

## Tardigrade Exposure to Outer Space Conditions – An Experimental Validation

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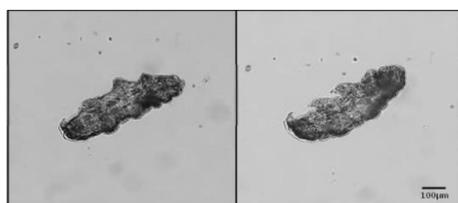
### Abstract

Researchers have identified and used regions characterized by extreme environmental conditions on Earth as analogue sites for conducting astrobiological experiments. Researchers also have simulated extreme environmental conditions in laboratory settings. Whether data obtained at analogue sites or in laboratory settings would be similar to data obtained extraterrestrially is unknown because opportunities for comparison occur very rarely. We realized such an opportunity by replicating a 'bioexposure' experiment that was conducted recently in Earth orbit. Tardigrades (Phylum Tardigrada – extreme-tolerant, microscopic invertebrate animals) exposed to desiccation and radiation treatment combinations in a laboratory setting yielded survivorship curves similar to survivorship curves yielded in 2007 by Tardigrades exposed to outer space conditions in the BIOPAN facility aboard the FOTON-M3 spacecraft. This constitutes the first direct comparison demonstrating that data acquired extraterrestrially can be replicated in a laboratory setting on Earth, validating Earth-based, laboratory setting research.

**Keywords:** Astrobiology; Desiccation tolerance; Extreme environment; Life science; Radiation tolerance; Tardigrade.

### Introduction

The potential for organisms to survive exposure to outer space conditions depends on their abilities to tolerate extremely low pressures (i.e., vacuum), temperatures (i.e., freezing), and humidities (i.e., desiccation) and high radiation (i.e., solar and cosmic) levels. Tardigrades are the only animals to have survived exposure to outer space conditions, during their journey aboard the FOTON-M3 spacecraft [1]. We herein briefly review the morphological and molecular characteristics that suit tardigrades as ideal organisms for astrobiological research and describe an experiment that we conducted to determine whether survivorship curves acquired extraterrestrially from the FOTON-M3 mission can be replicated terrestrially in a controlled, terrestrial laboratory setting.



**Figure 1:** A *Macrobiotus harmsworthii* specimen viewed dorsally (left) and laterally (right).

### Tardigrade Biology

The Tardigrada, literally 'slow walkers,' is a phylum that contains microscopic panarthropod species, known colloquially as 'water bears.' Tardigrades inhabit environments ranging from marine to freshwater

to semi-terrestrial, in a planet-wide distribution [2-6]. Tardigrade morphologies are characterized by elongated, bilaterally symmetric bodies (ranging typically from 0.25 mm to 1.0 mm in length) including four trunk segments, a head segment with eyes, and four paired stub-like lobopod legs that terminate distally in claws or digits [7] [Figure 1]. The phylum is categorized into two extant classes, Eutardigrada and Heterotardigrada, containing more than 1000 species [7,8]

The phylum is renowned for the tolerance exhibited by member species. In response to exposure to extreme conditions, individuals in some tardigrade species enter a characteristic 'cryptobiotic' state, in which metabolic activity effectively ceases (at least at measurable levels). This ametabolic configuration is referred to as the 'tun' state and its onset is accompanied by morphological changes – invagination (i.e., limbs), infolding (i.e., cuticle), and contraction (i.e., body) – similar to contraction in wheel animals (i.e., rotifers) [9] and coiling in roundworms (i.e., nematodes) [10].

### Tardigrade Freeze Tolerance

As observed in other organisms, tardigrades upregulate stress molecules, such as 'antifreeze' proteins, which lower freezing points, and ice nucleating agents, which promote ice formation extracellularly (reducing osmotic shock), to minimize detrimental effects in response to extreme freezing [11-13]. That ice nucleating agents comprise proteins and lipoproteins was demonstrated by heating some individuals prior to inducing them to enter the tun state; the temperature at which ice formation initiated was lower in heated than control groups, presumably as a consequence from denaturation at elevated temperatures [14].

