



Paradigm Shift in Transmission of Vector Borne Diseases

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Abstract

Infectious diseases transmitted by insect vectors, otherwise known as Vector Borne Disease (VBDs) are causing havoc in the tropical and sub-tropical regions of the globe. Diseases like malaria, dengue, chikungunya, and zika are endemic to many of the countries. These VBDs not only cause mortalities, but some of them also cause high morbidity. Under a traditional model of disease transmission, symptomatic human hosts (patients) are considered to be the reservoir of pathogens which are then taken by the insect vectors and infect a new uninfected human host. This model therefore, assumes that the rate of transmission of VBDs is dependent on the number of symptomatic hosts in the population. However, in recent years this model seems not to hold true. In turn, recent research works have indicated that disease transmission is majorly contributed by otherwise underestimated high number of asymptomatic individuals in the population. In this communication, we have brought about the cases of high number of asymptomatic individuals infected with malaria, dengue and chikungunya in synthesizing and bringing into notice the importance of asymptomatic infection and the future direction to control VBDs through appropriate surveillance. We have also proposed a model to understand the relationship between symptomatic/asymptomatic infections and outbreak of epidemic/ inter-epidemic periods.

Keywords: Vector borne diseases (VBDs); Malaria; Dengue; Chikungunya; Asymptomatic

Introduction

The vector borne diseases (VBDs), alone constitutes to around 17% of the estimated global burden of all human infectious diseases. Some of the major VBDs include Malaria, Dengue, Chikungunya, Schistosomiasis, Human African trypanosomiasis, Leishmaniasis, Chagas disease, Yellow fever, Japanese encephalitis, Tick-borne rickettsial diseases and Onchocerciasis. Insects that transmit these diseases include mosquitoes, ticks, and fleas which carry infective pathogens such as viruses, bacteria, and protozoa. These pathogens can be transferred from one host (carrier) to another *via* the insect vectors [1]. Importantly, countries that are most affected by the VBDs are also amongst the poorest countries in the world. In the past two decades, many important VBDs have re-emerged or extended to new parts of the world. Due to their capability to spread globally, changes in climatic conditions, altering ecologies and the increased migration of people and goods, an increasing risk of new or re-emerging VBDs in human as well as veterinary public health has come into sight [2]. The problems are mounted due to the fact that vaccines for majorities of the VBDs are not available and evolution and spread of drug resistant pathogens and insecticide resistant vectors have emerged for many leading VBDs.

Basic Mechanism of Transmission of VBDs

Malaria and dengue constitute the most deadly and the world's fastest spreading vector-borne diseases, with an increase in disease incidences/outbreaks over the last 50 years [1]. It is imperative that the success of the spread of a particular VBD depends on the effectiveness of its "transmission" from one to the other hosts. This "escape" mechanism of pathogens (microorganisms namely nematodes, protozoa, bacteria and viruses) from the host or reservoir of infection to transport to the new host are otherwise different in different diseases [3]. Transmission of VBDs can either be mechanical or biological *via* the vector. Mechanical transmission implies to basic transfer of the organism *via* superficially contaminated parts of the vector. Enteroviruses, protozoa and bacteria are some of the pathogens transmitted *via* the vector through faecal/oral route. In this, no replicate or developmental change occurs in the

arthropod. Biological transmission elucidates biological development of the pathogen that occurs in the vector. Biological transmission *via* vector can be propagative, cyclodevelopmental, cyclopropagative, vertical and direct transmission [4]. Arboviruses undergo propagative transmission, in which they restrict themselves only to multiply in tissues of vectors like mosquito, flies and ticks and are transferred to the host through infected saliva. Pathogens like *Plasmodium* undergo transmission by cyclo-propagative process. In this route of transfer, the microorganisms replicate and multiply in the vector along with undergoing change from one stage of development to the other. Transmission in which the pathogen curbs itself to undergo only development from one stage to another in the vector is characterised as cyclo-developmental type. Nematodes like *Filarioidea* show such dissemination pattern. Certain viruses like rickettsia rely on vertical transmission of itself *via* the vectors [5].

