Salivary Alpha-Amylase Activity, a New Biomarker in Heart Failure?

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Abstract

Salivary α-amylase activity is an increasingly investigated biomarker for the activation of the autonomic nervous system. Autonomic imbalance is associated to several diseases, one of which is heart failure, and the aim of the present study was to test if salivary α-amylase activity might be a new biomarker in patients with chronic heart failure.

Methods: In this pilot study, 48 elderly men (range 59-89 years), 24 patients with established chronic heart failure in NYHA class I to III, and 24 controls were included. In all participants, saliva was collected for three consecutive days at three time points (at awakening, 30 minutes later and in the late afternoon), and blood was sampled for analysis of NT-proBNP.

Results: Within the whole group of participants, a statistically significant positive correlation between morning salivary α-amylase activity levels and serum NT-proBNP could be found, which was strongest for the measurement of NT-proBNP taken 30 minutes after awakening, as well as a significant negative correlation of awakening α-amylase activity levels with arterial blood pressure.

Within the control group separately, higher daily salivary α-amylase activity output correlated with increasing levels of NT-proBNP, while within the patients, the strongest association of α-amylase activity measures were found to be a negative correlation with blood pressure.

Conclusions: Our data supports the idea that sAA activity has the potential as a non-invasive index of adrenergic activity in specific pathological conditions, though for heart failure in particular the results were merely modest, which was likely due to the specific intake of beta-receptor blocking drugs by all patients. Due to the large variability of sAA activity levels, we expect a greater potential for monitoring its changes over time, which could prove a valuable surrogate biomarker for cardiovascular diseases, including heart failure.

Keywords: Saliva; Salivary α-amylase; Alpha-amylase; Heart failure; Sympathetic nervous system; Autonomic imbalance; Biomarker

Abbreviations: BPsist: Systolic Blood Pressure in mmHg; BPlast: Diastolic Blood Pressure in mmHg; NT-proBNP = N-Terminal- pro-B-type Natriuretic Peptide; NYHA class: New York Heart Association (NYHA) Functional Classification

Introduction

Heart failure (HF) is a serious disease with enormous clinical implications with high mortality and morbidity and poor quality of life [1].

In HF different neuroendocrine systems, including the sympathetic and the renin-angiotensin-aldosterone system are activated to maintain circulatory homeostasis and blood pressure. Norepinephrine levels and other hormones like brain natriuretic peptide (BNP), and its biologically inactive co-secreted N-terminal fragment (NT-proBNP), have shown to be valuable prognostic markers of mortality [2-5].

In recent years, a new biomarker for assessing the activity of the sympathetic nervous system (SNS) has been proposed, the activity of salivary α-amylase (sAA) [6,7]. Saliva as a medium is especially attractive, as its sampling is non-invasive, and can be performed by the individual without special training [8]. Alpha-amylase is an abundant protein in human saliva. It is actively secreted by acinar cells of the salivary glands, which are innervated by nerves of both the sympathetic as well as the parasympathetic ganglion, which trigger secretion of salivary fluid and proteins via the principle neurotransmitters acetylcholine and noradrenaline, and hence the use of sAA as an exclusive read-out of sympathetic activity is strongly controversial [9,10]. However it could be shown that sAA activity is increased during stress, both physical and psychological [6,7,11], that it correlates with norepinephrine release [12] and that β-adrenoceptor antagonists (BBs) have a reducing effect on sAA activity levels [13,14]. Recent studies have also shown a tendency of higher total output of sAA in hypertensive humans, compared to normotensive ones [15].

The observed positive correlation of α-amylase levels with the activity of the SNS, together with the advantages of saliva as a sampling medium, are compelling factors to test sAA as a biomarker for diseases, which are characterized by an autonomic imbalance, of which HF is one prominent candidate. Thus the aim of the present study is to test if sAA might be a new biomarker in patients with chronic HF.

