

The Map of Kashafrud Catchments Prioritization in terms of Flooding Susceptibility Located in Khorasan Razavi Province Based on ELECTRE-TRI Algorithm

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Abstract

Flooding is one of the natural disasters which have effects most areas of the country and caused the loss of life and property; therefore, identifying of areas which are susceptible to flooding is one the most important measures in reducing losses. Classification and prioritization of sub-catchments is the first step to counter or mitigate the effects of flooding in the drainage basins. On this basis, the purpose of this study was to classify the flooding potential of sub-catchments of "Kashafrud" catchment in the "Khorasan Razavi" province by the use of ELECTRE-TRI Algorithm based on optimistic procedure and consistency control perspectives. To achieve this, the 1: 250,000 topographic map and waterways map of the target area were studied and area was divided into 10 sub-basins, then 8 morph hydrological parameters affecting the flooding potential including basin's form factor, elongation ratio, circularity ratio, bifurcation ratio, drainage density, Mean basin slope and area were evaluated. After the evaluation, it was found that "Mashhad" and "Torghabe" sub-basins had a high-risk of flooding because of the high value of drainage density and low value of bifurcation ratio in these areas, which both have a higher effect in flooding potential compared to other factors. Toos, Chenaran, Qazqan and sub-basins had a low risk of flooding because of the low values of the factors which increase the flooding potential and high values of the factors which decrease it.

Keywords: Kashafrud catchment; High risk; Low risk; Flood susceptibility; Morph hydrological parameter

Abbreviations: HEC-HMS: Hydrologic Engineering Center's-Hydrologic Modeling System; AHP: Analytical Hierarchy process; NDVI: Normalized Difference Vegetation Index.

Introduction

According to the statistics, Flood is one of the 3 hydro-geomorphological hazards, which have affected much of the country and have caused the loss of life and property; hence it is considered as one of the country's natural disasters. About 91 million hectares of the country is considered to be susceptible to flooding, in other words, 55 percent of the country is involved in the production of direct and rapid runoff and about 42 million hectares of these area shave medium to very high risk of flooding [1]. Evaluating the flood risk of catchment areas and determining the degree of susceptibility for the flood-prone areas and also the factors affecting the creation and the intensity of flood are some of the basic strategies for flood risk management. Many studies have been carried out in Iran and other countries using qualitative and quantitative methods, to prioritize the areas which are susceptible to flooding and also to determine the vulnerability of drainage basins in terms of flooding. The following studies can be mentioned as the important research in the field of flooding potential and estimation which have been conducted inside the country.

Khosroshahi and Saghafian [2] used hydrological model HEC-HMS and an innovative method of repeated one by one removal of sub-basins in each runoff model of Damavand drainage basin, to calculate the total output rate of this basin by conducting flood routing technique for the major waterways without the effect of removed sub basin. In this study, the sub basin which had the greatest contribution to the flood output of the basin was identified as the sub basin which was most prone to flooding.

Farajzadeh and Nusrati [3] used multivariate regression model to identify the factors influencing flood susceptibility of Gavehrud drainage basin in the western region of Iran, and classify each sub-basin in terms of their ability to produce runoff.

Saghafian and Frazjoo [4] used flood reaction unit method in HEC-HMS hydrologic environment to prioritize the sub-basins of Golestan dam drainage basin in terms of flooding susceptibility. The results showed that a basin with a larger area or more peak flow cannot have a much greater impact on output rate of the whole basin, but other factors such as the location of sub basins and the role of routing in the main river can be important.

Melikian et al. [5] used Fuzzy AHP method to conduct the zoning of Akhtarabad drainage basin in terms of flooding potential, and they concluded that the high-risk zones were located in northern and southern parts of basin and low-risk areas were located in the waterways and the central parts. High-risk zones were located in areas with slopes greater than 60 percent and precipitation of 300-400 mm. Also, the suitable vegetation, developed soil, and permeability of central and downstream areas were some of the factors reducing the flooding potential.