Different Models of Transmission of VBDs

Although vector control plays a vital role in preventing VBD outbreaks, in order to completely curtail the transmission of such diseases, one should understand the root cause of transmission. Various factors contribute to the spread (transmission) of VBDs. Even if there are huge number of vectors and virulent pathogen in the population, human susceptibility and type of susceptibility determines the rate of transmission of the VBDs in the population [6]. According to the conventional model of transmission of VBDs, more the number of infected individuals in the populations, more should be the rate of transmission (Figure 1a).

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The determination of the infected individuals are usually dependent on symptomatic individuals, because they show symptoms which are related to a particular VBD [7]. Symptomatic host of VBDs are notably argued to have a higher transmission potential. For example in Leishmaniasis, a study has proved that hosts who present clinical manifestations are known to have higher parasite loads, in turn having higher transmission potential [8]. For chikungunya, it is evidenced by comparing the viremic profiles of symptomatic vs. asymptomatic host that disease transmission majorly happens through symptomatic individuals [9]. Similarly, studies on malaria mosquito infectivity levels in Thailand and Amazonian natives have resulted in high infectivity, and in turn, higher transmission potential of symptomatic cases to the vectors (as opposed to the asymptomatic cases) has been proposed [10].

In a traditional model invoking transmission of VBDs, when a particular symptom is not there in an individual (asymptomatic individuals), then they are not considered to be contributing to the disease transmission. However these “otherwise infected” individuals showing no symptom of the disease (asymptomatic) are not protected from bite of the vectors and vector can always be infected by biting these individuals (Figure 1b). Although it is very unclear that why some individuals do not show symptoms to a particular disease but off late, research work has shown that such asymptomatic individuals behave as the carriers and can transmit the disease [11]. This is supported by research works conducted in Nigeria, Gabon and Peruvian Amazon which have shown a positive correlation between higher transmissions potential and asymptomatic patients [12,13]. These observations opened up the possibilities that asymptomatic individuals might be the hiding sources for VBD transmission [14].

Silent Transmission of Malaria, Dengue and Chikungunya

In recent years, more number of asymptomatic cases is being

reported from all over the VBD endemic countries with stable transmission of VBDs, supporting the statement that asymptomatic carriers, in fact are the keys for effective and “silent” transmission. Research works in three most widely prevalent VBDs (malaria, dengue and chikungunya) have provided preliminary evidences that transmission might be occurring mutely through the presence of asymptomatic individuals in the population.

- Evidences for quiet transmission in malaria:

About 3.2 billion people, i.e. almost half of the world’s population are at risk of malaria. Though there is a decrease in case incidences and mortality rates in recent years, but still in 2015, 95 countries and territories had ongoing malaria transmission. The probable reason might be resurgence of asymptomatic or undiagnosed malaria [15]. While in one hand, the World Health Organisation (WHO) has made a strategy which includes ambitious goals for malaria control and elimination in the next 15-year period (by 2030), on the other hand, almost all the endemic and holoendemic countries for malaria, e.g. Pakistan [16], Afghanistan [16], Uganda [17], Ghana [18], Colombia [19], Honduras [20], Nigeria [21], Ethiopia [22], Democratic republic of Congo [23], Cameroon [24], Kenya [25], Thailand [26], Gabon [27], Papua New Guinea [28], Brazil [29], Yemen [30], Bangladesh [31], Amazon [32], Solomon islands [33], Indonesia [34] report asymptomatic cases. To be noted that asymptomatic cases can interfere with malaria elimination strategies. In this regard, a great amount of work in India on malaria transmission indicates presence of both the most common malaria parasites in Indians (*Plasmodium falciparum* and *P. vivax*) both as single and also as mixed species infections, and transmission levels vary from high in the northeast with a predominance of *P. falciparum* to low in most of the country with a predominance of *P. vivax* [35]. A recent investigation in north eastern region of India reported, 45.31% of asymptomatic *P. falciparum* positive cases among all the asymptomatic