Methods

Participants

The pilot study involved 48 men, with a median age of 77 years.
(range 59–89). The control groups, 24 men with no history of HF, were recruited through a seniors association, and 24 HF patients were approached when coming to a check up at the Department of Cardiology, Heart Center, Linköping University Hospital, Sweden. Only patients with advanced HF were included in the study and two participants with elevated measures of NTpro-BNP (> 720 pg/mL) were excluded from the control group. No other exclusion criteria were imposed.

All 24 HF patients used BBs, in different combinations with other drugs including angiotensin converting enzyme (ACE) inhibitors, diuretics, aldosteron antagonists and angiotensin-2-receptor blockers. Five HF patients were type II diabetics and one took medication against depression.

Five participants of the controls group were hypertensive, three used BBs, and two used ACE inhibitors. Two controls were on antidepressants.

**Study design**

From all participants information about medication was collected, resting blood pressure and heart rate were measured, and blood samples were drawn for laboratory analysis of NTpro-BNP.

Saliva was sampled on three consecutive days on three time points (at awakening, 30 minutes after awakening, and in the evening (between 17:00 and 18:00) using salivettes (Sarstedt, Numbrecht, Germany). The participants were instructed to gently chew on the cotton pad, move it around in the mouth in circular movement for maximal 2 min. The participants recorded the day and the time of sampling on a provided paper diagram. The samples were stored in the fridge until dispatch to the university, where they were centrifuged to collect the saliva, weighted for volume determination and then kept at -20°C until analysis (which was completed within a few weeks).

The study was approved by the Linköping ethical review board, and an informed consent to participate in the trial was obtained from all the participants.

**Measurement of NT-proBNP**

Blood samples were analyzed for NTpro-BNP (pg/mL) at the Laboratory of Clinical Chemistry at Linköping University Hospital using the Elecsys proBNP II assay on the Cobas e 602 immunoassay analyzer (Roche Diagnostics, Mannheim, Germany). This assay uses two monoclonal antibodies, which recognize epitopes located in the N-terminal part (1-76) of proBNP (1-108). The total coefficient of variation was 5.2% at 149 pmol/L and 7.0% at 1409 pmol/L at this laboratory.

**Measurement of salivary alpha-amylase activity**

The salivary α-amylase activity (sAA) of the different saliva samples was measured using a commercially available enzyme kinetic method (Phadebas/Amylase Test; Magle AB, Lund, Sweden) according to protocol (samples were diluted from 1:500 to 1:2000); absorbance measurements were performed at 620 nm using a Cary 100Bio UV-Visible spectrophotometer (Varian, Inc., Palo Alto, CA, USA).

The absorbance value of a blank was subtracted from that of the sample and the provided standard curve used to determine the α-amylase activity (expressed in U/ml). Each analysis was performed in duplicate and mean values taken (percent variation from mean was average 8%).

**Statistical analysis**

The data was scrutinized first by comparing the three diurnal profiles of each participant. In a few cases, when the profile gave indication of a possible mix-up of sample tubes, samples were omitted. Then, for each time point and each participant the average between the samples were taken. Additionally, the maximum percent deviation of sAA levels for each participant and each time point was calculated ((maximum absolute deviation/mean) x 100).

Kolmogorov-Smirnov statistic was used to test for normal distribution prior to statistical analyses. To compare the two groups, independent sample t-test was performed on normally distributed data and Mann-Whitney U test was used when the data was not.

Area under the curve with respect to ground (AUCw) was calculated for the daily sAA output [16] and the slope of the wake-up response (Slope_wu; slope between sample at awakening and the one 30 min later) and the daily slope (Slope_d; between 30 min after awakening and evening (17:00 – 18:00h)) were calculated as well. Spearman’s rank order correlation (rho) was performed to test for association between the variables.

Data was analyzed using IBM SPSS Statistics 19.0 (IBM, Armonk, New York, US).

