

Safety and Efficacy of Intracoronary Ad-HGF Administration for Treating Severe Coronary Disease: Results From Long-Term Follow-Up of a Phase I Clinical Trial

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Abstract

Objective: This study is a long-term follow-up of our previous phase I clinical trial and aims at evaluating the long-term safety and efficacy of intracoronary Ad-HGF administration for treating coronary disease.

Methods: This study includes 22 patients (11 in the experiment group and 11 in the control group) with diffused and severe coronary disease who had received the optimal standardized medication therapy and was not amenable to revascularization. Intracoronary Ad-HGF gene transfer was administered to the distal part of the accessible artery by over-the wire balloon or at the ostium of the target vessels by diagnostic coronary catheter in the experiment group. Safety parameters were measured and compared between baseline and follow-ups (5-week; 12-month; 36-month) only in the experiment group. The changes of efficacy parameters (ejection fraction, EF) from baseline to 36-month follow-up (Δ EF) were measured in both groups and compared with each other.

Results: This study confirmed the long-term safety of intracoronary Ad-HGF administration for treating severe diffuse coronary disease. All the eleven patients of the experiment group were alive after 36-months follow-up. During the follow-up, no new-onset arrhythmia was recorded; no malignant tumor was diagnosed; no paroxysmal or long-term fever was recorded; no retinal vascular anomaly was diagnosed. There were no statistically significant differences between the follow-ups and baseline in regard to blood parameters, including WBC, Hb, ALT, AST, BUN, Cr, CEA and AFP. In addition, intracoronary Ad-HGF efficiently improved echocardiographic EF at the 36-month follow-up compared to baseline ($F=4.4$, $p=0.024$) and with the control group (Δ EF: 3.5 ± 1.1 vs. -4.5 ± 1.3 , MD: 8, $p=0.0001$). The medium-high dose subgroup also showed higher ECT-EF at the 36-month follow-up than baseline (MD: 4.8, $p=0.017$, $n=8$) and higher improvement of ECT-EF than the control group (Δ EF: 4.8 ± 1.5 vs. 0.3 ± 1.7 , MD: 4.5, $p=0.08$).

Conclusion: Intracoronary Ad-HGF administration is safe and potentially efficient in improving EF of patients with severe diffuse coronary disease in 3-year follow-up.

Keywords: Gene therapy; Hepatocyte growth factor; Angiogenesis; Coronary disease

Introduction

As a pluripotent growth factor, hepatocyte growth factor (HGF) has been proved to have potent angiogenic, anti-inflammatory, anti-fibrotic, and anti-apoptotic effects which can be beneficial for various types of ischemic tissues especially in myocardial infarction and ischemic heart failure [1-5]. Previously, we engineered a replication-deficient adenovirus that carries the HGF gene (Ad-HGF). It mediated high expression of HGF to increase the number of functional arterioles and improve the growth of collateral circulation [6]. In several ischemia animal models, Ad-HGF gene transfer proved to have efficient angiogenesis effects without apparent toxicity and mutation [7,8]. Our preceding phase I clinical study demonstrated the short-term safety of direct intracoronary administration of Ad-HGF to treat

severe coronary disease, which showed that in the acute phase, up to day 35, there were no serious adverse events [9]. This study is a long-term follow-up of our prior phase I clinical trial. It evaluates the long-term safety and efficacy of intracoronary Ad-HGF administration for treating severe diffuse coronary disease.

Materials and Methods

Study design

This study was performed in the Department of Cardiology at the First Affiliated Hospital of Nanjing Medical University, which was approved by the Ethical Committee of the hospital and the State Food and Drug Administration of China (ref. 2005L01181). The written consents were obtained from all patients. Each patient received the optimal standardized medication therapy for coronary disease including aspirin or clopidogrel, beta blockers, statins, angiotensin

conversion enzyme inhibitors or angiotensin II receptor blockers, and was treated with Ad-HGF only once (constructed and produced by Chinese Academy of Military Medical Sciences) [9-12]. Inclusion criteria for the intracoronary gene transfer were 50-80 years old with Canadian Cardiovascular Society class II to III angina, suffered from diffused and severe coronary disease confirmed by coronary angiography, the main coronaries not amenable to interventional therapy (angioplasty or stenting) or bypassing grafting, and no emergency revascularization during follow-ups. Patients were excluded if they had malignancy, kidney failure, hepatic failure, acute myocardial infarction or received emergency revascularization.