Ataei and Shiran [6] used 13 quantitative variables in fuzzy and

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class cluster analysis methods to identify the homogeneous sub basins of Korun plain. In each of the clustering methods, three sub basin groups were separated from each other, showing that the results of the fuzzy and class methods were almost similar, having only a slight difference in the groups. At the end, descriptive analysis was used to study the descriptive properties of the sub basins; using this method, the sub-basins were also theoretically classified in three groups based on their flooding susceptibility, which showed similarity with clusters of quantitative method.

Ghanavati et al. [7] used AHP model to conduct the zoning of Farahzad (Tehran) basin in terms of flood risk, based on the NDVI criteria, drainage density, distance from the river, precipitation, plan metric specifications and curvature, slope, forms, land use and lithology. The results showed that most areas with high flooding potential were located in the northern and upstream parts of this basin, and that the zones susceptible to flooding were located in areas with slopes between 60-80 percent and precipitation of 400-1000 mm, and that many of these areas were within 300 meters of a Farahzad waterway.

In a research by Karandishet et al. [8], they studied the level of flooding susceptibility in the Karun sub basins and the factors that affecting it by the simulation of integrated and semi-distributed flood management. The results showed that, the level of flooding susceptibility is not necessarily a function of the area. The results of the model sensitivity analysis based on five parameters of main waterway's slope, curve number, size, Gravelius index, and mean sub basin slope, showed that the most important factor in the sub-basins flooding was the curve number.

Therefore, the proper management of sub-basins land usage, as the most important parameter affecting the curve number, had an important role in controlling the floods created in the basin. The following are some of the major studies conducted by researchers from other countries.

Sanyal and Lu [9] used remote sensing and GIS based methods to create maps of flooding vulnerability in Asian monsoon areas.

Levy [10] used Multi-Criteria Decision Making (MCDM) and Decision Support Systems (DSS) methods for flood risk management and concluded that MCDM and DSS can be useful in identifying flood prone areas.

Sinha et al. [11] used AHP model to conduct the zoning of flood risk areas in highways of Fu Ling area in China based on geology, precipitation intensity, frequency of floods, geomorphology, and vegetation criteria. In the end, Areas around highway were divided in to 4 classes of dangerous, high susceptibility, moderate susceptibility and low susceptibility, which covered 11.89, 41.65, 32.2, and 14.26 percent of the total area respectively.

Raju and Kumar [12] used three classification techniques of K-means Cluster Analysis (KCA), Fuzzy cluster analysis (FCA), and Conon Neural Network (KNN) on 25 drainage basins in Khairthal area in India to classify small drainage basins based on morphometric characteristics. For this purpose, 10 morphometric parameters were used for classification that included drainage density, bifurcation ratio, frequency of waterways, surface flow length, form factor, basin shape factor, elongation ratio, circularity ratio, compactness coefficient, and texture ratio. Comparative analysis of classification techniques showed that 52% (13 sub basins) had correlation with all three methods. 68% (17 sub basins) had the highest correlation with K-means clustering and Fuzzy clustering techniques, and 64% (16 sub basin) had the highest correlation with Fuzzy clustering and Conon network techniques, and finally 60% (15 sub basin) had the highest correlation with Conon

network and K-means clustering techniques.

In the course of using decision-making methods in flood studies in Iran, most of their searchers have mainly concentrated on the ranking method decision-making such as AHP and also linear regression ranking methods. Although, some of the out ranking methods have been briefly used in the study of natural hazards such as desertification [13], but the use of these methods in flood studies are truly rare. In this study, basins flood potentials have been studied using TRI approximate dominance method. ELECTRE-TRI model is a classification method for multiple criteria decision making, which classify options based on pre-determined ranges. This classification is created by the comparison of each option with the profiles which represent the boundaries of layers [14]. The purpose of this study is to classify the flooding potential of sub-catchments of "Kashafrod" catchment in the "Khorasan Razavi" province, by the use of ELECTRE-TRI Algorithm based on optimistic procedure and consistency control perspectives.