**Results**

**Participants**

The characteristics of the participants are demonstrated in Table 1. The blood pressure and heart rate measures that were taken during the examination of the participants all showed statistical significant differences between the two groups. Heart rate was higher for HF patients (t(33)=2.6, p=0.012, eta squared=0.13), while blood pressure was lower, both systolic (U=99, z=-3.91, p<0.0005, r=0.56), and diastolic (U=144, z=-2.99, p=0.003, r=0.43).

Levels of NT-proBNP in blood were significantly larger for HF patients (U=1, z=-5.92, p<0.0005, r=0.85) and the patients classification according to the New York Heart Association (NYHA) Functional Classification had a median of 3 (range 1–3).

<table>
<thead>
<tr>
<th>Variable</th>
<th>Controls</th>
<th>Patients</th>
<th>Statistics</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>24</td>
<td>24</td>
<td></td>
</tr>
<tr>
<td>Age</td>
<td>78.5 (69-88)</td>
<td>77 (60-89)</td>
<td>ρU = 0.951</td>
</tr>
<tr>
<td>Heart rate</td>
<td>64 ± 9 (45-81)</td>
<td>75 ± 19 (46-120)</td>
<td>ρU = 0.012*</td>
</tr>
<tr>
<td>BPsys</td>
<td>151.5 (110-175)</td>
<td>117 (85-175)</td>
<td>ρU &lt; 0.0005**</td>
</tr>
<tr>
<td>BPdiast</td>
<td>82 (61-105)</td>
<td>75 (45-90)</td>
<td>ρU = 0.003**</td>
</tr>
<tr>
<td>NT-proBNP</td>
<td>110 (46-580)</td>
<td>4580 (570-35000)</td>
<td>ρU &lt; 0.0005**</td>
</tr>
<tr>
<td>NYHA class</td>
<td>0 (1-3)</td>
<td>3 (1-3)</td>
<td>ρU &lt; 0.0005**</td>
</tr>
</tbody>
</table>

Results shown in mean ± standard deviation (min-max) for data having normal distribution (heart rate), for all others the median (min-max) is shown. ρU = Sig.(2-tailed) of independent-samples t-test (normal distributed data) ρU = Sig.(2-tailed) of Mann–Whitney U test ** Significant at the 0.01 level * Significant at the 0.05 level

**Table 1:** Test for significant differences between controls and patients: Independent sample t-test for normally distributed data, Mann-Whitney U test as distribution-free test.
Salivary alpha-amylase activity (sAA)

Saliva was sampled on three time points and on three consecutive days. Figure 1 shows the mean values of the three days for all participants of the study. A line indicates the median of the data, which especially for the awakening and the evening values showed a remarkable large spread. Activity levels as low as 4 U/ml and as high as 1653 U/ml could be found in the control group alone. Additionally to the large interpersonal variation of sAA data, which is seen in the figure, a large intrapersonal deviation of the data was observed (data not shown). The maximum percent deviation within the same individual on three consecutive days for the sAA levels taken at awakening ranged from 0.5%-108% (median: 41%), for the samples taken 30 min after awakening from 2% - 126% (median: 34%) and for the evening values the maximum percent deviation was 35% - 95% (median: 29%). Table 2 shows that there was no statistically significant difference between the sAA levels of the two groups at awakening (U=357, z=-1.42, p=0.155, r=0.2), 30 min afterwards (U=372, z=1.73, p=0.083, r=0.25) or in the evening (U=306, z=0.37, p=0.711, r=0.05). Although not statistically significant, it should be pointed out that the effect size =0.25) or in the evening (r=0.37).

The analyzed samples were used to compute area under the curve with respect to ground (AUCG) as well as the slope of the wake-up min after awakening, with patients having higher values than controls. Not statistically significant, it should be pointed out that the effect size =0.25). The age of the participants correlated positively with awakening levels for associations between the different variables. The different sAA measures (individual as well as computed) were highly associated (data not shown). Table 3 shows that there was no statistically significant difference between the two groups (SlopeD: U=273, z=-0.31, p=0.757, r=0.04 and SlopeG: U=275, z=-0.27, p=0.789, r=0.04), however the AUCG measure showed a small effect size with patients having higher levels than controls (t(40)=1.4, p=0.179, eta squared=0.04).