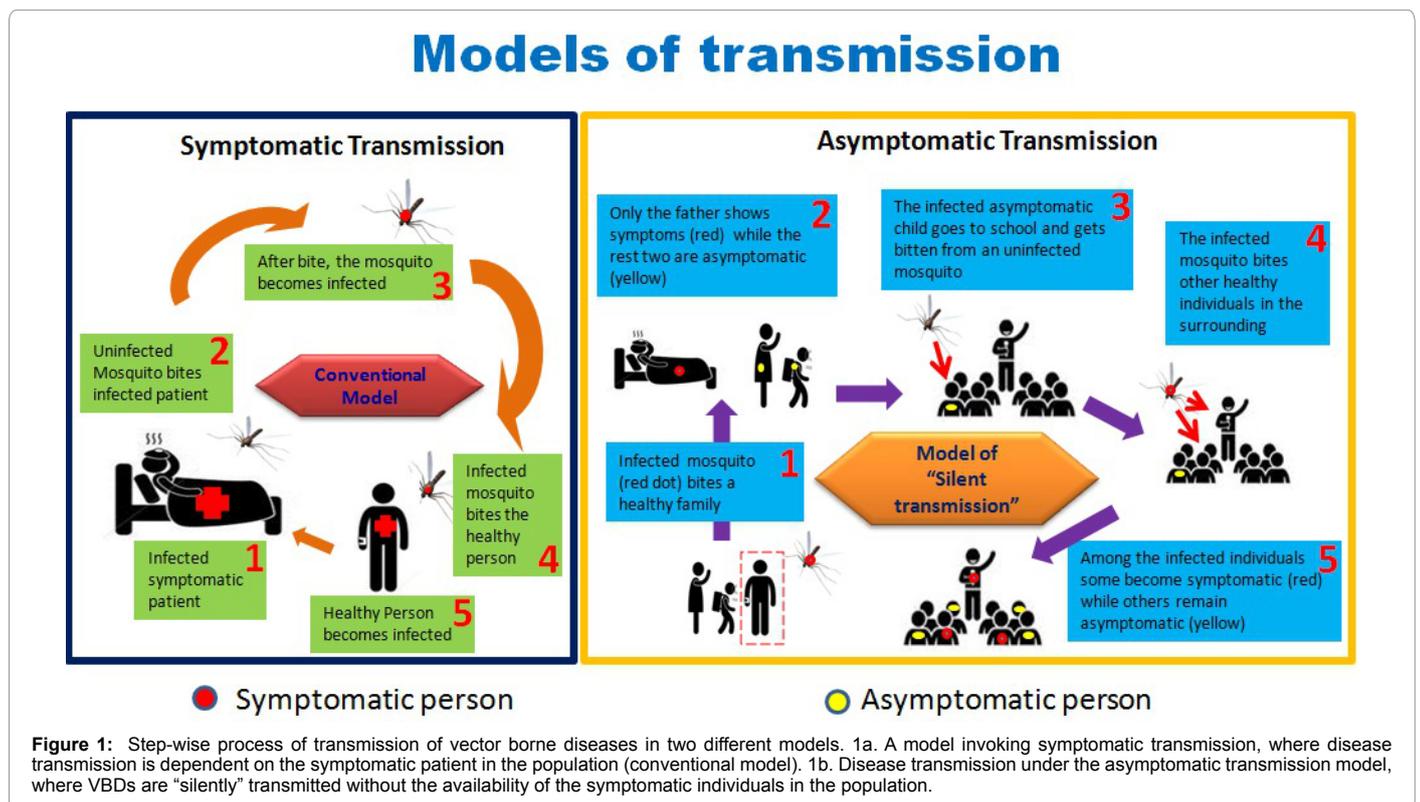


Figure 1: Step-wise process of transmission of vector borne diseases in two different models. 1a. A model invoking symptomatic transmission, where disease transmission is dependent on the symptomatic patient in the population (conventional model). 1b. Disease transmission under the asymptomatic transmission model, where VBDs are “silently” transmitted without the availability of the symptomatic individuals in the population.

