

## Case Report

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# Pulmonary Rehabilitation Alters Ventilatory and Cardiac Performances Profile during Exercise in Moderate to Severe Copd: 5 Cases Report

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**Abstract**

**Background:** COPD affects skeletal muscle system. Less efficient muscles generates higher ventilatory demand, which promotes a vicious cycle of dynamic hyperinflation and cardiac constraints, reducing functional capacity.

**Aim:** To investigate the effects of a pulmonary rehabilitation program, based on physical exercise, on ventilatory and cardiac performances profile.

**Methods:** 5 moderate to severe COPD patients were recruited. All patients were submitted to 8 week (5 times/week) pulmonary rehabilitation program, composed by aerobic and resistance training. The patients performed a cardiopulmonary exercise test (CPET) before and after the program.

**Results:** Pulmonary rehabilitation changed ventilatory ( $10.53 \pm 5.32$  vs.  $28.97 \pm 12.07\%$ ,  $p<0.05$ ) and cardiac consumption ( $10.04 \pm 1.80$  vs.  $22.88 \pm 10.36\%$ ,  $p<0.05$ ) at 100% work rate. Pulmonary rehabilitation also increased ventilation ( $20.6 \pm 3.3$  vs.  $27.1 \pm 5.9$  L/min,  $p<0.05$ ) and oxygen pulse ( $5.4 \pm 1.6$  vs.  $7.5 \pm 1.9$  ml/beat,  $p<0.05$ ) at 100% work rate,  $\text{VO}_2$  ( $610 \pm 110$  vs.  $880 \pm 230$  ml/min,  $p<0.05$ ) and work rate ( $36.8 \pm 9.8$  vs.  $55.6 \pm 14.8$  W,  $p<0.05$ ).

**Conclusion:** A 8 week pulmonary rehabilitation program improved ventilatory and cardiac performances, probably as a result of dynamic hyperinflation reduction. Peripheral muscle improvement also contributed to ventilatory and cardiac performances profile changes.

**Keywords:** Pulmonary rehabilitation; Cardiopulmonary exercise testing; COPD; Dynamic hyperinflation; Exercise

## Introduction

Chronic obstructive pulmonary disease (COPD) promotes structural changes in the lung parenchyma, including remodeling and airway obstruction, reducing lung elastic recoil. These changes interfere with the structures that keep airways opened during expiration, promoting dynamic hyperinflation [1,2].

Dynamic hyperinflation directly affects the cardiovascular system. The ventricular filling is impaired, causing small end-diastolic volumes, with reduction of the intrathoracic blood volume index, end-diastolic volume in the left and right ventricles, and systolic volume [3,4]. The performances of both ventricles are affected by a low preload caused by thoracic hypovolemia due to pulmonary hyperinflation [5].

Skeletal muscle system is also affected by COPD. Eliason et al. [6] observed that airway obstruction severity determines lower muscle capillarization, especially in type I fibers. This lower muscle perfusion, also influences muscle morphology. Gosker et al. [7] detected a lower mitochondrial density in the vastus lateralis of COPD patients, a fact that contributes to reduction of muscle oxidative capacity, with consequent loss of performance and premature fatigue. Less efficient muscles generates higher ventilatory demand, which promotes a vicious cycle.

The major aim of this study was to investigate the effects of a pulmonary rehabilitation program, based on physical exercise, on ventilatory and cardiac performances profile.

## Methods

This is a 5 cases report study.

### Sample

5 moderate to severe COPD patients evaluated in our exercise physiology laboratory, who met the following inclusion criteria: 1) absence of cardiac diseases and 2) absence of locomotor limitations

that could impair exercise test evaluation or exercise program were recruited.

### Evaluation protocol design

The patients underwent anthropometric assessment (height, weight and body mass index), followed by one maximum voluntary contraction muscle test, lung function test by spirometry and a cardiopulmonary exercise test. The entire evaluation occurred in a single day. Cardiopulmonary exercise test was repeated after intervention.

### Lung function test

The technical procedure, acceptance criteria, reproducibility and interpretative values, as well as the standardization and equipment, followed the recommendations of the American Thoracic Society/European Respiratory Society [8]. The equipment used was the Koko PFT (PDS Instrumentation, Inc. Louisville, Colorado, USA) coupled to a microcomputer, and it was calibrated daily. We considered the forced expiratory volume in 1 second ( $\text{FEV}_1$ ), the forced vital capacity (FVC) and the  $\text{FEV}_1/\text{FVC}$  ratio. The highest values were considered for analysis and compared to validated reference values for the Brazilian population [9,10].

### Cardiopulmonary exercise test

The technical procedures followed the American Thoracic Society/

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American College of Chest Physicians [10] guidelines for cycle ergometer testing. The CPET was performed on an electronically braked cycle ergometer, using Inbrasport CG-04 (Inbrasport, Porto Alegre, Rio Grande do Sul, Brazil). Each subject performed a ramp protocol, starting with unload pedaling and the work rate increment was individually selected (5-10 W/min) up to the limit of tolerance. Subjects were strongly encouraged by verbal stimuli to achieve maximum effort. The VO2000 (MedGraphics, St. Paul, Minnesota, USA) was used for gas analysis and it was calibrated daily according to the manufacturer's instructions. The Onyx 9500 pulse oximeter (NONIN, Plymouth, Minnesota, USA) was used for oxygen saturation analysis.