In our previous phase I clinical trial, there were 18 patients assigned to three groups according to the dosage of Ad-HGF (5×10^9 pfu, 1×10^{10} pfu, or 2×10^{10} pfu), and each group had six patients, seven patients were excluded since they received revascularization during follow-ups. Finally, 11 patients were included in the experiment group of this study (three in 5×10^9 pfu group, four in 1×10^{10} pfu group, and four in 2×10^{10} pfu group) (Table 1).

Parameter	Experiment group			Control group	F	P
	5×10^9 pfu	1×10^{10} pfu	2×10^{10} pfu			
N	3	4	4	11	-	-
Male	2	4	4	8	-	-
Age, years	64 ± 8.9	61.5 ± 8.2	62.5 ± 8.8	61.6 ± 10	0.06	0.98
Risk factor						>0.05
Hypertension	2	2	3	8	-	
Diabetes	2	3	3	7	-	
Smoking	1	2	1	6	-	
Medications						>0.05
Aspirin/ Clopidogrel	3	4	4	11	-	
Statin	3	4	4	11	-	
ACEI/ARB	3	3	4	11	-	
β-blocker	3	4	3	11	-	

ACEI: Angiotensin Conversion Enzyme Inhibitors; ARB: Angiotensin Receptor Blockers

Table 1: Characteristics of the 22 patients included in the study.

Gene transfer

Coronary angiography was performed *via* the femoral artery according to standard procedures. Based on the previous study [9,10], Ad-HGF gene was transferred to the distal part of the accessible artery by over-the wire balloon. If the vessel could not be reached, the procedure was performed by diagnostic coronary catheter at the ostium of the target vessels [9,10]. Ad-HGF was diluted to 2 ml with normal saline and then injected within 30 sec, followed by flushing of the catheter with normal saline. Coronary angiograms were obtained at the end of each infusion [9,10].

Safety parameters

The safety parameters including death, acute myocardial infarction, acute stroke, new-onset arrhythmia, malignant tumor and paroxysmal or long-term fever, retinal vascular anomaly, as well as several blood parameters including white blood cell count (WBC), hemoglobin (Hb), alanine aminotransferase (ALT), aspartate aminotransferase (AST), creatinine (Cr), serum urea nitrogen (BUN), carcinoembryonic antigen (CEA) and alpha-fetoprotein (AFP) were measured at baseline and during follow-ups in the experiment group.

Efficacy parameters

The efficacy parameters including echocardiographic ejection fraction (EF) and EF of cardiac Emission Computed Tomography (ECT-EF) were measured at baseline and 36-month follow-up in both groups.

Statistical analysis

Stata 10.1 was used for statistical analysis. Repeat measured ANOVA was performed to evaluate the difference in safety parameters between baseline and follow-ups. Paired t test was performed to evaluate the mean difference of changes in EF (baseline to follow-up) between experiment group and control group. The results are presented as mean difference (MD) ± standard deviation and $P < 0.05$ was considered statistically significant.

Results

Clinical characteristics

Characteristics of the recruited 22 patients are shown in Table 1, and there were no significant difference between groups.

Safety parameters

All the eleven patients of the experiment group were alive after 36-months follow-up. One patient suffered from an acute stroke in the 7th month post-procedure. It was regarded as a serious adverse event but was not directly related to the treatment. During the follow-up, no new-onset arrhythmia was recorded; no malignant tumor was diagnosed; no paroxysmal or long-term fever was recorded; no retinal vascular anomaly was diagnosed.

There were no statistically significant differences between the follow-ups (5-week, 12-month or 36-month) and baseline in regard to blood parameters, including WBC, Hb, ALT, AST, BUN, Cr, CEA and AFP (Table 2). This indicated that there were no significant hematocytopenia, tumor biomarkers, liver function and renal function abnormalities during the follow-up.

Efficacy parameters

In the experiment group, the 36-months follow-up revealed a significant higher echocardiographic EF compared to baseline and other follow-ups (Repeat one-way ANOVA: $F=4.4$, $p=0.024$). Finally, nine of eleven patients had improved echocardiographic EF at the 36-month follow-up (Table 2). Subgroup analysis showed higher ECT-EF than baseline (MD: 4.8, $p=0.017$, $n=8$) in eight medium-high dosage cases (1×10^{10} and 2×10^{10} pfu) but not in three low dosage cases (5×10^9 pfu).

Compared to the control group, the experiment group showed a significant higher improvement of echocardiographic EF from baseline to 36-month follow-up (δ EF: 3.5 ± 1.1 vs. -4.5 ± 1.3 , MD: 8, $p=0.0001$). Subgroup analysis of the eight medium-high dosage cases suggested that experiment group had a trend of higher improvement of ECT-EF (baseline to 36-month follow-up) than control group (δ EF: 4.8 ± 1.5 vs. 0.3 ± 1.7 , MD: 4.5, $p=0.08$), although the difference did not reach the significant level.