Materials and Methods

Location of the study area

The study area is a part of Kashafrod catchment with an area of 10888.889 square kilometers. This area which includes the western part of the Kashafrod great basin is located in north East of Iran in Khorasan Razavi province. Upper limit of this area is the city of Ghouchan, and its lower limit is the city of Fareman. From west, it is limited to Binalud mountain range of Nishabur, and from east it is limited to Kalat Naderi mountain range. Geographical coordinates of the study area are 35 degrees and, 58 minutes, 5.5 seconds to 37 degrees, 3 minutes and 7.87 seconds' northern latitude and 58 degrees, 22 minutes, 13.87 seconds to 60 degrees, 4 minutes, 7.87 seconds eastern longitude. Figure 1 shows the location of the study area in Khorasan Razavi province and on the satellite picture from Google earth. The study area has an altitude of 2800 meters in its highest point which is located in the Chenaran sub-basin, and 900 meters in its lowest points which are located in the Qazqan, Farmad, Razavi town, and Mashhad sub-basins. The most important urban areas which are located in and around the study area are the cities of Mashhad, Binalud, Chenaran, Torghabe, Gulbahar and Shandiz. Based on hydrological divisions, study area is comprised of 10 sub-catchments which are shown in Figure 1.

Methods

To assess the flooding susceptibility, first we divided the main basin to 10 main sub-basins include Muchenan, Tous, Chenaran, Qazqan, Razavi town, Torghabe, Mashhad, Gulbahar, Shandiz and Farmad, by using of Google Earth images and 1: 250,000 topographic maps, and based on the waterways of the region. Then we used Morph hydrological parameters affecting the flooding potential including basin's form factor, elongation ratio, circularity ratio, bifurcation ratio, drainage density, and mean basin slope to study the degree of sensitivity and susceptibility to flooding.

Form factor: Equation 1 was used to calculate the form factor of the basin.

$$F.F = \frac{A}{L^2} < 1 \quad (1)$$

In this equation (A) is the area of the basin and (L) is the length of the basin, which is the length of the straight line connecting the basin outlet point to the farthest point on the boundary of basin. If the form factor approaches 1, basin will be more stretched and less sensitive in terms of flooding.