### CPET analysis

Through CPET data, the following variables were analyzed: peak exercise oxygen saturation ( $\text{SpO}_2$ ); peak heart rate; breathing reserve (BR); respiratory exchange ratio (RER), exercise duration; work rate (WR); oxygen consumption ( $\text{VO}_2$ ); ventilation (VE) and oxygen pulse ( $\text{PuO}_2$ ). The data was collected at every 10 seconds of ramp protocol. After collection, the data was adjusted by a filter (mean of 7 points) to avoid noises. The highest value of the last 30 seconds of collection was considered the 100%WR value. The highest value of the 30 seconds of collection at half time test duration was considered the 50%WR value.

### Pulmonary rehabilitation program

All the patients were submitted to 8 weeks (5 sessions/week) exercise program. All patients performed 30 minutes of aerobic training (treadmill/cycle ergometer in alternate days) at 80% peak  $\text{VO}_2$  achieved at initial CPET and 5 resistance exercises (lower limb/upper limb in alternate days) starting at 30% achieved on initial maximum voluntary contraction and increasing 1 kg per week (until patient's limit).

### Statistical analysis

The sample normality was analyzed using the Shapiro-Wilk test (which demonstrated a very small number to calculate). It was used Levene's test to analyze the homogeneity of the sample, and to evaluate the differences between measurements the paired T test was used. A statistical significance value of  $p<0.05$  was set for all analyzes by using the GraphPad Prism 6.0 software.

## Results

### Clinical aspects

Patients spirometric and anthropometric values and basic exercise characteristics are presented in Table 1.

### Ventilatory and cardiac consumption

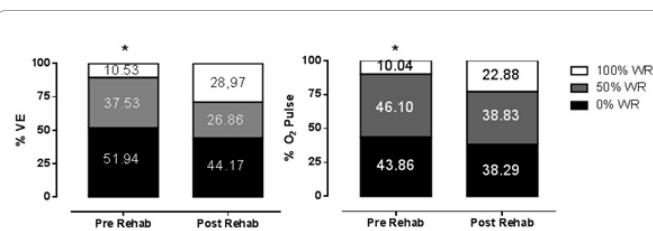
As demonstrated in Figure 1, pulmonary rehabilitation promoted no significant difference in ventilatory consumption at 0% work rate ( $51.94 \pm 13.26$  vs.  $44.17 \pm 15.04\%$ ,  $p>0.05$ ) and 50% work rate ( $37.53 \pm 11.50$  vs.  $26.86 \pm 6.64\%$ ,  $p>0.05$ ), but promoted significant difference at 100% work rate ( $10.53 \pm 5.32$  vs.  $28.97 \pm 12.07\%$ ,  $p<0.05$ ). Pulmonary rehabilitation also promoted no significant difference in cardiac consumption at 0% work rate ( $43.86 \pm 19.65$  vs.  $38.29 \pm 14.88\%$ ,  $p>0.05$ ); at 50% work rate ( $46.10 \pm 19.86$  vs.  $38.83 \pm 8.04\%$ ,  $p>0.05$ ), but promoted significant difference at 100% work rate ( $10.04 \pm 1.80$  vs.  $22.88 \pm 10.36\%$ ,  $p<0.05$ ).

### Ventilatory and cardiac performances profile

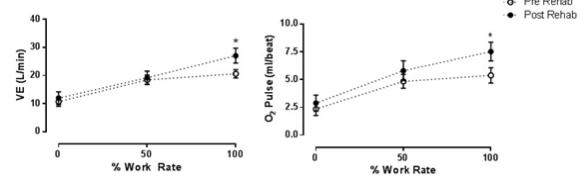
There was no significant difference in ventilation at 0%WR ( $10.7 \pm 3.8$  vs.  $11.9 \pm 5.1$  L/min,  $p>0.05$ ) and at 50%WR ( $18.4 \pm 3.7$  vs.  $19.2 \pm 5.2$  L/min,  $p>0.05$ ) however pulmonary rehabilitation increased

|                                  |                   |
|----------------------------------|-------------------|
| Age (years)                      | $74.2 \pm 8.5$    |
| BMI (Kg/m <sup>2</sup> )         | $26.4 \pm 3.3$    |
| Gender (M/F)                     | 3/2               |
| FVC (L)                          | $1.45 \pm 0.34$   |
| FVC (%pred)                      | $49.0 \pm 4.6$    |
| FEV <sub>1</sub> (L)             | $0.90 \pm 0.32$   |
| FEV <sub>1</sub> (%pred)         | $42.3 \pm 16.1$   |
| FEV <sub>1</sub> /FVC            | $0.63 \pm 0.17$   |
| RER                              | $1.02 \pm 0.10$   |
| Peak exercise $\text{SpO}_2$ (%) | $90.8 \pm 7.3$    |
| Peak heart rate (bpm)            | $119.2 \pm 14.3$  |
| CPET duration (s)                | $331.2 \pm 132.3$ |
| Ramp protocol (w/min)            | $6.9 \pm 1.1$     |

