The Development of TLI (Thoracolumbar Lordotic Intervention) as an Effective Bracing Concept for the Postural Spinal Problems - A Review

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Abstract

Introduction: Gaps in knowledge in biomechanics on how the human spine develops itself to the adult posture still exist. It is clear that the huge increase in spinal problems do lack a sound etiologic base, on which effective preventive, conservative and surgical measurements should be based. An innovative technique to correct scoliosis and kyphosis in a non-surgical way is found in the TLI (thoracolumbar lordotic intervention) brace that is based on reversing of the external etiologic factors.

Method: A search in older or neglected orthopedic and biomechanical literature around spinal deformities was done to support the clearance of “Idiopathy” in spinal deformities. Own research is described on the effectiveness of treatment by braces.

Results: Older literature on postural development was gathered. Environmental factors like sitting were once investigated as causes for deformities and could be revisited. The vulnerability of young tissues and early deformability of especial the thoracolumbar spine (in school and child’s labor) was known and well described. The work of Milan Roth on the essences of growth in the neuro-osseous growth relations showed itself a missing link in ongoing discussions on etiology. We related own observations on the detectable signs of raised tension in system during growth to the tension Roth described on cell biologic level. In the TLI brace technique, based on restoration of the crucial thoracolumbar lordosis in order to get healthy posture proof of the reproducibility of older knowledge could be given. The working mechanism of thoracolumbar lordotic intervention (TLI) was published and a pilot study with 91 children wearing this brace revealed good results and even true correction of scoliotic curves was reached. The events in the sagittal plane during (early) growth can be seen as decisive for the postures later in adulthood.

Keywords: Spine; Deformity; Scoliosis; Kyphosis; Brace; Posture; Thoracolumbar spine

Introduction

TLI bracing is the result of a research and development effort, first in a local and personal setting, over about ten years. It was triggered by the discomfort in a general spinal surgery practice resulting from two issues:

The extensive practice in the last six decades on bracing for scoliosis did not lead to wide consensus in approach and method [1,2]. And the results showed poor in terms of correction. There was a consensus that bracing can stop the deformity progressing if started early enough, but not on evidence of relevant corrective growth. This leaves many patients with the ultimate consequence to be indicated for surgery: implanting external etiologic factors.

In research in the pre WW I era, before the X-ray was used, very perioperative risks studies, but brings fixation and thus limitation to the spinal functions. This solution has also some unsatisfactory elements, because in later life it can bring these patients much discomfort, not to speak about all postural spine.

After centuries of progress in Medicine on causes of ailments, in the last century many orthopedic diagnoses got the adjective idiopathic attached to them. The lack of an understandable pathogenesis and remedies based on that has led to a dispersed conceptual thinking on possible causes, so a lot of undirected “trial and error” research and practice, the latter in both surgical as conservative forms, can be seen.

An extensive search in pre-Pub Med skeletal deformity related literature was done. Goal was to find if some helpful causative concepts were missed in time, or missed because of the limitations of the diagnostic techniques available in former days. And if so, can we formulate and apply consequences in treatment strategy.

