 201 patients as observed under operating microscope.

Figure 1: MRI – Intraoperative Photographs showing the Origin of the Posterior Osteophytes at Cervical Disc Space Levels C3- C4, C4 –C5.
completely excise the posterior osteophytes. This occurs because the osteophytes becomes densely adherent to the Posterior Longitudinal Ligament [PLL] [mimicking like an OPLL but in these cases after excising the posterior osteophytes the vertically oriented fibers of the PLL can be clearly seen under operating microscope] and attempt to excise it leads to traction over the PLL causing indirect injury to the spinal cord which can occasionally lead to so called unexplained neurological deficit in the post operative period. Indeed, violent attempts to distract the vertebrae and remove the posterior osteophytes may cause cerebrospinal fluid fistula and spinal cord damage [19,20]. When repeated attempts fail to excise the residual floating posterior osteophytes, invariably the surgeon leaves it behind as a floating osteophytes leading to incomplete decompression of the spinal cord. This fact is supported by the study of Raynor et al. [21]. They have reported that in majority of cases [11/16] using intraoperative ultra sonogram during anterior cervical discectomy, inadequate decompression of the cervical spine was performed, when surgeon thought otherwise [21].

In cases presenting with severe radicular pain, complete resection of posterior osteophytes will give prompt relief of pain. In those with radiculopathy causing sensori-motor deficit, complete excision immediately halts further damage to the compressed nerve and helps in quick recovery. In those with cervical myelopathy, excision of the osteophytes along the entire extent of the intervertebral disc space leads to improvement in myelopathy over a period of time. While partial excision leads to persistence of the symptoms even after many years as documented by Stevens et al. [11]. In few cases, the myelopathy continues to worsen even after surgical decompression when posterior osteophytes are only partially excised. Partial excision can also lead to delayed recurrence of symptoms. Hence knowledge of the pattern of the origin of the posterior osteophytes within each intervertebral disc space in cervical disc disease would enable the surgeon to completely remove the posterior osteophytes.

Experimental Evidence Showing the Development of the Posterior Osteophyte

Histological characteristics of the formation of the posterior osteophytes in spine as age advances has been documented in literature [22-25]. Reviewing the literature we found that Peng et al. [26] in the experimental model of cervical spondylosis in rabbits had suggested that vertebral osteophytes arises from proliferation of peripheral articular cartilage which undergoes transformation into cartilaginous osteophytes and then converts into bony osteophytes through an endochondral calcification and ossification. Similarly Smolders et al. [27] had shown in canine model that early degeneration of the intervertebral disc involves change in the nucleus pulposus [NP] cell population characterized by the replacement/ differentiation of the native notochordal cells [NCs] by chondrocyte-like cells [CLCs]. He classified this into NC-rich NP, Mixed-NP, CLC–rich NP which is similar to the observations made by Srinivasan et al regarding the development of posterior osteophytes in human beings [15].

Results of the 2nd phase of study by Srinivasan et al in 70 patients and 118 disc spaces which they studied correlating the intraoperative picture as observed under operating microscope in cervical disc disease with that of the histopathology, showed that the posterior osteophytes formation goes through the three stages – 1st stage: Fibrocartilage [10.17% (12/118)], 2nd stage: Mixed type consisting of both the fibrocartilage and partially bony [7.62% (9/118)] and 3rd stage the Bony type [82.20% (97/118)] (Table 2) (Figure 4-6). These stages they feel evolve over a span of few years. They also noted that among the 70 patients studied in their second phase, 89.4%[34/38 cases] who had myelopathy and 75% [24/32cases] who had radiculopathy had bony posterior osteophyte (Table 2). Further analysis of their results showed that, 19 cases had hyperintense signal within the spinal cord. In this group 89.47% [17/19] patients harbored bony type of posterior osteophytes (Table 3). Clinical importance of the above findings is that
patients presenting with myeloradiculopathy and hyperintense signal within the cervical spinal cord are likely to harbor bony posterior osteophytes in cervical disc space compressing the thecal sac and requires surgical intervention.

Impact of Posterior Osteophyte on Cervical Spinal Cord

How this cervical posterior osteophytes development causes its impact on the cervical spinal cord was reviewed. Levine [28] in his landmark paper based upon innovative experiment has proved that cervical spondylotic myelopathy [CSM] is caused by tensile stresses transmitted to the spinal cord from the dura via the dentate ligaments [Dentate tension theory-Kahn theory [29]] rather than spinal cord being compressed between a spondylotic bar anteriorly and the ligamentum flavum posteriorly [Compression theory].