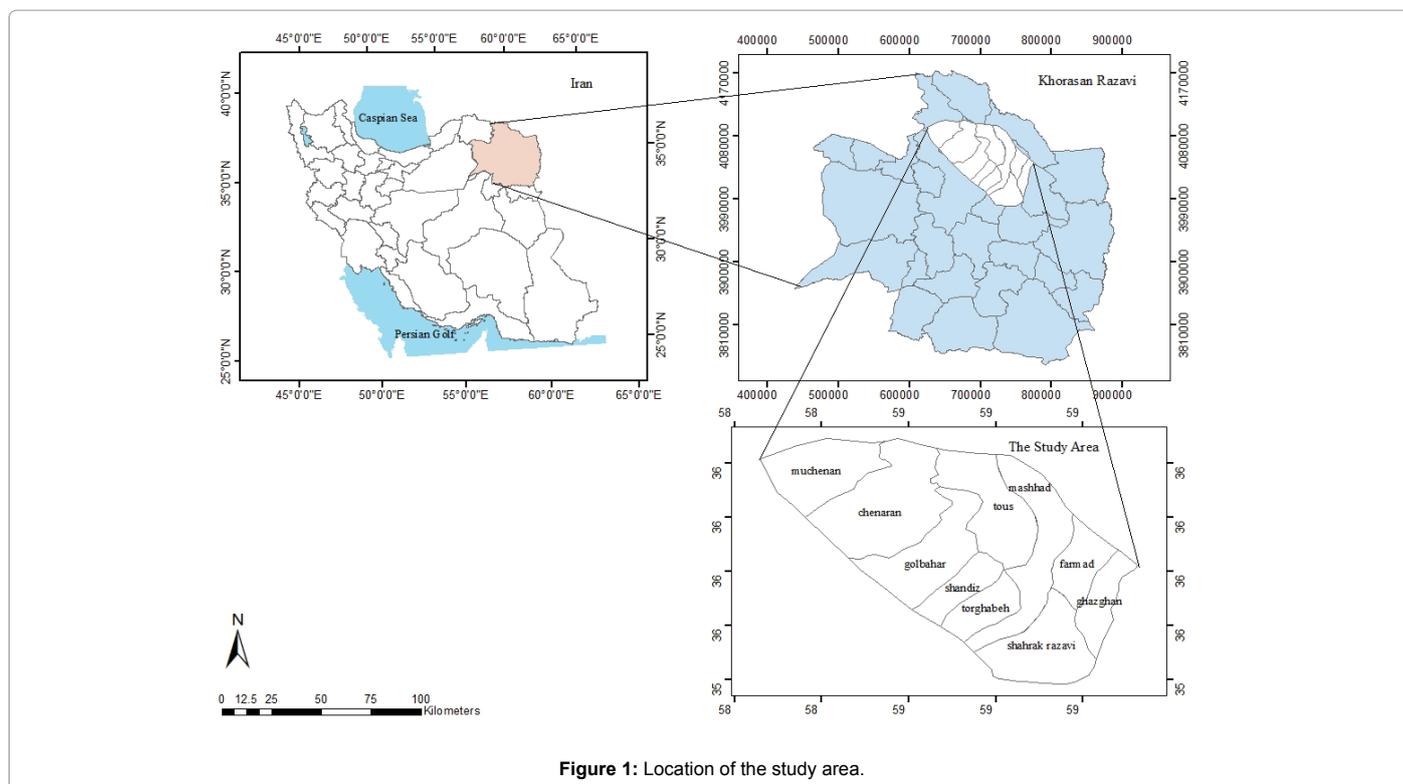


Figure 1: Location of the study area.

Basin Elongation: Equation 2 was used to calculate the Elongation ratio; it was developed in 1956 by Schumm.

$$E = \frac{\text{Diameter of the circle with an area equal to the area of the basin}}{\text{length of the basin}} = \frac{2\sqrt{A}/\pi}{L} \quad (2)$$

In this equation (A) is the area of the basin in square kilometers and (L) is the length of the basin in kilometers. This ratio is always smaller than one and if it approaches 1, basin will be more sensitive in terms of flooding. Basin circularity: Equation 3 was used to calculate the circularity ratio; it was developed in 1953 by Miller.

$$R_c = \frac{12.566A}{P^2} = \frac{4\pi A}{P^2} = \frac{\text{Basin Area}}{\text{Area of the circle with a perimeter equal to the perimeter of the basin}} \quad (3)$$

In this equation (A) is the area of the basin in square kilometers and (P) is the perimeter of the basin in kilometers. This ratio is always smaller than 1 and it is close to 1 in the almost circular basins. If it approaches 1, the basin will be more sensitive in terms of flooding.

Compactness coefficient: Equation 4 was used to calculate the Compactness coefficient; it was developed in 1964 by Strahler. This equation is also known as Gravelius index and is the ratio of the parameter of basin to the parameter of a circle which has an area equal to the area of basin.

$$C.C = \frac{P}{2\sqrt{(A/\pi)}} = 0.282 \frac{P}{\sqrt{A}} \quad (4)$$

In this equation (A) is the area of the basin in square kilometers and (P) is the perimeter of the basin in kilometers. This ratio is close to 1 for the almost circular basins and it is about 1.5 to 2.5 for the stretched basins. If it approaches 1, the basin will be more sensitive in terms of flooding.