Characteristics of Scientific Progress in Earlier Research Periods

In research in the pre WW I era, before the X-ray was used, very extensive scientific work can be found in German textbooks on the relation between posture and locomotion. Also was written down, that the correct position and capacity of musculature and its formation power (Form follows function) is dependent of a good balanced posture from the start. By the lack of X-rays this was based on in vivo experiments, clinical observations (also epidemiological in schools) and by anatomical studies. Basic biologic knowledge and knowledge on evolutionary medicine, that evolved quickly in the nineteenth century was incorporated in orthopedic textbooks. These contain a lot of undisputed wisdom in basic orthopedics on normal morphogenesis.
and pathology [4]. In 1912 Mark Jansen published his book (in Dutch) on the already accepted functional causes of scoliosis [5]. He brought a complete overview of knowledge on any aspect till then. It was the first and till our time the most impressive disclosure of the source of rotational forces in the human body that are needed to get a scoliosis and the relation between ventilation and spinal rotation in humans. He undertook different studies, the cadaveric with Robert Jones of Liverpool, that the asymmetric forces produced in ventilation by the asymmetric forces of the crurae diafragmaticae causes torque lumbar left sided and subsequently counter torque right sided at the thoracic spine. The way of description of the early “Sitzbuckel” or early kyphosis in siblings and toddlers is unique and with the clinical explanation that this ends in contractures at the thoracolumbar joint, could give a lasting explanation on the cause of scoliosis, if not the start of wars stopped this trail. But there no reference can be found in this era of growing knowledge on postural development on the active or passive role of the CNS in morphogenesis. There was strong awareness from Descartes on, of the important place of the brain and nerves in the complete function of the bodily system, but there was no insight in its intrinsic function or development in the phase of growth. The relationships between the CNS and the autonomic nervous system were known and also was depicted, that most of our balancing system and movements is based on reflexes (propirocepsis), independent of our cognitive brain But at the time Ramon y Cajal got the Nobel prize for the discovery of the synapse, unhappily the divergence between specialists’ dealing with the two formative parts of the neuro-locomotor system was a fact. So the science concerning the musculoskeletal system lost contact with that concerning the neuromuscular system for more than a century.

The era of 1915 to 1945 shows no visible renewal or progress in methods or conceptual vision on spinal deformities by the tragic worldwide turmoil’s that drove attention from doctors away from the normal growing child towards traumatology.

After WW II all research on scoliosis is pathomorphological and epidemiological in nature. The focus is on description of the curvature; X-ray measuring is the method of choice in diagnostics, and the conservative approach with braces in which external forces directed at apical level to “push” back the curves are treatment. And later on surgical conservation gained popularity, especially by Harrington’s invention of correction by intra-operatively expandable metal rods. An explosion in variety of implants followed [6]. But no imminent concept of the working mechanism of the still existent black box is formulated or tested: an explanation concerning how growth is organized and regulated (forces, masses, volumes) by the oldest organ of animal life: the central nervous system in vertebrates. Growth is mainly seen as a neurohormonal regulated increase in volume by mitosis, so that in the search for further clues in etiology of scoliosis the biochemical substances and genetics are in focus. But neuromuscular theories to explain the intriguing way our muscles give balance gain popularity [7]. Clinical assessment is on its way back: Cobb warned extensively at the explain the intriguing way our muscles give balance gain popularity [7].


In the years 2003-2005 we rediscovered the complete work of Milan Roth. His work is totally overseen by the mainstream of orthopedic and neuroscience. His starting point was the observations he did in scoliosis with air as contrast in myelographies (pneumomyelography). He worked behind the Iron Curtain and published mainly in Czech and German language in East European Journals. Porter deserves the credit of first pointing to the value of this work for orthopedics [9]. We extensively examined the total work on his growth concepts. An overview of it on causes of spinal deformations was published in 2008 [10]. In his concept of the “Nervous Skeleton” and its way of growth Milan Roth developed between 1960 and 1985 his concepts on neurovertebral and neuro-osseous growth relations and the tension driven incongruence of growth (Figure 1) [11]. Roth provided biological experiments on how growth appears to support the older clinical observations in the nineteenth century. In animal experiments, mechanical modeling and radiological studies with air as contrast in scoliosis he stressed the role the growth by stretching of “the nervous skeleton” has in relation to the (ab-) normal formation of the spine/skeleton by its mitotic based volume growth. The growth by stretching of the nervous system is a known high energy and oxygen demanding process. So is lack of oxygen is an initiator of deformities in Cerebral Palsy, because it destroys the stretch ability of axons. He was capable to induce scoliosis and other deformities in animals in teratogenic studies by intra-uterine injections of real inhibitors of neural growth and function. So a “short cord” can indeed cause scoliosis [12-15]. Although in kyphotic deformities asymmetry in the coronal plane is very common, but the same type of incongruency in growth relations is seen, there is little effort done to understand pathological postures as seen in Scheuermann “disease”. Out of own unpublished material the same diminished caliber and tethered configuration of cord and roots, as well as concomitant Arnold Chiari malformations can be made visible on MRI in kyphotic children too. The stem-cord-roots complex is tethered, not by pathologic fibrous connections, but by intrinsic stiffening. Recent studies with MRI in idiopathic scoliosis supports this [16]. Neurosurgical articles on traction problems of the cord as in myelomeningocele do not refer to this intrinsic shortening depicted by Roth, but mainly on external tethering [17-19].