Additionally, nonreducing compounds, such as 'freeze-drying' sugars like trehalose, replace water around cellular macromolecules, vitrifying media and preventing intracellular damage [15-17]. Trehalose levels, however, typically are lower in tardigrades than they are in other freeze-tolerant taxa [14]. In response to experimental

freezing below crystallization temperatures, 80-90% total body water froze to ice in individuals in two Arctic tardigrade species [13]. Extracellular ice may provide support to internal organ systems, averting the need to enter the tun state for freeze tolerance (entering the tun state is required for desiccation tolerance, whereupon water content is reduced drastically) [18]. Survivorship is enhanced if individuals enter the tun state gradually, in response to slow cooling (e.g.,  $-1^{\circ}\text{C hr}^{-1}$ ) [19]. Active metabolism is necessary for tun formation; slow cooling presumably allows time for cryoprotectants to accumulate [20]. During freezing, the phase change from water to ice can cause cellular damage [21]. Tardigrades that were induced experimentally to enter the tun state incurred single strand breaks to their DNA, and these damages increased with trehalose destruction induced by oxidative stress and high temperatures [22].

Tardigrades can tolerate extreme freezing in hydrated or dehydrated states [14,20,23]. Freezing in a hydrated state imposes a greater risk than does freezing in a dehydrated state; dehydrated individuals thus tend to survive freezing more effectively than do hydrated individuals. In a comparative analysis involving hydrated and dehydrated individuals in three tardigrade species maintained at  $-22^{\circ}\text{C}$ ,  $-80^{\circ}\text{C}$  and  $-180^{\circ}\text{C}$ , survivorship was lowest among hydrated individuals, suggesting that freezing in a hydrated state incurs a higher cost to longevity than does freezing in a dehydrated state and freezing temperatures might have preservation effects that are amplified in desiccative conditions [23].

Species that are very successful at tolerating extremely low temperatures were found to be very successful at tolerating extremely low humidities. Freshwater and semiaquatic species, including the one used for the research described herein, the eutardigrade *Hypsibius dujardini*, are unadapted to desiccation and generally less successful at surviving extreme freezing [24].

### Tardigrade Desiccation Tolerance

As they do with freeze tolerance, tardigrades synthesize protective compounds such as trehalose to protect anatomical structures from damage during desiccation tolerance [19,25,26]. Individuals in the species *Richtersius coronifer* produced 2.3 dry weight trehalose during desiccation, reaching a maximum 48 hours after entering the tun state [16]. Tardigrades such as *R. coronifer* contain relatively low trehalose levels relative to other desiccation tolerant organisms, such as the arthropod species *Artemia salina* (cysts) and *Polypedilum vanderplanki*. Trehalose production is species specific; individuals in the species *Milnesium tardigradum* synthesize no trehalose [27] and trehalose accumulation has yet to be observed in the class Heterotardigrada [28], for instances. This suggests that other compounds play protective roles in response to desiccation. Consistent with this suggestion, wax secretions added to outer cuticle surfaces minimize transpiration, allowing tardigrades to retain up to 15% total body water [23].

Also as with freeze tolerance, survivorship is enhanced if individuals are allowed to synthesize compounds gradually, in response to slow desiccation. Anesthetized tardigrades are unable to enter the tun state when desiccated and die; similar to the situation with freeze tolerance, slow desiccation presumably allows time for elevated compound levels to accumulate. Survivorship additionally is enhanced if individuals experience desiccation at high relative humidities (i.e.,  $>70\%$ ) [19,27]. Rapid desiccation warps tardigrade bodies into irregular shapes, and individuals typically fail to revive upon rehydration [3,19]. Hengherr et al. [27] showed that individuals

that were subjected to alternating hydrated- and dehydrated-feeding regimes exhibited active longevities similar to individuals that were reared in hydrated (i.e., control) conditions, in the tardigrade species *Milnesium tardigradum*. This demonstrates that individuals in that tardigrade species incur no costs in surviving desiccative conditions. Desiccated tardigrades have been documented to have survived experimentally up to 8 years in the tun state [24,28], apocryphally up to a century [29,30].