Bifurcation ratio is one of the ways to study the condition of drainage network. Bifurcation ratio is the average ratio of the number of

waterways in a rank (order) to the same value in a higher rank (order). It was calculated from equation 5.

$$BR = \left(\frac{N_1}{N_2} + \frac{N_2}{N_3} + \frac{N_3}{N_4} + \dots + \frac{N_{n-1}}{N_n} \right) \left(\frac{1}{n-1} \right) \quad (5)$$

In this equation (BR) is the bifurcation ratio, (N) is the number of waterways in each rank, (n) is the number of the last rank of waterway. As the bifurcation ratio decreases, lower time of concentration cause flood hydrograph to have a higher peak flow compared to other basins with equal conditions, there by the sensitivity of the basin in terms of flooding increases.

Drainage density: Drainage density is obtained by dividing the total length of the hydrographic network including tributary rivers and waterways by the area of the basin. It is correlated with the maximum flow rates of the basin. Drainage density was calculated from equation 6.

$$Dd = \frac{\sum L_i}{A} \quad (6)$$

In this equation (Dd) is the drainage density in kilometers per square kilometer, (L_i) is the stream length in kilometers and (A) is the area of basin in square kilometers.

Mean basin slope: Basin slope has an important role in the amount of runoff, infiltration rate, the intensity of flood and amount of erosion. Its value was calculated using the equation 7 known as the Horton formula.

$$S = \frac{z \sum I}{A} \quad (7)$$

In this equation (S) is the mean basin slope in percent, (Z) is the vertical distance between the contour lines of the curve in kilometers, $\sum I$ is the length of the contour lines of the curve located within basin

in kilometers, and (A) is the area of basin in square kilometers. As the mean basin slope increases, these nsitivity of basin in terms of flooding would also increase. Table 1 shows the values calculated for each of the hydrological parameters influencing the sensitivity of the basin in terms of flooding.

The out ranking Electra method (TRI) was used to prioritize the study sub-basins based on the flooding susceptibility and their ability to create flood. Electra method (TRI) was first proposed in 1992 by Yu [14] and subsequent versions of this method have been redeveloped since then. This method is a sorting multi criteria decision making method which classifies options (Answers) based on pre-determined ranges [15]. According to Figure 2, if we consider profiles b_1, b_2, \dots, b_p (B set) for criteria g_1, g_2, \dots, g_m (F set), and b_h as the upper limit of category C_h and the lower limit of category C_{h+1} , then there will be a P+1 categories. In this method, the superiority relationship (S) will be established between the options and profiles. This relationship is shown by a Sb_h or b_hSa . This means that option "a" is at least better than the profile b_h , or vice versa. Thresholds of indifference (q) and preference (P) constitute the internal superiority data for each criterion. These values in fact define the evaluation accuracy of options for each criterion [14].

$q_j(b_h)$ represents the maximum difference of $g_j(a)-g_j(b_h)$ which represents the indifference level between the options "a" and profile " b_h " for the criterion g_j .

$p_j(b_h)$ represents the minimum difference of $g_j(a)-g_j(b_h)$ which represents the preference level of the options "a" and profile " b_h " for the criterion g_j . Schematic representation of categories and profiles in TRI-ELECTRE method are provided in the Figure 2.

To classify options, it is also necessary to calculate the concordance and discordance indices (For each pair of options, each criterion and

each profile per each criterion).