Roth deducted in a Socratic manner the way Wolff’s Law and the Volkmann Hueter principle will work on a cellular level. Growth as an ongoing struggle to balance body masses against gravity has to create flat (Platyspondylie) or wedged vertebral bodies in hindered stretch growth of the cord leading to kyphotic and scoliotic deformities. Andry, van Gesscher and their followers were clear on the underlying relationship between sedentary lifestyle and the problems proper postural growth has to face (Appendix 1). Only now we can attach the European knowledge on how abnormal loading conditions in children on the elastic spinal column (children’s labor, watchmakers, tailors and the sitting conditions in children) leading to the well-known deformities to his knowledge of the nervous growth: the optimal extending forces on the CNS are hindered by the cultural or lifestyle depending factors.
on the musculoskeletal apparatus and/or in serious cases by intrinsic factors like lack of oxygen, infections or drugs, that impair the healthy growth of nervous tissue intracellular.

Bipedalism in men demands a huge effort to the whole bodily system: how do we keep that heavy skull in the most optimal balance and keep the whole mechanism durable without loss of too much energy. An optimal posture and curvature will be beneficial for the proper development and durable function of all other organ systems too as it is for an optimal locomotion in gait and running. It is the cultural change in lifestyle around sitting that puts us progressively in flexed positions, that hinders the normal morphogenesis as well as the physiogenesis.

Recently, the Hong Kong group lead by Cheng and Chu published supportive reproducing studies on Roth’s observations with MRI and neurosignals in idiopathic scoliosis [20,21]. Such research in scoliosis should be done in children with kyphotic deformities too, to establish a same etiologic, only not asymmetrical, pathway of morphogenesis [22].

MRI imaging gives us the opportunity to visualize simultaneously what happens with the cord and roots, the vertebrae, and the muscles involved. In research MRI-imaging should become a new standard in assessing what to look for and how to measure and to interpret it. But all imaging is just a momentum in longstanding processes. For the ongoing processes in time, the fourth dimension, one has to understand Roth’s concepts.

Milan Roth published his views on the causation of scoliosis stating that:

“Idiopathic scoliosis may be interpreted as an adaptive morphogenetic reaction of the vertebral column upon the growth insufficiency of the intraspinal nervous structures: The growth process of the vertebral column, though continuing undisturbed at the cellular level, is adapted at the organ level by “waves” to the growth-insufficient cord-nerve complex with the musculature as the force delivering organ. Morphological features of the scoliotic vertebra together with the typical position of the spinal cord within the spinal canal speak in favour of the suggested vertebra-neural concept which offers a plausible explanation of the congenital and experimental scoliosis as well”.

As life and growth in Nature are always arranged as optimisation processes, he reached the base of the biology of vertebrates. Genetics plays its role, but more in terms of delivering characteristics and qualities like resilience to all sorts of mechanical forces in all cells and not as predicting blueprint. Ailments and deteriorations are end stages of multifactor processes. Form follows function, Darwin would agree on this.

How does this Disproportionate Growth Work out at the Level of the Vertebrae and Musculature?