Additionally to the data shown in the table, the volumes of the received saliva samples (which had been categorized) were compared between the two groups. There was a significant difference in the volume of the salivary samples taken in the morning delivered by the two different groups (at awakening: U=114, z=-3.79, p=0.0005, r=0.55; 30 min after awakening: U=115, z=-3.72, p=0.0005, r=0.54), but not for samples taken in the evening (U=209, z=-1.75, p=0.081, r=0.25).

Correlation analysis of complete data

Spearman’s rank correlation coefficients were computed to check for associations between the different variables. The different sAA measures (individual as well as computed) were highly associated with each other, except for the slope of the wake-up response, which shows no significant correlation with the 30 min after awakening measurement (data not shown). The time of awakening, and the volumes of the samples were not associated with none of the α-amylase measures, and neither did the presence of type II diabetes or depression (data not shown).

Table 3 shows the spearman’s rho and the respective p-values for the associations between the different sAA measures and different variables. The age of the participants correlated positively with awakening levels of sAA, and systolic, as well as diastolic blood pressure correlated negatively with higher levels of morning sAA levels, reaching statistical significance for the measurements at awakening. Most importantly however, both morning measures correlated positively with blood NT-proBNP levels, reaching statistical significance. Figure 2 shows the scatter-graph between NT-proBNP and the sAA levels 30 minutes after awakening (after ranking the data) demonstrating the significant and positive, however relatively small correlation (rho=0.353, N=48, p=0.014).

Correlation analysis within controls and patients separately

When exploring the relationships among the variables within the control and the patient group separately, slightly different associations emerged (Table 4). Only within the control group NT-proBNP levels remained statistical significantly correlated with a sAA measure, the daily output of sAA (AUCG). Heart rate and systolic blood pressure.
between different sAA measures (Spearman correlation coefficients (rho) and significance level (p), 2-tailed).

<table>
<thead>
<tr>
<th>Age</th>
<th>sAA awakening</th>
<th>sAA awake + 30min</th>
<th>sAA evening</th>
<th>AUCg</th>
<th>Slope wr</th>
<th>Slope d</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.292*</td>
<td>0.153</td>
<td>0.207</td>
<td>0.212</td>
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<tr>
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<tr>
<td>0.327</td>
<td>0.263</td>
<td>0.272</td>
<td>0.262</td>
<td>-0.233</td>
<td>0.267</td>
<td></td>
</tr>
<tr>
<td>0.338*</td>
<td>0.353</td>
<td>0.160</td>
<td>0.243</td>
<td>-0.131</td>
<td>0.069</td>
<td></td>
</tr>
</tbody>
</table>

* Significant at the 0.05 level (2-tailed)

Table 3: Spearman correlation coefficients (rho) and significance level (p, 2-tailed) between different sAA measures (N = 48) and various variables.

Figure 2: Scatterplot of NT-proBNP versus salivary alpha-amylase activity (sAA) at 30 minutes after awakening with regression line (ranked data). Patients (black squares, N=24) and controls (gray diamond, N=24) are shown.

The high intra-personal variation between different days, might show significant associations to the sAA daily trajectory (slopeg). Two more sAA measures showed a medium strong relationship to NT-proBNP with correlation coefficient rho above 0.3 (awake+ 30min and evening), however this association did not reach statistical significance.

Among the patients statistically significant associations were only found between heart rate and the slope of the wake-up response (SlopeWR) and between the awakening sAA levels and the diastolic blood pressure measurements. Two medium strong relationships above rho 0.3 were seen between sAA measures (sAA evening and AUCg) and the NYHA class, however not reaching statistical significance.