cases [36]. Very similarly, a recent study carried out at Jimma town in Ethiopia reported that *P. falciparum* gametocyte carriage rate with asymptomatic *Plasmodium* infections was high (66.7%) as compared to *P. vivax* gametocyte carriage rate among asymptomatic *P. vivax*-infected individuals (12.9%) [22]. In another study carried out at Arba Minch, the capital city of Ethiopia, donors with blood group O were found to be significantly more susceptible to asymptomatic malaria as compared to non-group-O donors [37]. Studies on the asymptomatic malaria infection in pregnant women in the Republic of Congo suggests that *P. falciparum* infections were associated with maternal anaemia, and use of IPTp-SP reduced the risk of carrying asymptomatic infections [38]. Subsequently it is also reported that febrile patients had stronger antibody responses than asymptomatic carriers [26]. All these case reports justify that asymptomatic malaria cases significantly contribute to transmission and such cases are continued to be coming up.

What causes the human subjects asymptomatic to malaria? It is debated that asymptomatic malaria infections might have resulted from partial immunity (sometimes referred to as “premunition”) that controls (but does not completely eliminate) malaria infection. It is sometimes believed that persistent or repeated “asymptomatic” infection is beneficial to the individual, as it helps to maintain this state of premunition, thereby reducing the risk of severe disease [39]. Therefore, asymptomatic malaria is possibly adaptive in nature for the parasites.

- Reports on hushed transmission in dengue:

Dengue, a major public health consequence and is the foremost arboviral infection emerging very fast in tropical and subtropical regions of the globe. Risk of dengue infections has evolved with an estimate of 390 million dengue infections per year [40]. Limiting factor for transmission of dengue is presence of vectors and their spatial distribution, signifying the epidemiological concern. Most important vectors of dengue are *Aedes aegypti* and *Aedes albopictus*. Studies suggest that the spatial distribution and infestations of both these vectors is influenced by climatic and ecological factors. Since *Ae. aegypti* is a dissonant species that requires more than one host to complete one blood meal, this is the reason why such habitats result in clustering of dengue cases in cities. In contrast, *Ae. albopictus* is a concordant species; it can complete its gonotrophic cycle in one blood meal [41]. Dengue has been categorized into asymptomatic, pre-symptomatic (before the onset of disease) and symptomatic based on the clinical manifestations. In asymptomatic or unapparent infections, the presence of the virus is confirmed but existing surveillance systems are unable to detect the infection due of insufficient symptoms. Asymptomatic dengue cases have been reported in countries like Thailand [42,43], Central America [44], Singapore [45] and The Netherlands [46]. Dengue transmission has been correlated by investigators in Vietnam with kinetics of viremia [47]. It is now believed that 75% of all dengue infections are asymptomatic [48]. Studies have highlighted a correlation between disease severity with magnitude of viremia of dengue virus [47,49,50]. A study was performed in 2015 in the town of Kampong Cham [48], Cambodia in a human population at a risk of dengue infection. This study was essentially devoted to test the assumption that individuals with asymptomatic infections are not contributing to transmission of dengue virus. Laboratory – raised mosquitoes were fed with blood from 181 people through direct and indirect feeding methods that had been in contact with hospitalised patients with dengue symptoms and had detectable plasma levels of dengue virus RNA. It was found that among the participants, 126 were symptomatic when the mosquito feeding took place, 42 developed symptoms after feeding and 13 were asymptomatic [48]. It is therefore apparent that despite having lower levels of virus, asymptomatic and pre-symptomatic individuals were

about 10 and 5 times more likely to effectively transmit dengue virus to mosquitoes, respectively through direct feeding as compared to symptomatic people. Very similarly, in the Indian capital city, New Delhi, case study was carried out during the 2015 outbreak and it was hypothesized that there were large number of asymptomatic dengue infections as compared to symptomatic cases in the community. This study also claimed that 63% of all the primary and secondary infections were asymptomatic [51].

It has been long assumed that asymptomatic individuals to dengue are unable to reach sufficient viremia levels; they do not infect mosquitoes and have any effect on the transmission [41]. However, mild illness and low viremia levels have evidenced to cause epidemic transmission of dengue [52]. As control of dengue is restricted by the understanding of the virus transmission from human to mosquito, addressing this undying debate of transmission is critical.