Growth to complete structural integrity means that muscular strength, fascial and tendon length, ROM of all synovial joints and elasticity and spring like function of nonsynovial connections like intervertebral discs, symphysis etc. must be optimised in their relationship. Disproportionate growth means that the balanced interrelationship between the involved tissues must be disturbed. In the absence of intrinsic stretch ability of nerves as in CP, you see deterioration in all tissues. If there is increased tension in the cord, while its growth is not in pace with the increased length of the skeleton, there must be increased tension in muscles too. This energy of muscles that try to stop skeletal length is capable to deform the soft connecting parts (Volkmann Hueter principle) or the immature bones (Wolff’s Law) of the spine. This tension or tightness in muscles at the backside of the body (erector spinea, hamstrings, and triceps surae) is well known in Scheuermann disease, spondylolisthesis, and juvenile disc herniation but never seen as a functional part of these deformations [20]. Lambrinudi described this tension already in scoliosis too, as we did in 2008 [21,22]. Tension (overstretching) is the only trigger for the nervous cell in the extremities that gives a response by reflexes. The accurate assessment of this tension in the neuromuscular system has the promise of giving us the “early signs” of starting deformations and the precursor of the feared progression. At the other hand proper assessment of the developing posture is possible as well in standing, sitting and bend position. We know to what criteria a good or optimal curvature in the spine should meet. Semi recent literature on good postures is very scarce and not informative what the found variation in (mostly radiologic) measurements of curvature means [23-25]. The variation in curvature in early adulthood should be seen as a resultant of all growing processes and not as a given starting point or genetically desired endpoint. The cord and roots “strive” for a complete central position within the bony structures to arrange at the end of growth enough space at all sides along their course. Roth revised Holtzer’s neuroprotective mechanism on this. The visible spiralization in scoliosis with its apex in a hypokyphotic region is also according to Jansen and only proven by Dickson and his followers in the thoracic spine, because they overlooked apparently the events at the thoracolumbar spine [26].

One of the main explanations can be, looking to the musculature, that in developing kyphosis the proper deepening of the vertebral groove besides the spinal processus become shallow, and with only slight, but persistent torsional forces (Jansen) the erector trunci will slip gradually out of this groove (Figures 2 and 3). The theory and experiments that dorsal shear forces are likely to be present in lordotic parts of a spine still holds as recently was shown [27-29]. But in our opinion this doesn’t incorporate a pre-existent kyphosis in the thoracolumbar junction and older evidence on human spinal behavior and skeletal growth. Most authors describe the dorsal shear forces in (de facto a residual) lordosis, but are not aware that this lordosis or hypokyphosis can only occur above the Level of the Vertebrae and Musculature?

Of paramount importance to understand the message of Roth’s work and recent findings in assessing what to look for and how to measure and to interpret it. Ailments and deteriorations are end stages of multifactor processes. Form follows function, Darwin would agree on this.

Figure 2: MRI (transverse slides) in scoliosis. According to the findings of Milan Roth with pneumomyelography studies, on MRI in the lower thoracic spine in an otherwise healthy female patient of 38 year with a never treated scoliosis, the very asymmetric position of the central cord is seen in the concavity of the curve. Also the slender caliber of the cord is not normal, as is the contact zone of the cord to the bony boundaries of the spinal canal. The picture of a bony canal that spiralizes around the precious cord (Roth), that stays by itself in the middle of the total body has in MRI its modern way of assessing concomitant features of the neural tissue in scoliosis. Of paramount importance to understand the message of Roth’s work and taken consequences in TLI is the flattening of the vertebral groove at the left, concave, side (arrow) and the luxated erecting musculature (curved arrow) out of it, compared to the right side. As a cable that is dislodged from its groove in a trolley. The right muscle shows already some fatty disuse degeneration. The estimated distances from the center of the cord to the center of the muscles still show an equilateral triangle (right).
light and will be deformed in case of a big neighbor or by too much wind which asks for adaptive solutions in further growth.

The MRI presented here under is quite informative and illustrative on how the deformative process works out. Again we see the advantages of MRI-imaging. The different aspects and actors of the process can be made visible: the position and calibre of the cord inside the canal (against the wall at the concave side of the curve), the position and deformation of the vertebra; the form of the vertebral grooves, and the left side/ right side difference of the muscles (volume, position, quality) in relation to their groove as their “cable conductor” (Figure 2).

The Development of a Corrective Concept in Conservative Treatment

On the base of the working mechanism of the formative and deformative growth we made an effort to design, develop and apply a new corrective bracing technique. In looking for supportive statements for this we found some in the work of Roth himself. First Roth is equally clear about what happens in adjusting growing forces, and the therapeutic implications this might have: “Adjustment or rectification of the deformed structure like a scoliotic spine is not accompanied by lengthening, notwithstanding the fact that the scoliotic trunk is elongated; the curved spine is adjusted, not lengthened. The adjustment involves a contraction or reduction of the convex side of the discs. Consequently in adjusting – paradoxally but irrefutably – the spinal canal is rather shortened” (End of citation).