### Tardigrade Radiation Tolerance

Initial research on radiation tolerance in tardigrades revealed an association with humidity. May et al. observed that dehydrated individuals survived much longer UV radiation exposures than did hydrated individuals in the species *Macrobiotus areolatus*, with a lethal-dose 50% (LD50) value exceeding 5 kGy for both groups [31]. Later research revealed that the association is nonuniversal among tardigrade species. Hydrated and dehydrated individuals in *Richtersius coronifer* [32,33] and *Milnesium tardigradum* [34] exhibit similar survivorship after exposure to ionizing radiation.

Jönsson et al. studied  $\gamma$  radiation effects on life history and survival for individuals in hydrated (0.5-5 kGy) and dehydrated (1-9 kGy) states in the eutardigrade species *Richtersius coronifer*. Dehydrated and hydrated groups irradiated with 1 and 0.5 kGy yielded survivorship curves that were similar to control group survivorship curves. Hydrated groups irradiated with 2 kGy yielded more-pronounced mortality 5-10 days post revival; with 3 kGy yielded steeply increasing mortality a few days post exposure; and with 4 kGy and 5 kGy exhibited little viability and only subtle leg movements; one tardigrade irradiated at 7 kGy showed subtle movements after 47 hours. Mortality in dehydrated groups similarly increased with dosage but more gradually than it did in hydrated groups. Decreased egg production occurred as radiation dosage increased, and no eggs produced by irradiated tardigrades hatched [32].

Horikawa et al. exposed individuals in the species *M. tardigradum* to a variety of radiation types (e.g., 1.0-7.0 kGy  $\gamma$  ray, 1.0-8.0 kGy 4He heavy ion) and observed that two-day post-irradiation individuals were characterized by LD50=5.0 kGy ( $\gamma$  ray) and 6.2 kGy (heavy ion) in hydrated states and 4.4 kGy ( $\gamma$  ray) and 5.2 kGy (heavy ion) in dehydrated states. Higher gamma radiation doses resulted in shorter life spans in individuals in hydrated and desiccated conditions. All individuals exposed to 2.0-4.0 kGy died within 31 days, whereas some were able to survive exposures up to 1000 Gy ( $\gamma$  ray). Individuals in hydrated conditions yielded slightly higher survival rates than did individuals in desiccated conditions [34]. Radiation doses greater than 1.0 kGy caused sterility; only a single specimen (exposed to 2.0 kGy  $\gamma$  ray) among those irradiated laid eggs (among those eggs, three failed to hatch), whereas non-irradiated individuals laid viable eggs. Horikawa et al. later exposed laboratory-cultured samples in the species *Ramazzottius varieornatus* to 4000 Gy radiation ( $^4\text{He}$  heavy ion), observing approximately 100% and 90% survival rate with individuals in hydrated and dehydrated conditions, respectively [35].

Nilsson et al. examined radiation tolerance in *R. coronifer*. Individuals in dehydrated state were exposed to 0.5-15 kGy 2.55 MeV proton radiation. Individuals were unaffected up to 10 kGy radiation; viability decreased markedly for greater doses. Scanning transmission ion microscopy revealed that dehydrated specimen thicknesses exceeded 150  $\mu\text{m}$ , the penetration depth for the radiation [33].

Altiero et al. exposed individuals in hydrated and dehydrated states in the species *Paramacrobriotus richtersi* and *Ramazzottius oberhaeuseri* to 7 UV radiation levels between 10.32 and 87.72 kJ m<sup>-2</sup> in combination with other stressors, like low temperature. Individuals exhibited impressive survivorship to high radiation level exposure. Remarkably, individuals in hydrated states tolerated extreme conditions more effectively than did individuals in dehydrated states in high-humidity and low- temperature environments [36].