Soft transmission in chikungunya

Chikungunya is another tropical vector borne disease that is emerging with uncommon outbreaks with geographic restrictions. This alphavirus from the family *Togaviridae* causes acute illness characterised by fever, rash, and incapacitating arthralgia. The incubation period for chikungunya in humans (also known as intrinsic incubation period) is around four days. Upon infection, some individuals develop symptoms and some become asymptomatic (with a 3:1 ratio). The infective stage on an average lasts for 7 days with a range from 4 to 11 days [53]. Asymptomatic or unapparent forms of chikungunya virus (CHIKV) were published during 1996-97 chikungunya outbreaks in Senegal [54]. Surveys have found that 3%-28% of people infected with CHIKV remained asymptomatic [55]. In 2015, Kumar and others⁵⁶ had reported two male patients with fever of one day duration. The fever subsided on the second day and both of the patients did not show any chikungunya symptoms. However, blood drawn from these patients on the second day showed CHIKV positive by RT-PCR. It is manifested that depending upon the immune status both of these patients might have acted as asymptomatic carriers [56].

For reasons unknown, India seems to be a major centre of chikungunya infection. Initially, a major outbreak was prevalent in south India but now suddenly captured North India. This is evidenced by the fact that this year (2016) in India, sudden outbreak of chikungunya caused 2,625 cases with 12 deaths. During earlier Indian outbreak (2006) in which *Ae. aegypti* is the alleged vector, 1,400,000 cases of chikungunya were reported. The reasons for the recurrence of chikungunya on the Indian subcontinent, and the distinctive incidence rate in India, are still unclear [57]. However, change in epidemiological patterns of chikungunya cases and an increase in number of deaths in India is a matter of major concern that needs to be given immediate attention.

Why Asymptomatic Transmission?

What has made the shift in paradigm of symptomatic to asymptomatic cases in malaria, dengue and chikungunya? Since these asymptomatic cases contribute highly to the transmission that happens in the background, this contributes to a large extent to the global disease burden. Clearly, mysteries involving such “silent transmission” have not yet been resolved. What factor(s) prompt the increase in the case of asymptomatic transmission is still not known, neither the organism involved in it is cornered. Based on the short generation time and therefore high mutability of the pathogens, it seems, pathogens might be the only modulator of such asymptomatic transmission. Basically, many pathogens do not aim for causing disease in the host [58]; they

just want to use the host machinery for their survival and propagation as a parasite [59]. A successful parasite will never kill its host, as by doing this the pathogen kills itself. However in the process of carrying out an essential act for parasite survival and multiplication, it might cause certain physiological changes by which the host's physiological machinery gets disturbed. Therefore, the host becomes diseased and ultimately the host dies; the infecting strain of the parasite is considered to be highly pathogenic. Since based on evolutionary principle that no living organism will make anything by which it will die, it seems, the trade-off between survival and multiplication rate gets distorted and therefore, to largely multiply, the parasite kills the host and in turn kills itself [60]. However, with time, the parasites "learn" how to adjust between "survival" and "multiplication" and try to survive utilizing host machinery as efficiently as possible, without stressing much on the multiplication [61]. This is the reason perhaps; more newly emerged VBDs cause initial havoc and with time the pathogens "learn" how to use the host machinery for its survival at the same time not killing the host. Therefore deaths in many of the older VBD are diminishing and number of symptomatic individuals has also decreased; at the same time, number of asymptomatic individuals has been increased. Additionally it might be true that the pathogens also probably have evolved to an extent to infect many hosts instead of infecting a single host in large number. So in a population the overall number of parasites is maintained but the load of parasite in a patient (parasitemia) remains low. The classical example of this kind of model comes from *P. vivax* which is considered to be much more evolved parasite as compared

to *P. falciparum*. In recent years it seems that *P. falciparum* has taken the same route as cases of asymptomatic infections have started to be reported from endemic countries [62].