Could we somehow use the same system (the rules of the play) to get back on track again? In our opinion Roth considered that the natural actions embodied within the Haueter-Volkmann principle could be reversed by an elastic (tensile) force. Can we use the more optimal working trajectory of the erecting muscles to realign by this the sagittal position and form of the bony structures in the spine in order to create an intrinsically neuro-muscular well-balanced system. There is little specific systematic research to be found in the last five decades on the functioning and role of the TL-spine in non-deformed spines. The few we found give support to the specific functional aspects of this part in the pathogenesis of deformities as stated here [30].

In the found relation with the early events at the thoracolumbar joint (kyphosis in morphology, contracture in kinematics) and the possibility to come to etiology related therapeutic measurements the concept of Thoracolumbar Lordotic Intervention (TLI) was developed. In 2008 we presented a radiological study in Spine, demonstrating that forceful restoration of lordosis with a fulcrum under the thoracolumbar spine in supine children with double major scoliosis can correct instantaneous both curves significantly (Figure 3) [31]. Also, for the first time, a consequent kyphotic curve instead of the expected lordosis (in scoliosis) was found and described between the two coronal curves at the thoracolumbar spine in standing lateral radiographs. This finding is reproduced very recently by the study group of Shanghai [32].

Can this Instant Reduction and De-Spiralization be Transformed in Structural Corrective Growth with Help of a Brace?

But how promising applying such a forced lordosis looks, it was still just an instant “switch” back to a desired or more natural position. The
study was not a proof of structural, durable corrective growth. Because trying to preserve this 3-D reduction into real corrective growth is a severe challenge as the prevailing method in bracing tries to bring the thoracic spine into kyphosis! Here we come up to an essential statement of Dickson that can be seen as a watershed in post-world war II developments. This is formulated in the key article by Dickson in The Lancet of 1988, from which the following citation comes:

“Treatment considerations: The kyphotic spine clearly requires extension so that the anterior aspects of the growth plates can be unloaded, and if a brace or cast which maintains spinal extension is applied then there is true physiological correction of sagittal spinal shape. Scheuermann disease, therefore, is eminently treatable conservatively. The thoracic lordosis of idiopathic scoliosis would, however, require flexion and this is precisely why the deformity is not treatable conservatively and …… There is no clear evidence that it alters the natural history of the condition. The deformity of idiopathic scoliosis can, therefore, only be corrected surgically.” (End of citation)

In the challenge this quote gave us, TLI was investigated in our brace. Because by forcing the erecting muscles in their normal track or gulley and stretch or extend the spine by that we have to face the fact that this position adds to some “instability” in the thoracic facet joints. These muscles are relatively floppy in the first period in this position; already deformed vertebrae (adjusted to the curvature prevailing loading patterns) can make this position theoretically unstable.

Wearing a brace with TLI principles that holds the structure in the same stabilized position seems the answer. Assuming of course we would be able to conquer this inherent threat of instability, Dickson did not analyze or explore the possibility of such a solution; let alone that he would find evidence for its theoretical background. They have to stick to the events visible on the X-ray that shows a “hypokyphosis” or lordosis at the apex zone of thoracic curves in scoliosis.

In wearing a TLI brace the erecting muscles are guided and stimulated during the first period to adapt to the new (but more natural ) situation In this situation in which further spinal growth will be consolidated in such a span of time that a durable despiralization will be part of the correction too. The theoretical increased instability in the apical regions will disappear if the bone- and disc modelling gets structural. Of course a remaining potential of fast spinal growth in the growth spurt will act as a powerful ally (as is proper compliance) to get as much correction as possible at the end of growth. Besides wearing a brace the musculature must be trained for these “new” positions. Because of the dynamic features of the technique the condition of the muscles will improve, but postural training as in Pilates or successors of the former German “Heilgymnastik” is advisable. Our corrective principle is based on the knowledge of these methods of exercise too.