Kahn [29] had proposed that primary pathogenetic event is stretching of the dentate ligaments as a result of posterior displacement of the spinal cord by a spondylotic bar. Why this stretching occurs? The stretching occurs because the dural attachment of the ligaments remain fixed, anchored by the dural root sleeves which resist displacement of the dural sac. Cervical spine is not a static segment but a constantly moving segment of the spine. In this context one has to take into consideration the biomechanical changes that occur within the structures enclosed within the cervical spinal canal during flexion and extension. In extension the dura, the dural root sleeves and the dentate ligaments are slack. In flexion, however, the spinal canal lengthens and dura is stretched. The dural root sleeves may also become taut and anchor the dural tube. Dural tension is transmitted to the dentate ligaments which stretch the spinal cord axially and resist posterior displacement of the cord within the dural tube [28]. Any increase in the longitudinal tension in the dura is transmitted to the spinal cord as an increase in both the longitudinal and radial tension, because the dentate fibers run medio-laterally as well as rostrocaudally. It has been speculated that tension between the dura and the anterior surface of the spinal canal may interfere with the stretching of the dura and increase the tension more rostrally. This can get exaggerated during flexion in the presence of a local spondylotic protrusion posteriorly into the spinal canal [30,31]. Adams and Logue showed a localized increase in dural stretch adjacent to the point of dural fixation in human cadavers [32]. Thus the increased dural tension could be transmitted to the spinal cord through the dentate ligaments. Thus the primary pathogenetic event is unlikely to be due to direct pressure of the spondylotic bar on the cord, but rather interference with dural stretch during flexion [28].

Neuropathologically as well after the advent of MRI scans, it is

Table 2: Phase 2: Percentage analysis of the morphological pattern of the developmental stages of posterior osteophytes.

<table>
<thead>
<tr>
<th>Type of Osteophyte</th>
<th>Number of Discs</th>
<th>Percentage %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fibrocartilage</td>
<td>12/118</td>
<td>10.17</td>
</tr>
<tr>
<td>Mixed type – Fibrocartilage + Partially Bony</td>
<td>9/118</td>
<td>7.62</td>
</tr>
<tr>
<td>Bony</td>
<td>97/118</td>
<td>82.20</td>
</tr>
</tbody>
</table>

Table 3: Phase 2: Clinico-radiological – pathological correlation of posterior osteophytes in 70 patients.

<table>
<thead>
<tr>
<th>Type of Osteophyte</th>
<th>Radiculopathy – 32 patients</th>
<th>Myelopathy – 38 patients</th>
<th>MRI – Hyperintense signal within the cord – 19 patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bony type [58/70]</td>
<td>75.00% [24/32]</td>
<td>89.47% [34/38]</td>
<td>89.47% [17/19]</td>
</tr>
<tr>
<td>Fibrocartilage</td>
<td>21.87% [7/32]</td>
<td>0.00% [0/38]</td>
<td>0.00% [0/19]</td>
</tr>
</tbody>
</table>
observed that there is pronounced flattening of the spinal cord in the anteroposterior dimension at the level of the spondylotic bar [33-35]. This observation could be explained by the transmission of increased lateral and longitudinal tension from the dural ligaments to the spinal cord. The nervous tissue is relatively incompressible and hence the resulting longitudinal and transverse elongations will be accompanied by a compensatory contraction in the remaining anteroposterior dimension. It has also been observed that in follow up MRI scans, even after complete removal of the compressing spondylotic bar still the normal contour of the cervical spinal cord is not restored in the anteroposterior sections. At autopsy studies it is clearly documented that, there is thickening and fibrosis in the dural ligaments and there is permanent flattening and widening of the spinal cord even after it is removed and freed of the stress [28]. This non-restoration of the normal contour of the cervical spinal cord in antero-posterior direction seen in MRI scans even after removal of the spondylotic bar is probably due to the above fibrosis of the dural ligaments [35]. This fibrosis represents a physiological response to chronically raised levels of stress in these tissues [36]. Thus a spondylotic bar can increase dural tension by displacing the spinal cord dorsally and also increase dural tension by interfering locally with dural stretch during neck flexion with the resultant stress being transmitted to the spinal cord. Levine also has given convincing explanation supporting Breig's vascular theory [35] which states that transversely running blood vessels become elongated as the spinal cord is stretched transversely with resulting narrowing of the vessels in cross section. Such narrowing might cause ischemia which could contribute to the neuropathology [28].

Radiological Evidence of Impact of Posterior Osteophyte

Chronic pressure over the cervical spinal cord by the posterior osteophytes leads to focal ischemia opposite to the disc space. These ischemic changes noted in the cervical spinal cord is seen in MRI as hyperintense signal. Intramedullary spinal cord changes in signal intensity in patients with CSM can be reversible (hyperintensity on T2-weighted imaging) or nonreversible (hypointensity on T1-weighted imaging). The regression of areas of hyperintensity on T2-weighted imaging is associated with a better prognosis. The T1-weighted hypointensity is an expression of irreversible damage and, therefore, the worst prognosis [37]. Vedantam et al. have classified this intermittent signal intensity [ISI] changes in T2 weighted MRI images into three types: No Change [Type 0], Fuzzy [Type 1] and Sharp [Type 2] [38] (Figure 7). Both multisegmental T2W ISI and sharp, intense T2W ISI are associated with poorer surgical outcome (Class II evidence). The regression of T2W ISI postoperatively correlates with better functional outcomes (Class II).