Tardigrades became the first animals to survive exposure to outer space conditions, in 2007. Individuals from two tardigrade species (*M. tardigradum* and *R. coronifer*) were launched successfully into outer space aboard the European Space Agency (ESA) FOTON-M3 robotic spacecraft, at a low Earth orbit (258-281 km above sea level; Jönsson et al., 2008). Individuals in dehydrated states were exposed to space vacuum alone, space vacuum and UVA-UVB (i.e., 280-400 nm), and space vacuum and UVfull (i.e., 116.5-400 nm). All individuals were exposed to ionizing solar and galactic cosmic radiation. Control and vacuum-exposed groups exhibited similar survival rates, whereas UVA-UVB and UVfull-exposed groups exhibited lower survival rates. Among UVA-UVB-exposed individuals, 68% revived within 30 minutes, but subsequent mortality was high in *M. tardigradum*; one revived in *R. coronifer*. Among UV<sub>full</sub>-exposed individuals, three survived in *M. tardigradum*. We show herein that results bearing striking similarity to those obtained in the FOTON-M3 mission can be obtained in an outer space simulation experiment on Earth.

## Materials & Methods

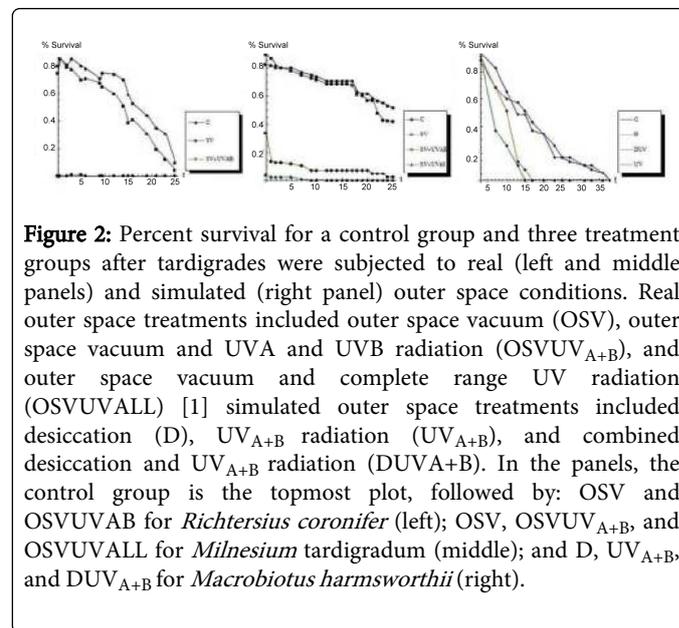
Specimens in the eutardigrade species *Macrobriotus harmsworthii* were sampled and collected from moss-living populations retrieved from the forest floor and tree bark in Cootes Paradise, Hamilton, Ontario, Canada. Adult specimens were extracted from moss samples and hydrated for 48 hours at 10°C and maintained in 2 mL culture wells containing 1.5 mL distilled water and moss sediments.

Throughout a 38-day period, 3 groups containing 35 specimens were exposed to conditions that were analogous to those experienced by tardigrades in the outer space experiment [1]: subjected to desiccation only (D), exposed to UV<sub>A+B</sub> radiation only (UV<sub>A+B</sub>, 290-390 nm), subjected to desiccation and exposed to UV<sub>A+B</sub> radiation (DUV<sub>A+B</sub>). Hydrated specimens with added moss sediments were desiccated gradually over a 2 to 4 day period in dark conditions at 10°C. To replicate the radiation experienced by tardigrades on the FOTON-M3 spacecraft, specimens were exposed to continuous UVA and UVB radiation (290-390 nm at 25 W) at 10°C. A control group (C) containing hydrated specimens was maintained at 10°C for comparison. Surviving specimens in the 4 groups were counted periodically and fed algae (*Chlorococcum* sp. and moss leaflets); water was replenished for surviving specimens in the hydrated groups (C and UV<sub>A+B</sub>) every 2 to 4 days. Counting was conducted every 2 to 4 days at 10°C in dark conditions. Animals were considered living if they displayed coordinated leg movements. Data that were obtained were compared to data obtained in the FOTON-M3 mission [1]. Comparisons were conducted with logrank tests supplemented by Bonferroni corrections (whereupon  $\alpha=0.0125$  was used as the significance level for 4 *post hoc* tests).