The Design of as Corrective Brace

The TLI brace that was developed and in use now applies a complete controlled lordosis at the Th12-L1 level and gives an extra strain on the erector trunci through pads at the backside only to avoid temporary flabbiness of these muscles that will use this fulcrum for increase of its performance. The normal growth forces and the proper function of the spine erecting muscles are brought back in their anatomical tracts. The human spine is the only one that has such trails in the form of the “vertebral grooves”, the concavities (incisurae vertebralis) at each side of the spinal processes in the vertebral laminae [33]. And as a second working principle The TLI brace prevents flexion, the rapidly increased and most prevailing “posture” in modern life of children. The German “Sitzbuckel” has its modern counterpart in “the Gameboy back”. The more laxile children are in their soft parts of the system, and girls are genetically, the more chance the ever present rotational forces in the body have to seek pathways of lesser resistance. The constant reduction towards the optimal balanced and symmetric posture will last as was shown in our study in Scoliosis Journal in 2012 [34]. But success will depend on the willingness of any individual with a deformity to wear such a brace for a prolonged time.

Characteristics of the TLI Brace

In TLI-bracing we do not put corrective force on the bony structures, but more logically, stimulate the tension cables of a flexible structure, as the posterior balancing muscles can be called, to do the job they are meant for. Rearrangement of tensile forces is required because of a complete change that occurred in the postural balance in scoliosis or hyperkyphosis. Instead of a slight or clear flexed position of the trunk as in most TLSO’s, we restore antero-posterior curvatures in that trunk, and thus, this rearrangement of masses and muscle forces, important to control the balance will end in a corrected three-dimensional posture. By achieving a more balanced posture the presence or need of “tight hamstrings” disappears (Tensegravity). We gently force the child’s growing body to auto correct the deformity while we focus on intervening only in the sagittal plane. Shoulders and buttocks regain their relative posterior position, while the thoracolumbar area is passively and actively placed at a more anterior position.

Not part of this article, but important to mention is that we under scribe the importance and use of early posture devote Physiotherapy, and continue this while wearing braces to optimise strength and active postural balance during all sorts of activities. As an orthosis is a prescribed device, which must be understood by physicians, paramedics and patients, some description is given.

Characteristics of the Device

Solid brace of PE with preformed lordosis with the apex at the TL area. Since 2014 the braces are moulded in a customised way after 3D scanning and by CadCam methods ( ProReva Apeldoorn). The first amount of lordosis at the TL joint is prescribed by the orthopaedic surgeon on base of a special assessment of the correctabilty in a sitting bending test. There is complete left/right symmetry offered to all spines (Figure 4). TLI braces are open at the back; so there is no direct contact or pressure on the spinous processes or the ribs but only on the midportion of the extending musculature. The opening at the backside provides that all counter wise movements initiated in the TL area are allowed as close as possible to movements of the joints in the
As a result of corrective growth the brace can be made smaller at every next visit. Strips of the plastic rims at the upper part and over the buttocks can be removed to give space to pelvis and shoulders to regain their optimal and more functional position.

### Applicability of the Brace

The TLI brace have been applied in more than 200 patients with scoliosis and hyperkyphosis up to now. Scoliotic curves varied from 14°-76° in the right thoracic and from 30°-74° in the lumbar left curves. Its effectiveness is fastest in children with a good remaining growth potential. We have not yet defined an optimum for age and curve pattern in relation to this effectiveness, but the adagio: as early as possible, is not disputed. Also in spondylolisthesis this brace technique offers a promise, while it will diminish the compressive forces on the posterior parts of the lumbosacral spine. The patient group that is reported in Scoliosis Journal has been treated as a consecutive group.

### Conclusion

In understanding of all available and renewed knowledge on growth and how nature can provide the human spine with pathological curvatures, the TLI concepts on assessing and correcting the progressing scoliosis and kyphosis in otherwise healthy children and adolescents can be an effective tool in preventive and therapeutically strategies. The working mechanism and its relation to causative factors around the physiology of the human balance are explained.

### References