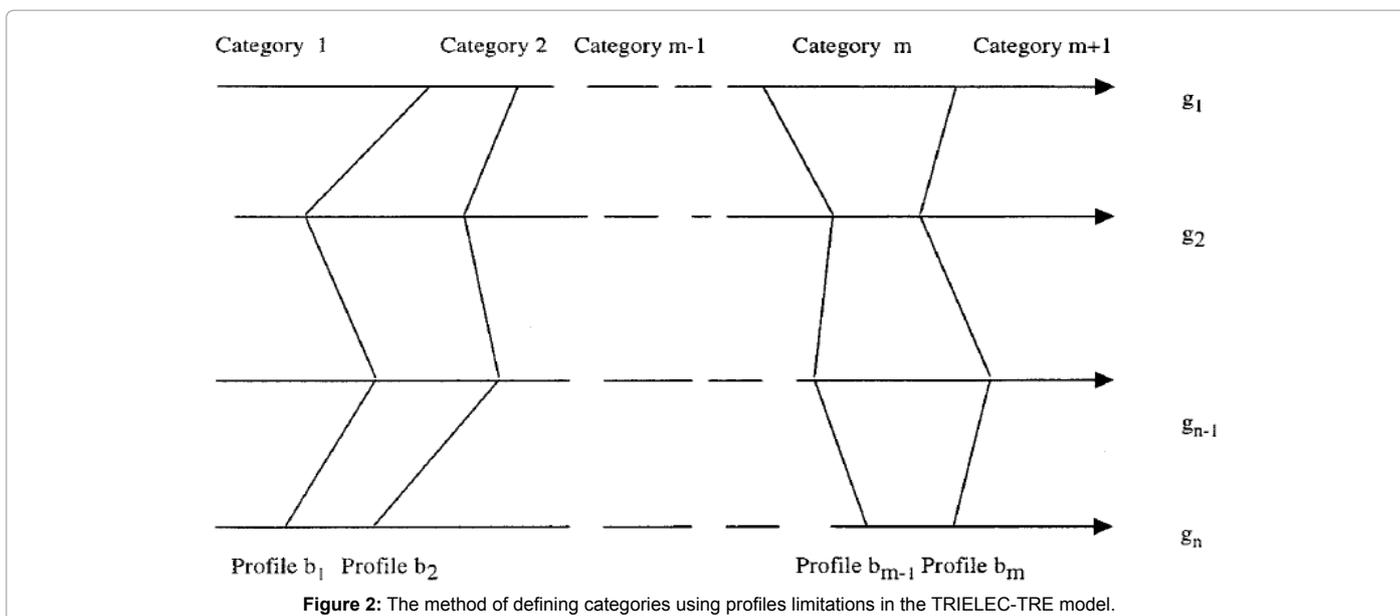
A set of significant weight factors (k_1, k_2, \dots, k_m) and a set of intolerance threshold ($v_1(b_h), v_2(b_h), \dots, v_m(b_h)$) are the characteristics which have a role in creating superiority relations. $v_j(b_h)$ represents the minimum difference of $g_j(b_h)-g_j(a)$ which is noncompliant with a Sb_h equation. In this method, the indicator $\sigma(a, b_h) \in [0,1]$ represents the validity degree of a Sb_h equation. If $\sigma(a, b_h) \geq \lambda$ then, a Sb_h equation is true. λ is the cutting level $\lambda \in [0,1]$.

There are two perspectives of optimistic procedure and consistency control for doing this classification. In optimistic procedure; option "a" is consecutively compared with the b_h profiles. b_h is the first profile which make option "a" in the equation a Sb_h dependent on the C_{h+1} category. In consistency control, option "a" is consecutively compared with the b_h profiles. b_h is the first profile which make option "a" in the equation $b_h > a$ dependent on the C_h category. As previously stated, In ELECTRE - TRI model, options eventually will be placed in predetermined classes or categories based on specific criteria. This work is done by comparing option with profiles which are actually the boundaries of classes.

In the present study, the software ELECTRE version 2 was used. In using this software, we considered flooding susceptibility parameters as the specific criteria for the classes and considered sub basins as options. As previously mentioned, various factors are effective in flooding susceptibility of a basin; some of them have a direct (increasing) relationship with flooding susceptibility which means flooding susceptibility increases with the increase of these factors; and some of them have an inverse (decreasing) relationship with flooding susceptibility which means flooding susceptibility decreases with the increase of these factors. Among the chosen factors for the prioritization of flooding susceptibility of the sub- basins, form factor, drainage density, circularity ratio, and slope had direct relationship and