An “absolute stenosis” in cervical spinal canal has been defined as a sagittal canal diameter of less than 10mm, a “relative stenosis” as a canal diameter of less than 13mm and a normal sagittal diameter in the mid-cervical spine as 14-18mm [39]. However, these measurements are subject to genetic variations between different ethnic groups. Boden in a prospective study of asymptomatic individuals noted a high false positive rate of stenosis using these absolute measurements. He observed cervical stenosis in asymptomatic individuals using MRI imaging, in 14% of individuals less than the age of 40 and 28% over the age of 40. Disc degeneration was noted in 60% of those over the age of 40 [40]. Muhle et al. [41] have shown that significant increase of spinal stenosis have been observed in extension more so than in flexion. Dynamic MRI studies have shown that patients with a congenitally narrow spinal canal [<13mm] predispose to the development of radiographic dynamic cord compression and potentially clinical myelopathy [42].

Analysis of our results in 201 patients show that at a sagittal diameter of less than 8mm in MRI scan opposite to the disc space patient develop early clinical evidence of cervical myelopathy (Figure 8). This narrowing of the sagittal diameter occurs due to the development of the posterior osteophytes which projects into the cervical spinal canal causing indentation over the spinal cord. As such the diagnosis of CSM requires consideration of history, physical examination and imaging studies for each individual patient [43].

Fate of the Posterior Osteophyte after Anterior Fusion Surgery and Recent Prognostic Indicators of Outcome

Majority of spine surgeons opine that after good interbody fusion the posterior osteophytes get resorbed in the long term. But the what evidence based medicine states? Stevens et al. [11] and Seo et al. [44] have shown that there was no evidence of remodeling or resorption of osteophytes and persistent posterior osteophytes continue to deform the spinal cord for up to 5 to 12 years after achieving good anterior interbody fusion following anterior cervical disc surgery (Figure 9). Indeed in Seo et al. series in 5 patients among 31 cases it increased in size and in 19 it remained the same [45]. The importance of this in relation to cervical spinal surgery is that every effort should be made to remove posterior osteophytes during anterior interbody fusion [11,45].
Natural History of CSM

In this era of evidence-based medicine with information pouring into patient’s smart phone through internet what if we are questioned on natural history of CSM. Let us have a look at it. Matz et al. [48] have suggested that patients with CSM experience stable quiescent periods with an intervening slow and stepwise decline in function. Oshima et al. [49] have suggested that 62% of patients with mild CSM will not deteriorate or undergo surgery at 10 years. But systematic review of literature by Lebl et al. [43] suggest a mixed course with many patients having quiescent disease for long periods of time while others experiencing a slow, stepwise decline. Thus review of literature shows that the clinical course of cervical myelopathy is variable and that conservative management may result in stability or improvement of symptoms in the majority of the patients with mild symptoms [50-53]. Predicting the clinical course of the individual patient is difficult but some evidence suggests that younger patients and those with mild symptoms are more likely to improve [1,53].

Take Home Message

- There is a definite macroscopic and histopathological pattern in the formation of cervical posterior osteophytes which is supported both by the animal studies and in vivo human being studies. It goes through three stages of formation: 1: Fibrocartilage 2: Mixed type 3: Bony posterior osteophytes.
- Patients presenting with myeloradiculopathy and hyperintense signal within the cervical spinal cord are likely to harbor bony posterior osteophytes compressing the thecal sac and requires surgical intervention.
- In mobile segments like C4-C5, C5- C6 intervertebral disc spaces the posterior osteophytes arises from bodies of both the adjacent vertebra while at C3-C4, C6-C7 it arises from relatively fixed vertebra like C3 and C7 respectively.
- The edge of the posterior osteophytes lies in the center within the C4-C5, C5-C6 intervertebral disc spaces while it is close to the inferior C4 body at C3-C4 disc space and close to the C6 superior body at C6-C7 disc space.
- Posterior osteophytes definitely produces an impact over the cervical spinal cord and causes cervical spondylotic myelopathy most probably by producing tension over the dentate ligaments and shearing force over the cervical spinal cord. It also causes changes in the small intramedullary vessels leading to ischemia which could explain sudden deterioration as observed in natural history of the disease. Radiological evidence for the above is available at present as seen in MRI scans.
- Even though operative treatment especially the anterior cervical discectomy remains the standard care for moderate to severe CSM, it is clearly evident that the cervical posterior osteophytes has to be excised to achieve good outcome.
- Posterior osteophytes do not get resorbed even in the long run even after achieving good bony fusion.
- Intramedullary spinal cord changes in signal intensity in patients with CSM can be reversible (hyperintensity on T2-weighted imaging) or nonreversible (hypointensity on T1-weighted imaging). The regression of areas of hyperintensity on T2-weighted imaging is associated with a better prognosis. Lack of expansion of the spinal cord after surgical decompression even after 6 months indicate poor prognosis.
- Diffusion Tractography Indices using MRI spinal tractography especially Apparent Diffusion Coefficient [ADC] and Eigen Vectors can be promising indicators in the evaluation and prognostic assessment of myelopathy patients.
- Natural history of CSM suggests a mixed course with many patients having quiescent disease for long periods of time while others experiencing a slow, stepwise decline.
- In future biochemical marker for disc degeneration is likely to
be available to identify the patients who are likely to develop cervical spondylotic myelopathy at an early stage even before radiological evidence occurs.

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References