Evolutionary Correlations of Transmission and Epidemic In VBDs

We often try to unravel the probable reasons for newly emerging diseases and the change in the scenario of transmission pattern of different VBDs [63]. This is apparent from the reports made mostly by all endemic and holoendemic countries about the asymptomatic cases exceeding the symptomatic ones. To understand any case it is important to know the preliminary phase. Since a new VBD comes to light only after an outbreak (signifying the presence of many symptomatic individuals in the population), it is generally believed that symptomatic cases appear first and then appears the asymptomatic case. Whether the reverse is true is not known. Results from recent research on malaria suggest host switching events of malaria parasite, *P. falciparum* [64,65]. These sounds logical, as for diseases like malaria, JE and dengue the pathogens have sylvatic cycle. It is also true that in the race of evolution such tiny organisms (viruses, bacteria and protozoa) are par ahead of mammals specifically humans due to shorter generation time. That means these miniature organisms learn to survive in new hosts very quickly. Hence the hypothesis of "host switching" might be the first evolutionary step in the process of transmission of vector borne diseases [66,67]. Because it has recently entered a new host it might take some time to understand the host machinery before it can proliferate

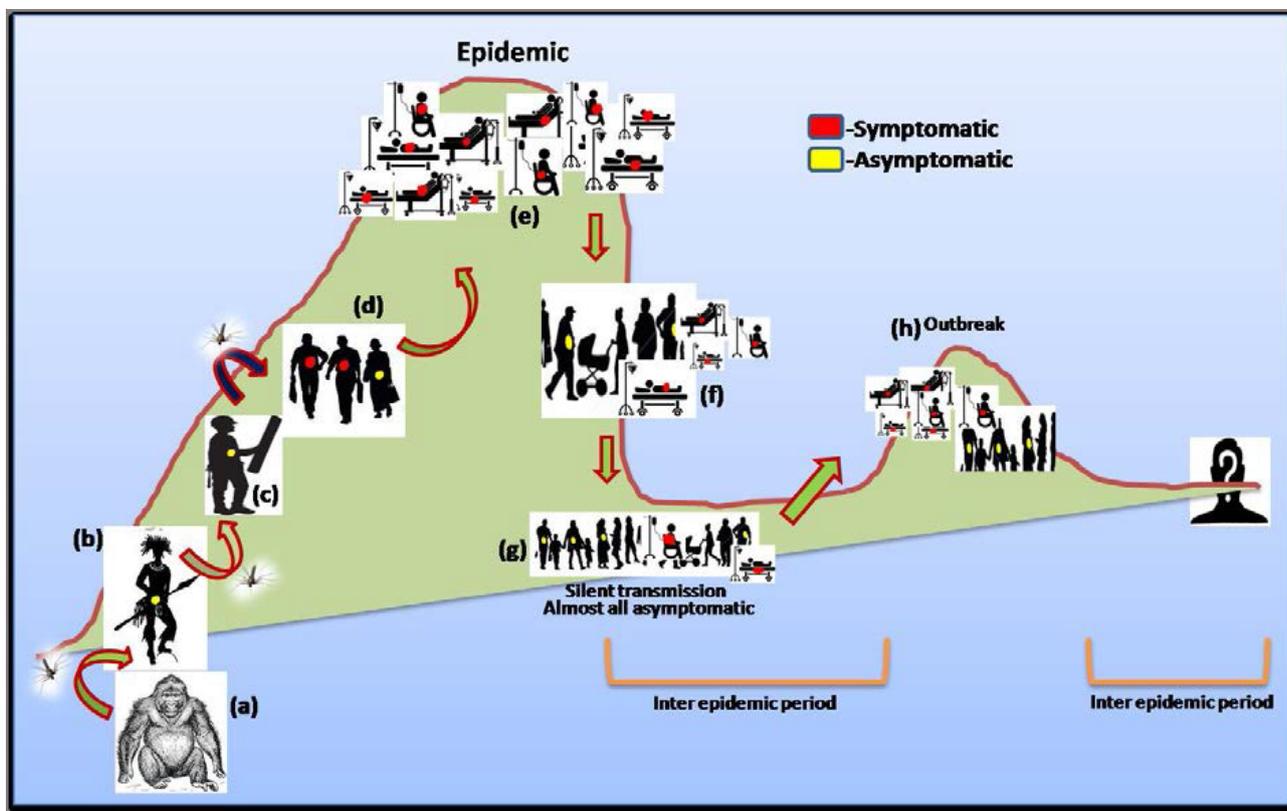


Figure 2: Predictive model of cyclic transmission of vector borne disease. A VBD pathogen most possibly initially emerge in wild forest-dwelling animals (a) and switch its host to human (b, c and e), linearly increase its population to cause epidemics (e), where symptomatic patients are more and severe disease causes death. With the death of host, pathogens also die, and to survive, the pathogen evolves