Parameters	Slope	Drainage density	Bifurcation ratio	Elongation ratio	circularity ratio	Compactness coefficient	Form factor	Area
Sub basins								
Muchenan	2.46	2.8	132.50	0.87	0.66	1.22	0.6	9.1198
Chenaran	2.77	2	229.37	0.79	0.60	1.28	0.49	2058.86
Golbahar	2.56	3.24	258.64	0.66	0.43	1.52	0.34	1335.96
Shandiz	2.55	2.5	207.76	0.59	0.56	1.33	0.27	536.08
Toos	2.67	1	240.98	0.50	0.48	1.44	0.18	1318.51
Torghabe	2.55	6	167.56	0.67	0.67	1.21	0.36	568.67
Mashhad	2.47	2.8	136.29	0.42	0.24	2.00	0.14	1369.59
Razavi Town	2.27	1.81	150.91	0.82	0.67	1.21	0.53	1299.02
Farmad	2.26	1	223.17	0.53	0.57	1.31	0.22	631.72
Qazqan	1.86	1	303.67	0.58	0.44	1.51	0.26	572.52

Table 1: The values of the hydrological parameters influencing the creation of flooding for each subbasin.



regions	optimistic procedure	consistency control
Mochenan	medium risk	medium risk
Chenaran	low risk	low risk
Golbahar	medium risk	medium risk
Shandiz	medium risk	medium risk
Toos	low risk	low risk
Torghābeh	High risk	High risk
Mashhad	High risk	High risk
Razavi town	low risk	low risk
Farhad	low risk	low risk
Ghargan	low risk	low risk

Cutting Level: 0.76

Figure 3: Classification of areas in terms of flooding susceptibility.

compactness coefficient, bifurcation ratio, and elongation ratio had inverse relationship with flooding susceptibility. Then points were given to each variable, using entropy scoring technique, and based on their degree of importance. In this regard, more points were given to any variable that has a more important role in the occurrence of floods, and vice versa.

This method can also be used to determine the class of importance of each of these criteria. Also in this method, opinions of decision makers on the criteria are taken into account as the preference and indifference thresholds. Thresholds are selected based on the direct (positive) or inverse (negative) role in the criteria. So, if intended criterion has a direct (positive) effect and its value is greater than intended threshold then it will be placed in flood prone profile. For example, in case of basin form which has a direct (positive) effect on flooding susceptibility, if the preference threshold to be 0.277, areas with value lower than this value will have low flood susceptibility and areas with value higher than this value will have high flood susceptibility. Also, if the indifference

threshold to be less than 0.25 for example, it won't have any effect on flooding susceptibility anymore.

Results and Discussion

As shown in Figure 3, the results of the classification of basins in terms of flooding susceptibility shows that Mashhad and Torghabe sub-basins have a high risk of flooding. It also shows the status of other sub-basins in terms of flooding susceptibility.

As shown in Mashhad profile (Figure 4), this sub-basin is considered to have a high risk of flooding, because it has a high drainage density which has a direct (positive) effect on flooding susceptibility, and also because it has a low bifurcation ratio and elongation ratio which both have an inverse (negative) effect on flooding susceptibility. The bifurcation ratio and drainage density are more effective on flooding susceptibility than other factors and they are also assigned with the highest weight, as a result the effect of other factors which could have



Figure 4: Mashhad sub-basin profile.