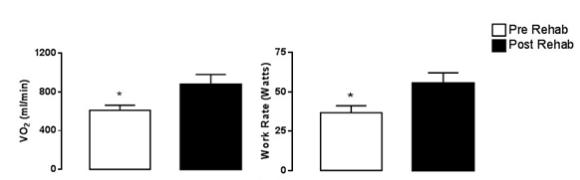
**Table 1:** Lung function, anthropometric and basic exercise characteristics. BMI: Body Mass Index; FVC: Forced Vital Capacity; FEV<sub>1</sub>: Forced Expiratory Volume in the 1<sup>st</sup> Second; FEV<sub>1</sub>/FVC: Tiffenau Index; %pred: Percentage of Predicted, RER: Respiratory Exchange Ratio,  $\text{SpO}_2$ : Oxygen Saturation, CPET: Cardiopulmonary Exercise Test.



**Figure 1:** Ventilatory and cardiac consumption. VE: Ventilation; WR: Work Rate; Rehab: Pulmonary Rehabilitation; \* $p<0.05$ .



**Figure 2:** Ventilatory and cardiac performances profile. VE: Ventilation; Rehab: Pulmonary Rehabilitation; \* $p<0.05$ .



**Figure 3:** Metabolic and functional capacity.  $\text{VO}_2$ : Oxygen Consumption; WR: Work Rate; Rehab: Pulmonary Rehabilitation; \* $p<0.05$ .

ventilation at 100%WR ( $20.6 \pm 3.3$  vs.  $27.1 \pm 5.9$  L/min,  $p<0.05$ ). There was no significant difference in oxygen pulse at 0%WR ( $2.4 \pm 1.3$  vs.  $2.9 \pm 1.3$  ml/beat,  $p>0.05$ ) and at 50%WR ( $4.8 \pm 1.4$  vs.  $5.8 \pm 1.9$  ml/beat,  $p>0.05$ ) however pulmonary rehabilitation increased oxygen pulse at 100%WR ( $5.4 \pm 1.6$  vs.  $7.5 \pm 1.9$  ml/beat,  $p<0.05$ ) (Figure 2).

### Metabolic and functional capacity

Pulmonary rehabilitation increased  $\text{VO}_2$  ( $610 \pm 110$  vs.  $880 \pm 230$  ml/min,  $p<0.05$ ) and work rate ( $36.8 \pm 9.8$  vs.  $55.6 \pm 14.8$  W,  $p<0.05$ ) (Figure 3).

## Discussion

The present study demonstrated that a 8 week pulmonary rehabilitation program: 1) increases ventilatory and cardiac performances; 2) changes ventilatory and cardiac reserves consumption and 3) increases functional capacity.

### VE

Before pulmonary rehabilitation, COPD patients presented almost a plateau on ventilation graph after 50%WR, which suggests dynamic hyperinflation [11]. After pulmonary rehabilitation, ventilation graph no longer presents plateau feature, suggesting dynamic hyperinflation reduction. Georgiadou et al. [12] demonstrated that in the post-rehabilitation period, at identical work rates, significant reductions were observed in end expiratory lung volume and ventilatory demand, indicating reduction in dynamic hyperinflation, once that inspiratory reserve volume was significantly increased, what corroborates with our data.

### Oxygen pulse

Dynamic hyperinflation reduction improves oxygen pulse [13] and reduces dynamic cardiac constraints. Cardiac mechanic is improved by reducing left ventricular afterload that is increased due to the high intrathoracic pressure swings needed to overcome the high elastic and resistive loads in COPD during exercise [14]. Dynamic hyperinflation reduction also increases blood return and preload, optimizing cardiac performance.

### WR

Metabolic inefficient peripheral muscles have a reduced oxidative capacity, consequently, glycolytic ways of energy generation are early activated. As a result of glycolytic ways activation, extra CO<sub>2</sub> from lactic acid buffering induces a higher ventilatory demand. Vogiatzis et al. [15] studied morphological and biochemical adaptations caused by interval training on vastus lateralis during a 10-week training. Muscle biopsy analysis showed an increase in cross-sectional area of both fiber type I and of type II fibers, and increased enzyme activity, reflecting an increase of 19% in muscle performance. Our data demonstrates increase in work rate, suggesting improvement on peripheral muscle oxidative capacity. This probable morphological change hypothetically promoted reduction in ventilatory demand/dynamic hyperinflation, which probably contributed to ventilation and oxygen pulse increases.

### VO<sub>2</sub>

Oxygen consumption increased as a result of multiple system adaptation to exercise. This is an expected result, previously described in a large number of studies.

## Conclusions

A 8 week pulmonary rehabilitation program improved ventilatory and cardiac performances, probably as a result of dynamic hyperinflation reduction. Peripheral muscle improvement also contributed to ventilatory and cardiac performances profile changes.

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