to remain in a low level for survival causing no adverse symptom (f) to the infected individual (asymptomatic). During this inter-epidemic period, transmission of the disease mostly occurs silently (g), until the pathogen population increase due to evolution of pathogen virulence and a second peak of epidemic might arise (h).

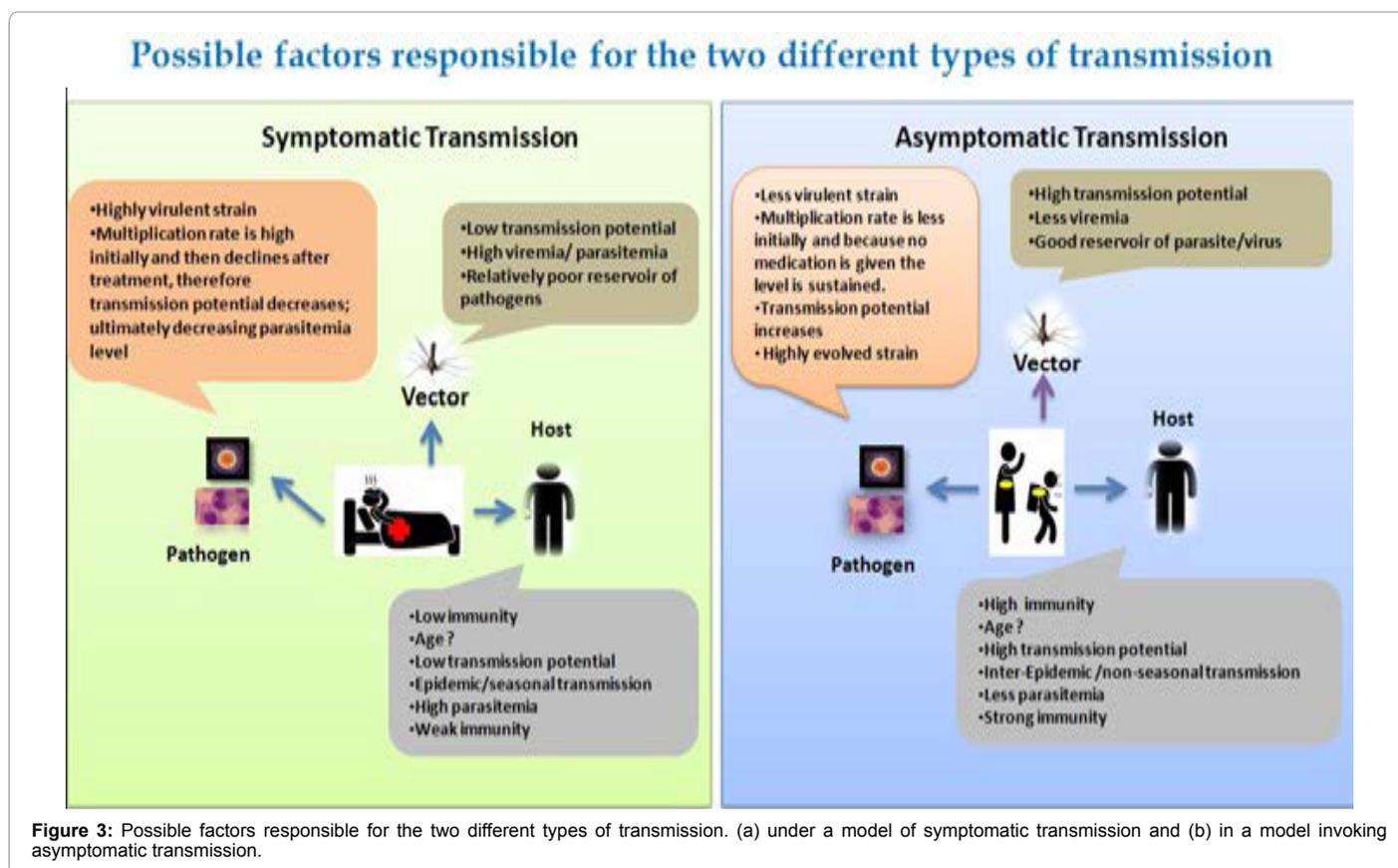
and expand its population size inside a host. In the process of learning the host machinery the pathogen becomes less pathogenic initially wherein it stays silently in the host (asymptomatic) (Figure 2b and 2c). Soon the pathogen learns the host (new) machinery; it makes an effort to increase its population size and in the process becomes more pathogenic to the host (symptomatic) (Figure 2d). This is when we became alert (epidemic situation) and put intervention measures in place (Figure 2e). These pathogens also understand that by increasing their number in a single host they are actually reducing their number in the population (more parasitemia causing death of human host). Therefore, in order to sustain their presence in the population they have to find some other way out. Here comes the second phase where the pathogens starts maintaining a silent status (Figure 2f) by evolving to a less “pathogenic” form that might cause asymptomatic disease manifestation and contribute to “silent transmission” (Figure 2g). In future these pathogens by getting high virulence might again lead to an outbreak (Figure 2h) and then again become silent. Therefore this kind of cyclic epidemic and inter-epidemic periods justify the maintenance of symptomatic and asymptomatic forms of the pathogen in the population. Tracking the silent zones of pathogens in the inter-epidemic period is important to end the malevolence. “Where, when and why these pathogens become silent” is the question of the hour which should be looked upon.