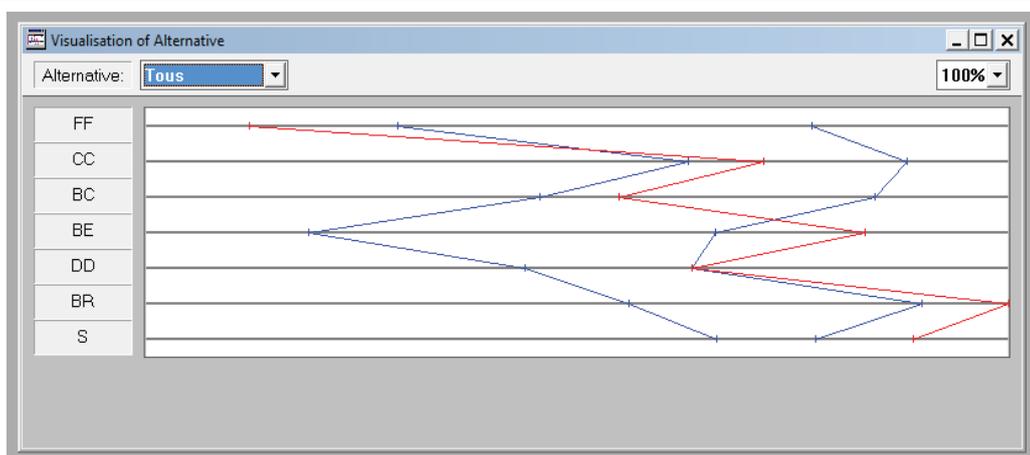


Figure 5: Toos sub-basin profile.

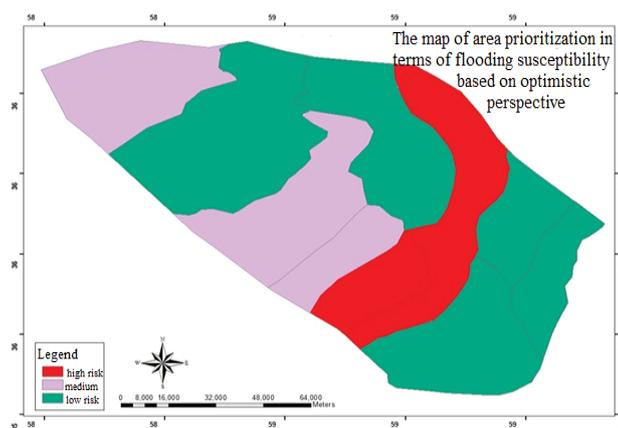


Figure 6: The map of area prioritization in terms of flooding susceptibility based on consistency control perspective..

reduced the flooding susceptibility, is neutralized, and this cause the area to be susceptible to flooding.

Toos sub-basin is one of the studied areas which have a low risk of flooding. As it has been shown in the Toos profile (Figure 5), this

sub-basin is considered to have a low risk of flooding, because it has a low drainage density and form factor which both have a direct (positive) effect on flooding susceptibility, and also because it has a high bifurcation ratio and elongation ratio and compactness coefficient

which all have an inverse (negative) effect on flooding susceptibility. As previously mentioned, the bifurcation ratio and drainage density are more effective in flooding susceptibility than other factors, and this cause this sub-basin to have a low flooding susceptibility.

According to the map of area prioritization in terms of flooding susceptibility based on consistency control perspective (Figure 6), the sub basins with high risk of flooding cover about 20 percent of the study area, and sub-basins with low and medium risk cover the remaining areas, but it must be noted that sub-basins with low and medium risk are currently in danger of becoming high risk areas, and this is because of the changing manner of land usage and also morphological changes and increasing influence of the mentioned factors in flooding susceptibility, all caused by mismanagement.

Conclusion

The application of ELECTRE-TRI method does not diminish the necessity of conducting field and laboratory studies to provide the required data and to use that data for the primary rating of scenarios, but it affects the criteria assessment process in the stage of selecting evaluation method. In fact, the model needs a set of quantitative data as inputs to be used for options on each criterion, and this data should be the results of expert judgments based on field surveys and laboratory analysis. In this study, ELECTRE-TRI method was used to prioritize the flooding susceptibility of sub basins of Kashafrud in Khorasan Razavi province. After the necessary evaluations and calculations, it was found that “Mashhad” and “Torghabe” sub-basins had a high-risk of flooding because of the high value of drainage density and low value of bifurcation ratio in these areas, which both have a higher effect in flooding potential compared to others. Toos, Chenaran, Qazqan and sub-basins had a low risk of flooding because of having low values in the factors which increase the flooding potential and having high values in the factors which decrease it.

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