Parameters	baseline	5-week	12-month	36-month
Safety parameters				
WBC ($10^9/L$)	6.8 ± 1.5	5.8 ± 1.2	7 ± 1.6	7.4 ± 1.6
Hb (g/L)	125.5 ± 12.9	127.3 ± 9.2	124.9 ± 10.6	125.9 ± 11.5
ALT (U/L)	25.6 ± 6.6	23.8 ± 4.2	25.9 ± 17.6	21.8 ± 11
AST (U/L)	22.3 ± 5.8	19.6 ± 2.6	22.3 ± 7.2	20.8 ± 7.5
BUN (mmol/L)	5.8 ± 1.2	3.9 ± 1.6	5.4 ± 1.9	5.3 ± 0.7
Cr (μ mol/L)	72.7 ± 30.9	73.2 ± 22.4	84.3 ± 29	69.2 ± 29.9
CEA (ng/mL)	1.3 ± 0.6	1.3 ± 0.8	1.7 ± 1.1	1.3 ± 1.2
AFP (ng/mL)	4.7 ± 2.4	4.4 ± 2.4	5.5 ± 3.2	3.9 ± 2.5
Efficacy parameters				
echocardiographic EF %	55 ± 8.9	55.6 ± 8.8	55.9 ± 8.4	$58 \pm 9.1^*$
ECT EF%	56.9 ± 15.5	59.7 ± 16.9	57.6 ± 13	59.2 ± 7
*P value<0.05 as compared with each other. WBC: White Blood Cell Count; Hb: hemoglobin; ALT: Alanine Aminotransferase; AST: Aspartate Aminotransferase; BUN: Serum Urea Nitrogen; Cr: Creatinine; CEA: Carcino Embryonie Antigen; AFP: Alpha Fetoprotein; EF: Ejection Fraction; ECT: Emission Computed Tomography.				

Table 2: Safety and efficacy parameters between baseline and follow-ups in the experiment group.

Discussion

Millions of patients are diagnosed with ischemic heart disease and ischemic heart failure. Over 80% of symptomatic coronary disease patients can be managed with conventional revascularization methods such as CABG or coronary stenting [13]. However more than 10% of patients with persisting symptoms and cannot be revascularized are referred to tertiary intervention centers [13]. These cases pose a therapeutic challenge which demands the exploration and evaluation of new methods of therapy.

Angiogenesis is a promising method for treating ischemic cardiac disease, which provides a new concept of revascularization [1]. HGF, one of the useful “angiogens”, has shown potent angiogenic actions in ischemic tissues [1,14-16]. In our previous studies, we successfully inoculated ischemic animal models with high expressive Ad-HGF and achieved effective angiogenesis without apparent toxicity and mutation [6]. Our previous phase I clinical study demonstrated the short-term safety of intracoronary Ad-HGF administration for treating severe coronary disease in humans [9].

This study, a long-term follow-up of previous phase I clinical trial, confirmed the safety of intracoronary Ad-HGF administration for treating severe diffuse coronary disease. No death, no new-onset arrhythmia, no malignant tumor and no paroxysmal or long-term fever were recorded during the long-term follow-up. Although one stroke occurred, it did not seem to be directly related to the therapy.

Intracoronary Ad-HGF administration showed efficacy in improving echocardiographic EF during the 36-month follow-up, when compared not only to baseline but also with control group. Although ECT results did not reveal the significant improvement seen in echocardiographic EF, the medium-high dosage subgroup (1×10^{10} and 2×10^{10} pfu) also showed higher EF than baseline and higher δ EF than control group. These results suggest that intracoronary Ad-HGF administration is efficient in improving EF of patients with severe diffuse coronary disease, and the efficacy may have a “dose-dependent” effect. It indicates that this new gene therapy might be a promising method to treat patients with diffuse coronary disease, who are not amenable to conventional revascularization or still have obvious symptoms after conventional revascularization of main coronaries.

This study has limitations in evaluating effectiveness of Ad-HGF. First, the number of alternative subjects is limited and the sample is small, so the difference between different dosages cannot be evaluated exactly. Second, due to the design, this study is just a controlled but not randomized trial, so the evidence level is not high. Next, our phase II clinical trial will be constructed to illustrate the effectiveness of Ad-HGF through another superior administration route (intramyocardial injection).

In conclusion, the results of the 3-year long-term follow-up of our previous phase I clinical trial, demonstrate that intracoronary Ad-HGF administration is safe and potentially efficient in improving EF of patients with severe diffuse coronary disease.

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