Discussion

This work was triggered by the question if sAA activity, a promising non-invasive biomarker of the SNS [6-15] has the potential of being a biomarker in HF. HF and other cardiovascular diseases are often associated with SNS overactivity and norepinephrine, another biomarker of sympathetic overactivity, has shown to be an important predictor of all-cause mortality and first morbid events in HF [16]. Saliva sampling and sAA activity measurements are simpler compared to analysis of neurohormones, which are relatively unstable in plasma, and hence sAA measurements on a routine basis could be easier implemented.

It is known that salivary a-amylase activity (sAA) levels show a pronounced diurnal profile with high levels at awakening, decreased levels 30 minutes afterwards and a more or less constant rise towards the late afternoon. Hence, only the comparison of sAA level measurements taken at the same time of the day is a sensible procedure. Additionally to absolute values at different time points, computed measures of the daily profile could also contain potentially useful information [17]. For the pilot study presented here, 48 participants were recruited, all men in the age between 59 and 89. We chose to restrict our study to one age group having the same sex, as both factors have previously shown to influence sAA diurnal profiles [18,19]. The participants of this study were of an age considerably higher than most studies investigating sAA as a biomarker of adrenergic activity and HF patients in particular were, to the best of our knowledge, never studied in this setting.

Correlation analysis of our own data confirms the effect of age, showing a positive correlation between sAA values at awakening and participants age. As a higher activity of the SNS is generally observed with increasing age, this result is in line with the notion of using sAA levels as its marker [6,19,20], and highlighting the awakening sAA values as the best candidate.

The sAA levels showed large interpersonal (as shown in Figure 1) as well as intrapersonal variations [6]. Possible dietary influences on sAA levels, as considered by B.T Squires in the 1950s [21], could not be ruled out, however, the participants were all elderly Swedish men, and dietary differences were not expected to be high. Salivary α-amylase expression is discussed as a biomarker for a variety of other health conditions, including Sjogren syndrome, type I diabetes, graft-versus-host disease, dental caries and periodontitis [22, 23]. The participants of this study were asked to list all regularly taken medication and present diseases and none of the above mentioned conditions were among them. It can however not be ruled out that especially the dental diseases not have relevance for the present investigation, however their direct influence sAA diurnal profiles [18,19]. The participants of this study were of an age considerably higher than most studies investigating sAA as a biomarker of adrenergic activity and HF patients in particular were, to the best of our knowledge, never studied in this setting.

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A more profound influence for interpersonal differences however can be expected to arise from genetic variations. Individual copy number variation of the salivary amylase gene, encoded by AMY1 (from 2 to up to 15 diploid copies being possible), as well as the presence of different salivary amylase protein variants have been reported [25, 26], and it could be shown that AMY1 copy number correlates positively to salivary amylase concentration and enzymatic activity levels [27]. An additional source of variability could arise from differences in post translational modifications of the protein, including glycosylation, deamidation and truncations [28].

The high intra-personal variation between different days, might have had psychophysiological reasons. Increasing levels of stress, either physiological or psychological in nature, have been shown to...
affect sAA levels in humans [6]. Data on stress measures have not been assessed during this study, however it is not likely that acute stress levels would vary considerably between three days in this particular group of participants, with all men being retired and the sampling of saliva, in comparison with the sampling of blood, is supposed to be less stressful to the participants. One more likely reason for the high intrapersonal variation might be due to saliva sampling artifacts using the salivette system. The system consists of an absorbent material, i.e. a cotton pad, which the participants were instructed to move around in the mouth in circular movement. Although comfortable and easy to do, it is not guaranteed that the participants sampled saliva in a comparable way, and it could be shown that saliva sampled from different salivary glands differ in sAA levels, with parotid gland having the highest level [8,29]. On the other hand, and most likely, were sampling artifacts only added to an inherent intrapersonal variation, which could be found also with other salivary analytes [30], as well as with other saliva sampling techniques (own unpublished data) and should be a matter of further investigation in order to increase the usefulness of this biomarker and salivary diagnostics in general.