## Results

Life spans for individuals in the nonirradiated groups (C and D) were extended (mean time, t=43 days for both) relative to life spans for

individuals in the irradiated groups (UV<sub>A+B</sub>, t=22 days; DUV<sub>A+B</sub>, t=27 days; P<0.125; Figure 2). Survivorship differed significantly between control and irradiated-desiccated groups (C and DUV<sub>A+B</sub>; P<0.125).



**Figure 2:** Percent survival for a control group and three treatment groups after tardigrades were subjected to real (left and middle panels) and simulated (right panel) outer space conditions. Real outer space treatments included outer space vacuum (OSV), outer space vacuum and UVA and UVB radiation (OSVUV<sub>A+B</sub>), and outer space vacuum and complete range UV radiation (OSVUVALL) [1] simulated outer space treatments included desiccation (D), UV<sub>A+B</sub> radiation (UV<sub>A+B</sub>), and combined desiccation and UV<sub>A+B</sub> radiation (DUV<sub>A+B</sub>). In the panels, the control group is the topmost plot, followed by: OSV and OSVUVAB for *Richtersius coronifer* (left); OSV, OSVUV<sub>A+B</sub>, and OSVUVALL for *Milnesium tardigradum* (middle); and D, UV<sub>A+B</sub>, and DUV<sub>A+B</sub> for *Macrobriotus harmsworthii* (right).

## Discussion

Desiccated individuals exhibited no significant difference in survival compared to control individuals, as in the outer space experiment [1] and desiccated-and-UV<sub>A+B</sub>-exposed individuals exhibited significantly reduced survival.

The outer space experiment constituted the first study designed to test effects from actual radiation exposure on tardigrade survival and involved only desiccated individuals [1]. In our controlled, Earth-based experiment, we were able to test desiccation and radiation effects independently and in combination. Irradiated groups (UV<sub>A+B</sub> and DUV<sub>A+B</sub>) exhibited no differences in survival in later weeks (2-5), but both exhibited reduced survival compared to control groups (C, except in week 1, when group DUV<sub>A+B</sub> exhibited no difference relative to group C). We conclude that tun formation arising from desiccation confers no defense against UV<sub>A+B</sub> radiation damage in *Macrobriotus harmsworthii*.

We recognized a rare opportunity to compare an experiment conducted in outer space to a simulation experiment conducted on Earth. This constitutes the first such comparison, validating using laboratory environments on Earth in astrobiological research [37] and providing the first opportunity to document different effects imparted between analogue sites and their real, extraterrestrial counterparts. An important difference, other than location and species, between the outer space experiment and the experiment reported herein concerns exposure. The outer space experiment involved a relatively acute, high dose exposure (UVA - UVB>7000 kJm<sup>-2</sup>; realized as 280-400 nm UVA-UVB in space vacuum and 116.5-400 nm UV<sub>full</sub> in space vacuum, from 10 days orbit at 258-281 km above sea level, where UV<sub>full</sub> represents UV from all spectral ranges), whereas ours involved a chronic, relatively low dose exposure (26 W continuous at 290-390 nm UV<sub>A+B</sub> for up to 38 days). Even given these three differences, effects on survival were comparable from a qualitative perspective.

Our results suggest that astrobiologists may conduct experiments on Earth to assess the possibility for contamination extraterrestrially via spacecraft and probes and additionally using organisms for which outer space travel currently is impractical. Researchers must (and now can) start analyzing the quantitative differences between data obtained from Earth-based and extraterrestrial sites, especially identifying the underlying factors.

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