What Needs to be Done Now?

The principal problem in having asymptomatic cases of infection is that since such cases arise without obvious symptoms, these are therefore invisible to the health system and do not come to clinical attention - thus representing a large hidden reservoir of active infection that allows their

persistence and ultimately spread to their human hosts [68]. Asymptomatic cases have also been suggested to contribute the persistence of transmission in low transmission settings [69]. Clearly when asymptomatic individuals are there in the population no intervening measures are possible. This brings the disease to be circulated silently without the knowledge of the infected individual so that no chemotherapeutic or intervening measures can be taken to stop transmission [1].

The only method by which intervening measures for a particular VBD can be taken, is by putting a concrete and planned surveillance mechanism into actions in areas where even past outbreak/incidences of a particular disease is not taken. Such effective surveillance will unfold the mystery of silent transmission and will stop future outbreak of the disease [70]. In depth vector surveillance includes the major part of disease surveillance mechanism since different pathogens are also invading new vectors (Zika virus is now infecting *Culex* mosquitoes in addition to *Aedes*), making identification of pathogens from mosquitoes necessary. Surveillance system should be very regional, focal and local. Such kind of focal surveillance should be used to develop a particular model and this model can be spread to the country setting [71]. Comprehending the factors contributing to asymptomatic carriage of malaria infection will help us to forecast where, when and why the asymptomatic cases are most likely to occur. Determinants of asymptomatic infections are (i) pyrogenic thresholds in host (humans), (ii) multiplication rate of parasites (iii) parasite cytoadhesion (iv) multiplicity of infection (v) drug resistance (vi) host defences (vii) host genetic factors [68] (Figures 3a and 3b). Apart from these factors gametocyte carriage among people with asymptomatic malaria is also an important factor to be looked for in order to estimate the reservoirs of infection in malaria-endemic settings.



To achieve successful elimination and finally eradication of VBDs from the world, it is also evident that more work is urgently needed to delineate appropriate strategies to reduce the burden of asymptomatic/chronic infections across the endemic countries and survey on the presences and the prevalence of asymptomatic cases in diverse disease settings is recommended in order to curb malaria transmission globally.

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