Our study did not find a statistical significant difference between the control and the HF group in any of the sAA measures taken, which is understandable considering the strong interpersonal variation between sAA levels and the small number of participants in this pilot-study. However, there is a strong tendency of morning values being higher in patients, with the measurement taken 30 min after awakening showing the clearest distinction. BBs, which are taken by all patients are known to the participants sampled saliva in a comparable way, and it could be shown that saliva sampled from different salivary glands differ in sAA levels, with parotid gland having the highest level [8,29]. On the other hand, and most likely, were sampling artifacts only added to an inherent intrapersonal variation, which could be found also with other salivary analytes [30], as well as with other saliva sampling techniques (own unpublished data) and should be a matter of further investigation in order to increase the usefulness of this biomarker and salivary diagnostics in general.

In our study, lower blood pressure values correlated significantly with sAA awakening levels, opposing results found by J. Strahler et al. [15] who found a trend towards higher diurnal output of sAA in hypertensive individuals and in individuals taking antihypertensive drugs this difference was smaller. Decisive differences between the two studies could have been first the age of the participants, ours being considerably older, second the prevalence of HF in our own study group and the consequently intake of HF medications, including BBs.

When comparing the correlation analysis within our two groups, controls and patients, separately, the significant negative association between blood pressure and morning sAA levels persists only in patients, indicating that vasoconstrictor responsiveness to adrenergic stimulation is seriously impaired in HF patients, there is however no significant association between the severity of HF (as indicated by the NYHA class) and any sAA measures. Interestingly, results from our control group did neither come in line with published data that show a positive relationship between sympathetic activity and blood pressure in older men [35]. Our own study group however was considerably older; hence our results could indicate a higher age-related blunting of adrenergic responsiveness of the vascular system than of the salivary glands [36].
Other measures of α-amylase activity, i.e. the slope of the wake-up response or the daily trajectory, are presumed to be indices of sAA rhythmicity, which, when dysregulated, could possibly indicate increased cardiovascular risk, comparable to an altered circadian blood pressure reflecting higher level of cardiovascular risk in hypertensive or diabetic patients [37]. Our results however do not give an indication that these computed measures of rhythmicity have additional important informative value in HF, when compared to single morning readings.

The fact that we saw a significant positive association between NT-proBNP levels and the total output of sAA (AUCr) in the controls, hints at the potential of this non-invasive biomarker for having diagnostic value in early stages of developing HF, which however remains to be investigated. The strong variation between different persons suggest that sAA likely has higher potential as a diagnostic biomarker to be followed in the same individual, i.e. comparing levels in certain time intervals, as it would also be done when monitoring therapeutic treatment effects.

Conclusions

The small size of the study group together with the large variation of sAA activity levels did not allow us to make definite statements on the value of sAA as a biomarker for HF, however some tendencies emerged which we believe are interesting. Despite the fact that all of our HF patients were undergoing pharmaceutical treatment with BBs, which are known to decrease sAA levels, they tended to have higher sAA levels in the morning than elderly comparatively healthy men of the same age, possibly due to decreased serum medication levels in the morning before drug intake. The observed association of sAA and NT-proBNP, a proven diagnostic marker for HF, highlights the possibility of using sAA as a non-invasive biomarker for dysregulations of the neuroendocrine system as in HF, however we predict a higher value for patients not being on BB treatment. Due to the large variability of sAA activity levels, we expect a greater potential for monitoring its changes over time, which could prove a valuable surrogate biomarker for cardiovascular diseases, including HF.

Acknowledgments

We are grateful to Malin Huss and Anette Gylling who provided excellent help with the set-up of the study and the examination of the participants. This work was financially supported by NovaMedTech and New Tools for Health (HNV).

References


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